

Adaptation en présence d'un optimum phénotypique mobile

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Séminaire de la Chaire Modélisation Mathématique et Biodiversité
30 juin 2020



Introduction

Modelling evolutionary dynamics in *asexuals*

- **General objectives:**

- To **predict** the evolution of asexual organisms such as viruses, bacteria, some insect and fungi species, or cancer lineages in response to a treatment

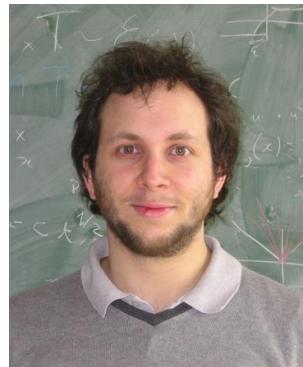
- To **understand** complex interplay of selection, mutation and **environmental changes** in asexuals

- **Challenge:** Better management strategies of resistance emergence,

- World Health Organization describes antibiotic resistance as one of the biggest threats to global health, food security, and development today.

Modelling evolutionary dynamics in asexuals

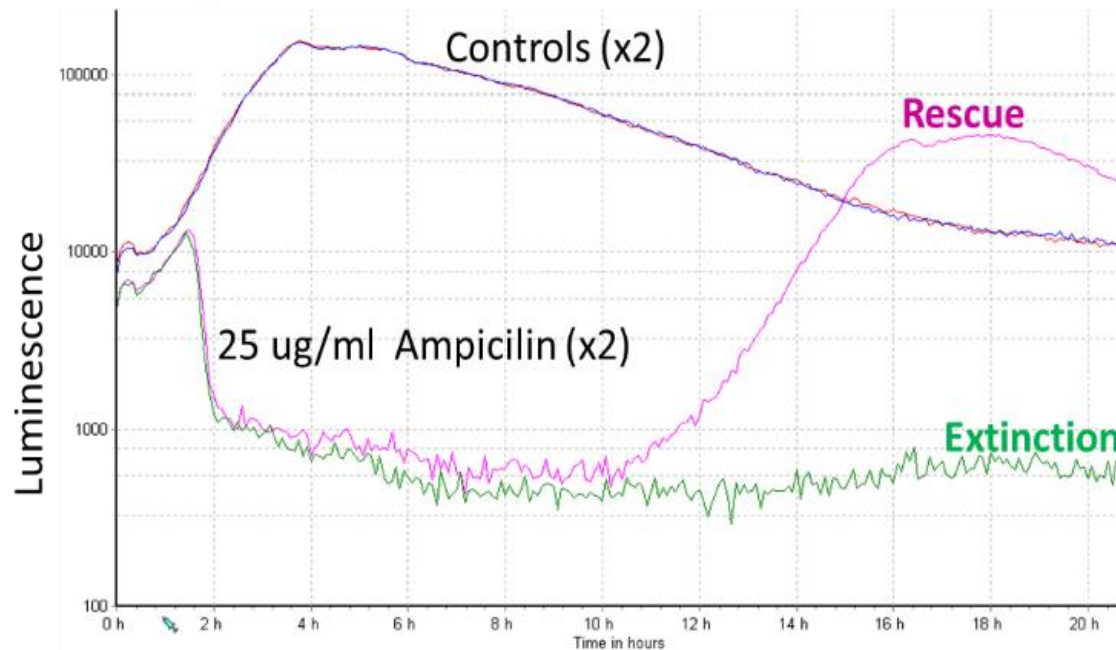
- **ANR Project RESISTE:** *Evolutionary rescue, stochastic effects and interactions with environmental stress.* **Partnership** with Montpellier Institute of Evolutionary Sciences (experimental evolution of bacteria, theoretical models)



Evolutionary rescue

When a population that initially declines because of exposure to an environment outside of its ecological niche can avoid extinction, via genetic adaptation. [Lynch and Lande 1993, Gomulkiewicz and Holt 1995]

Monitoring a rescue in live

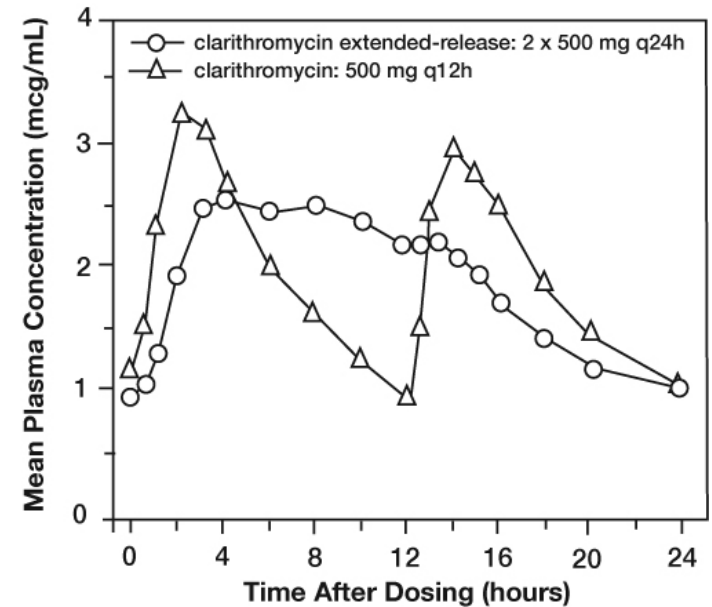
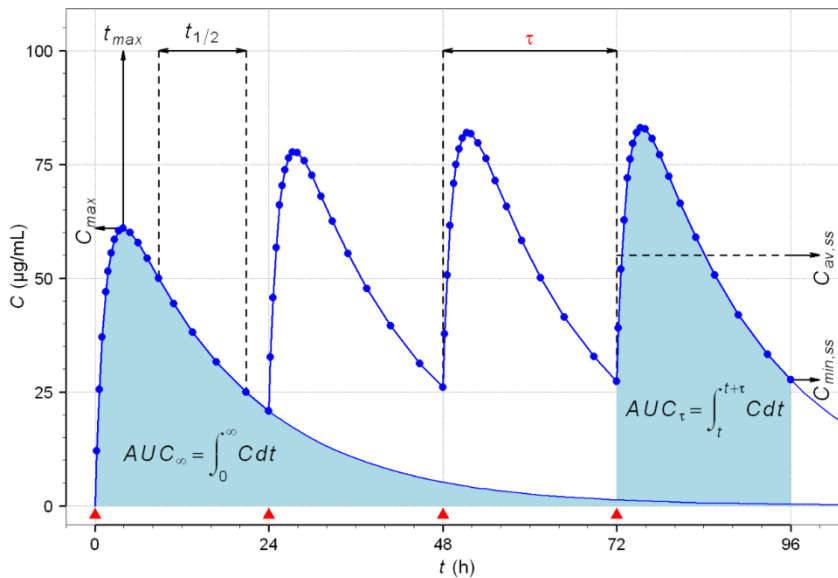


Experimental illustration: four pops of *E. coli* were monitored over time (hours) with either no antibiotics or 25ug/ml ampicillin

Experiment by G Martin

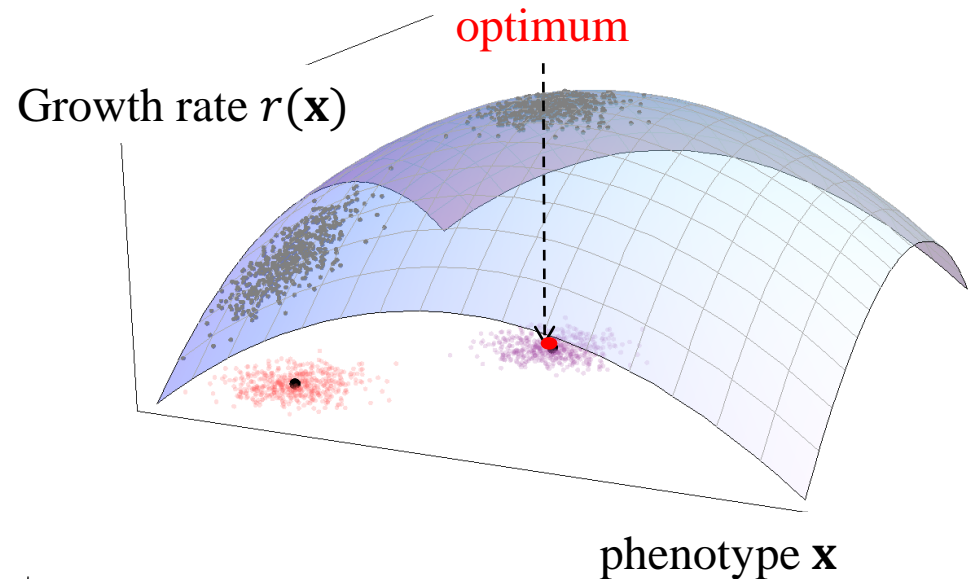
Environmental changes (from the point of view of the pathogen):

- **May be abrupt:** host shift in a pathogen, antibiotic treatment (*in vitro*), ...
- **May also be more progressive:** temperature change, increase in salinity ...
- **May have more or less periodic trajectories:** time course of drug plasma concentrations



Modelling the phenotype-fitness relationship: Isotropic Fisher's Geometrical Model with 1 optimum

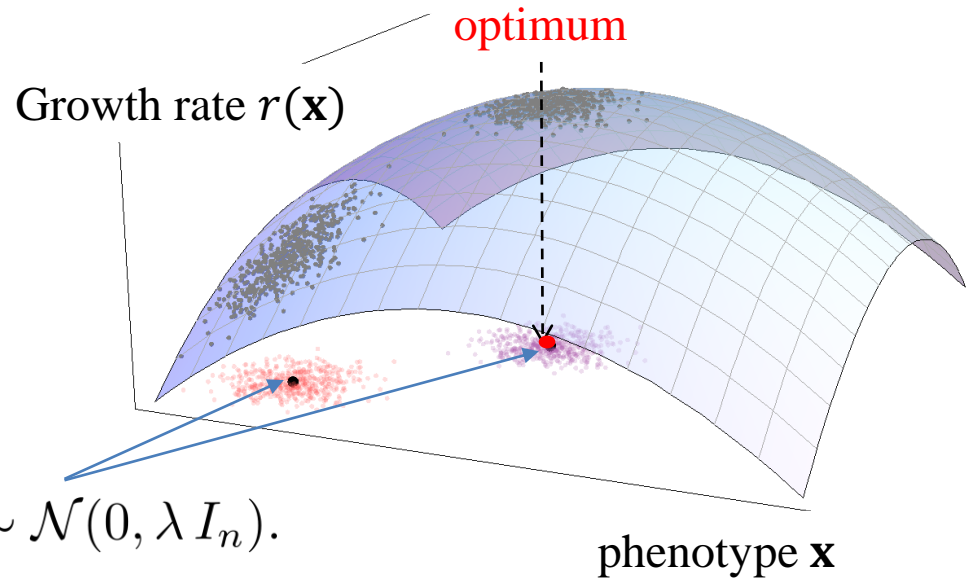
Phenotype $\mathbf{x} \in \mathbb{R}^n$ at n traits. Unique fitness optimum \mathcal{O} .



Growth rate r (= fitness) of genotype \mathbf{x} :

$$r(\mathbf{x}) = r_{max} - \frac{\|\mathbf{x} - \mathcal{O}\|^2}{2}.$$

Modelling the phenotype-fitness relationship: Isotropic Fisher's Geometrical Model with 1 optimum



Gaussian FGM: mutation $d\mathbf{x} \sim \mathcal{N}(0, \lambda I_n)$.

Mutation rate U

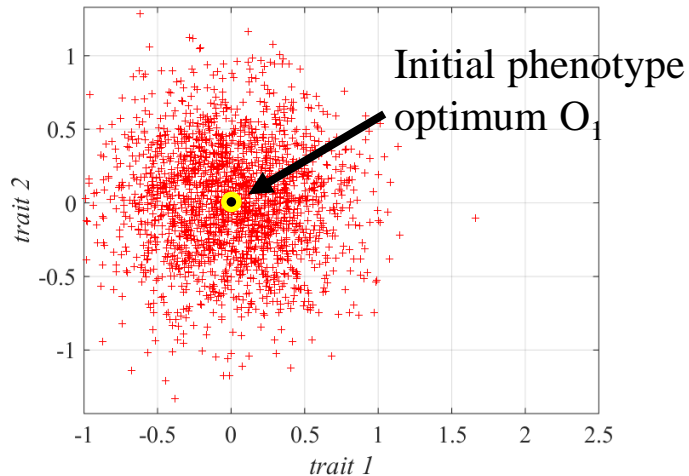
$$r(\mathbf{x}) = r_{max} - \frac{\|\mathbf{x} - \mathcal{O}\|^2}{2}.$$

Induces epistasis: the distribution of fitness effects of mutations depends on the current phenotype

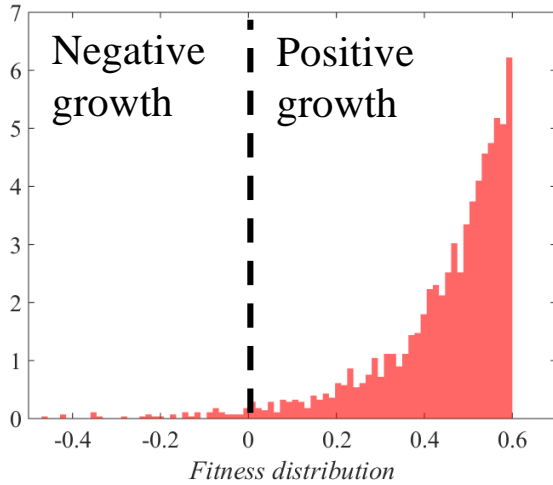
Consistent with various empirical patterns of mutation fitness effects in fungus, bacteria and viruses [*Martin and Lenormand 2006, Schoustra and Hwang 2016*]

Abrupt environmental change

BEFORE

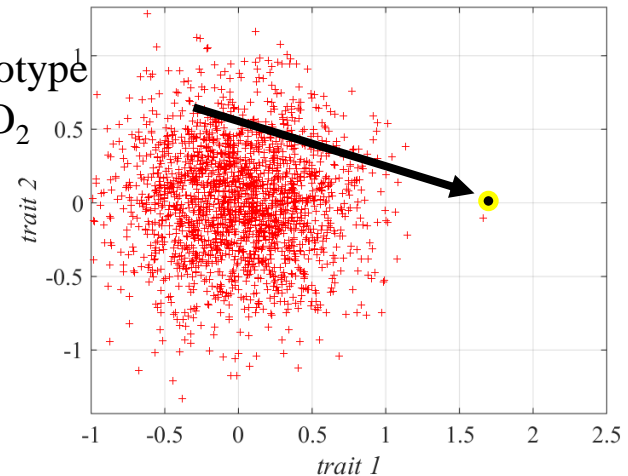


$$\text{Fitness: } r_{max} - \frac{\|x - O_1\|^2}{2}$$

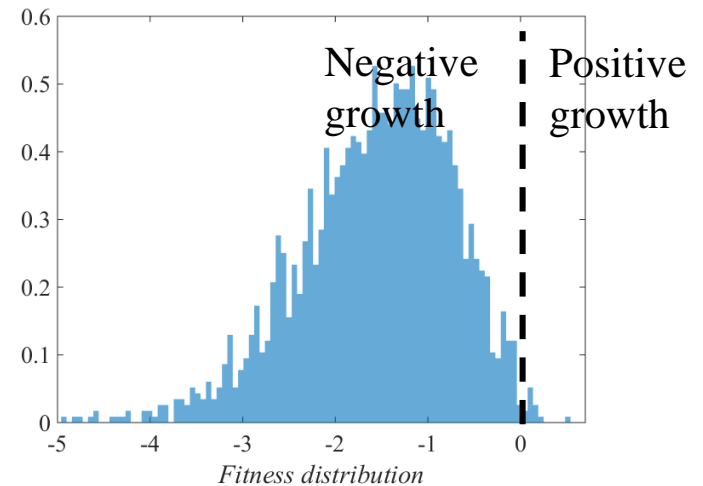


AFTER

New phenotype optimum O_2



$$\text{Fitness: } r_{max} - \frac{\|x - O_2\|^2}{2}$$

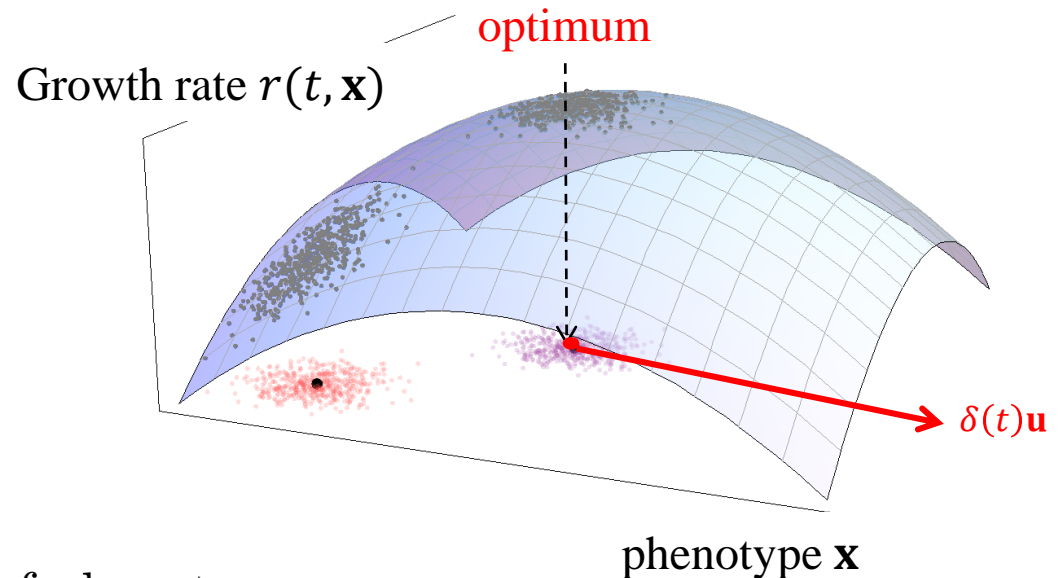


Arbitrarily moving optimum

Moving optimum $\mathcal{O}(t) = \mathcal{O}_0 + \delta(t) \mathbf{u}$

$\delta(t)$ arbitrary function with $\delta(0) = 0$

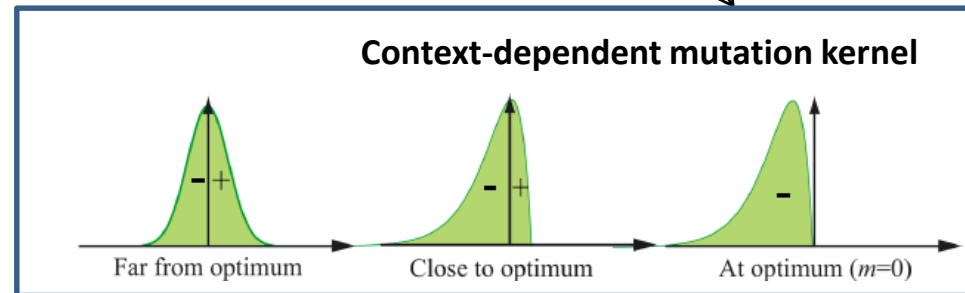
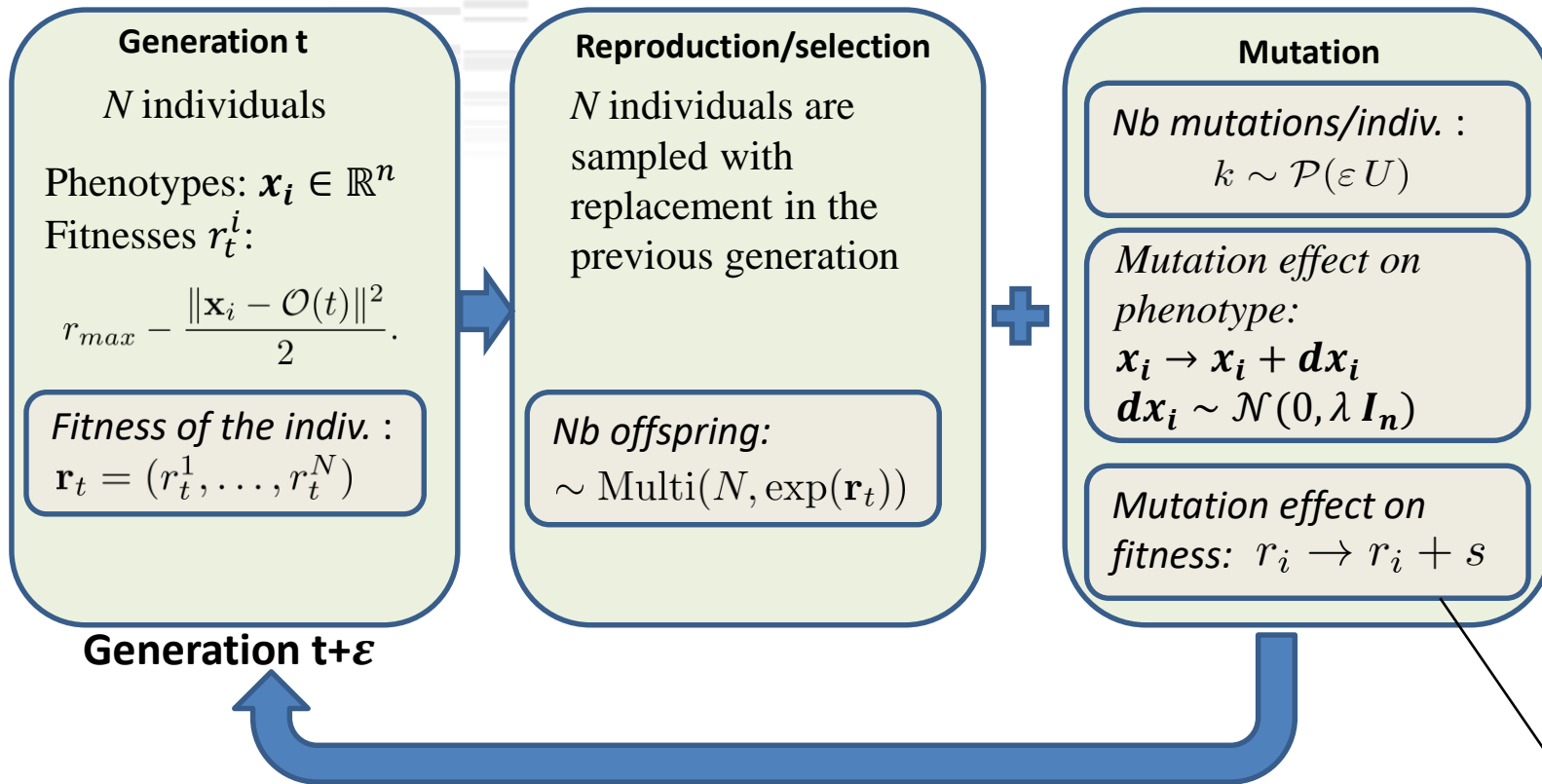
\mathbf{u} : unit vector in \mathbb{R}^n



Growth rate $r(t, \mathbf{x})$ (= fitness) of phenotype \mathbf{x} :

$$r(t, \mathbf{x}) = r_{max} - \frac{\|\mathbf{x} - \mathcal{O}(t)\|^2}{2}.$$

FGM + Wright-Fisher IBM with constant population size



Convergence towards an integro-differential equation

$q_t^N = \frac{1}{N} \sum_{i=1}^N \delta_{\mathbf{x}_i}$: phenotype distribution of the population at time t .

Lemma (Forien, R, 2020) Fix $T > 0$. Assume that $\varepsilon_N \rightarrow 0$ and $\varepsilon_N^2 N \rightarrow +\infty$ as $N \rightarrow \infty$. The process $(q_t^N, t \in [0, T])$ converges in distribution to the solution of the deterministic equation:

$$\partial_t q(t, \mathbf{x}) = U (J \star q - q) + q(t, \mathbf{x}) (r(t, \mathbf{x}) - \bar{r}(t)), \quad t \in (0, T), \quad \mathbf{x} \in \mathbb{R}^n,$$

with

$$\bar{r}(t) = \int_{\mathbb{R}^n} r(t, \mathbf{x}) q(t, \mathbf{x}) d\mathbf{x},$$

and J the isotropic Gaussian kernel with variance λ .

Can be obtained by simple adaptations of [*Fournier, Méléard, 2004; Champagnat, Ferrière, Méléard, 2006*], to take into account discrete time - fixed population size.

Existing results

- Fixed optimum (= abrupt change) [*Martin and Roques 2016*] isotropic FGM dimension n , [*Alfaro and Carles 2017, Alfaro and Veruete 2019*]: 1D diffusion approximation, full trajectory; [*Gil, Hamel, Martin, Roques*] Dynamics of fitness distribution w/o diffusion approximation; [*Hamel, Lavigne, Martin, Roques 2019*] Anisotropic mutations effects, diffusive case
- Optimum with constant speed in geographical space, w/o adaptation (local competition term, KPP eqs) : [*Berestycki, Diekmann et al. 2009, Berestycki and Rossi 2008*].
- Phenotype optimum with constant speed: [*Alfaro, Berestycki, Raoul 2017*]: diffusion, n -D, optimum moving at constant speed, asymptotic analysis
- Periodically fluctuating: [*Lorenzi, Chisholm, Desvilletes, and Hughe, 2015*] Gaussian periodic (stationary) solution 1D case; [*Carrère, Nadin 2020*] principal eigenfunction analysis in bounded domains, study of the mean limit population; [*Figueroa Iglesias and Mirrahimi, 2018, 2019*]: method of constrained Hamilton-Jacobi equations: large time-small mutation regime.

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Large-time
dynamics

- Optimum with constant speed in geographical space, w/o adaptation (local competition term, KPP eqs) : [*Berestycki, Diekmann et al. 2009, Berestycki and Rossi 2008*].
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Here

- Description of the full dynamics (not only the asymptotics in time): of critical importance for the study of rescue events
- Do not need a small mutation regime assumption (but a diffusion approximation \sim weak selection-strong mutation regime)
- We consider a general form of moving optimum (+ general time-dependent strength of selection)

$$r(t, \mathbf{x}) = r_{max} - \frac{\|\mathbf{x} - \mathcal{O}(t)\|^2}{2\sigma(t)^2}.$$

Distribution of phenotype

We focus on the dynamics of the deterministic phenotype distribution $q(t, \mathbf{x})$ under a diffusion approximation:

$$\partial_t q(t, \mathbf{x}) = \frac{\lambda U}{2} \Delta q + q(t, \mathbf{x}) (m(t, \mathbf{x}) - \bar{m}(t)), \quad t > 0, \quad \mathbf{x} \in \mathbb{R}^n$$

with $m(t, \mathbf{x}) = r(t, \mathbf{x}) - r_{max} = -\frac{\|\mathbf{x} - \mathcal{O}(t)\|^2}{2}$.

Equivalent to the study of eqs of the form:

$$\partial_t n(t, \mathbf{x}) = \frac{\mu^2}{2} \Delta n + n(t, \mathbf{x}) (r(t, \mathbf{x}) - \rho(t)), \quad t > 0, \quad \mathbf{x} \in \mathbb{R}^n,$$

with $n(t, \mathbf{x})$ the total population density and $\rho(t)$ its integral over \mathbb{R}^n , as in [Lorenzi, Chisholm, Desvilletes, and Hughe, 2015; Alfaro, Berestycki, Raoul 2017; Figueroa Iglesias and Mirrahimi, 2018, 2019; Carrère, Nadin 2020]. Simply set

$$q(t, \mathbf{x}) = n(t, \mathbf{x}) / \rho(t).$$

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$$q(t, \mathbf{x}) = n(t, \mathbf{x}) / \rho(t).$$

Strategy that we had developed in previous works (fixed optimum)

1. Derive a 1D equation satisfied by the distribution of fitness $p(t, m)$

$$\partial_t p(t, m) = U (J_y \circledast p - p) (t, m) + p(t, m) (m - \bar{m}(t)), \quad t \geq 0, \quad m \in \mathbb{R},$$

$$\text{with } (J_y \circledast p - p)(t, m) = \int_{\mathbb{R}} J_y(m - y) p(t, y) dy - p(t, m).$$

2. Diffusive approximation

$$\partial_t p(t, m) = -\mu^2 m \partial_{mm} p(t, m) + \mu^2 \left(\frac{n}{2} - 2 \right) \partial_m p(t, m) + (m - \bar{m}(t)) p(t, m),$$

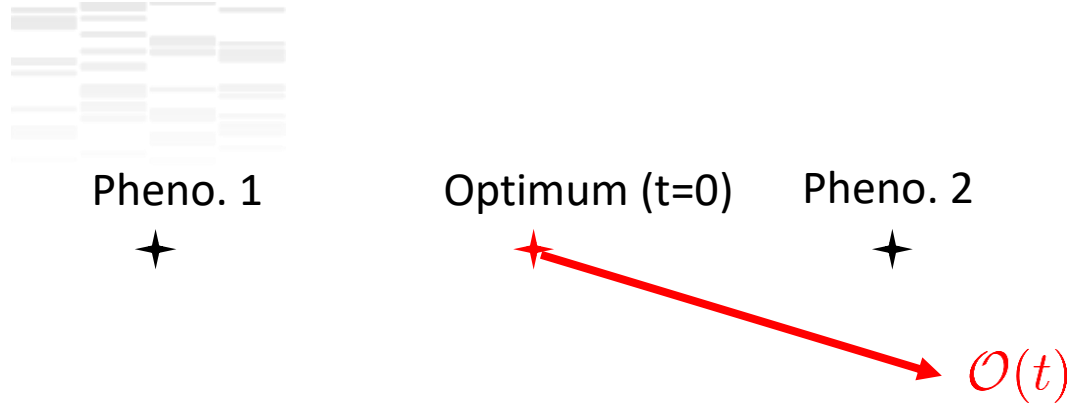
3. Define the cumulant generating function

$$C(t, z) = \ln \left(\int_{\mathbb{R}} p(t, s) e^{s z} ds \right)$$

4. Solve (explicitly) the equation satisfied by the CGF.

$$C(t, z) = (1 - \mu^2 z^2) \partial_z C(t, z) - \frac{n}{2} \mu^2 z - \bar{m}(t), \quad t \geq 0, \quad z \in \mathbb{R}_+$$

Why this cannot work here



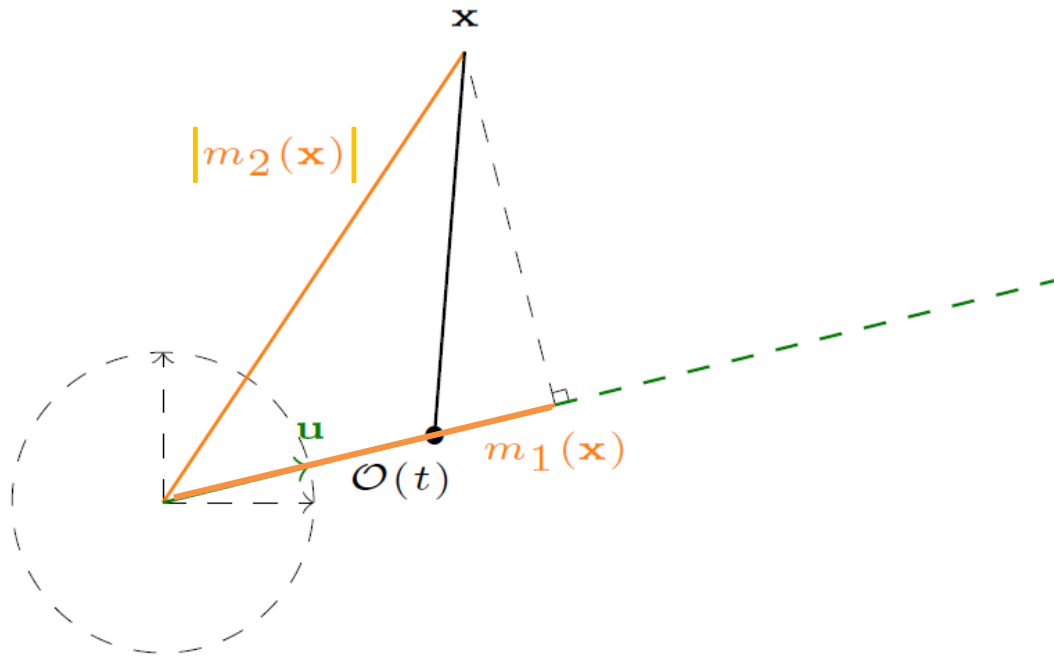
Pheno. 1 and 2 have the same fitness at $t = 0$.

Pheno. 2 has a better fitness at larger times.

Contrarily to the « fixed optimum » case, **the distribution of fitness does not fully determine its own evolution**

Definition of 2D fitness components

Two time-independent 'components':



$$\begin{cases} m_1(\mathbf{x}) &= \mathbf{u} \cdot \mathbf{x}, \\ m_2(\mathbf{x}) &= -\frac{\|\mathbf{x}\|^2}{2}. \end{cases}$$

At any time,

$$m(t, \mathbf{x}) = -\frac{\|\mathbf{x} - \mathcal{O}(t)\|^2}{2} = \delta(t) m_1(\mathbf{x}) + m_2(\mathbf{x}) - \frac{\delta(t)^2}{2}.$$

Distribution of the fitness components

$p(t, m_1, m_2)$: bivariate distribution of the components (m_1, m_2)

Defined by:

Theorem (Bonnenfon, Martin, Patout, Roques, 2020) There exists a unique nonnegative density function $p \in C^1(\mathbb{R}_+, L^2(\mathbb{R} \times \mathbb{R}_-))$ that satisfies the following relationship

$$\int_{\mathbb{R}^n} q(t, \mathbf{x}) \phi(m_1(\mathbf{x}), m_2(\mathbf{x})) d\mathbf{x} = \int_{\mathbb{R} \times \mathbb{R}_-} p(t, m_1, m_2) \phi(m_1, m_2) dm_1 dm_2,$$

for every test functions $\phi \in L^2(\mathbb{R} \times \mathbb{R}_-)$ and all $t \geq 0$.

2D cumulant generating function

Define the CGF of the components m_1, m_2 : for all $(z_1, z_2) \in \mathbb{R} \times \mathbb{R}_+$

$$C(t, z_1, z_2) := \ln \left(\int_{\mathbb{R} \times \mathbb{R}_+} p(t, m_1, m_2) e^{m_1 z_1 + m_2 z_2} dm_1 dm_2 \right).$$

Simple characterizations of the central moments of the fitness distribution:

$$\bar{m}(t) = \delta(t) \partial_1 C(t, 0, 0) + \partial_2 C(t, 0, 0) - \frac{\delta(t)^2}{2},$$

$$V_m(t) = \delta(t)^2 \partial_{11} C(t, 0, 0) + \partial_{22} C(t, 0, 0) + 2\delta(t) \partial_{12} C(t, 0, 0).$$

2D cumulant generating function

$$C(t, z_1, z_2) := \ln \left(\int_{\mathbb{R} \times \mathbb{R}_-} p(t, m_1, m_2) e^{m_1 z_1 + m_2 z_2} dm_1 dm_2 \right).$$

Theorem (Bonnefon, Martin, Patout, R. 2020) The CGF satisfies, for $t \geq 0$ and $(z_1, z_2) \in \mathbb{R} \times \mathbb{R}_+$:

$$\begin{aligned} \partial_t C(t, z_1, z_2) = \mathbf{a}(t) \cdot (\nabla C(t, z_1, z_2) - \nabla C(t, 0, 0)) \\ + \mathbf{k}(z_1, z_2) \cdot \nabla C(t, z_1, z_2) \end{aligned}$$

$$\text{where } \mathbf{a}(t) = (\delta(t), 1) \in \mathbb{R}^2 \text{ and } \begin{cases} \mathbf{k}(z_1, z_2) = -\mu^2(z_1 z_2, z_2^2), \\ \gamma(z_1, z_2) = \mu^2(z_1^2/2 - n z_2/2). \end{cases}$$

Solving the CGF equation

Define a change of variable $\phi_t : \mathbb{R}_+^2 \rightarrow \mathbb{R} \times \mathbb{R}_+$, such that

$$Q(t, z, \tilde{z}) := C(t, \phi_t(z, \tilde{z}))$$

solves a simpler equation:

$$\partial_t Q(t, z, \tilde{z}) = (1, 1) \cdot (\nabla Q(t, z, \tilde{z}) - \nabla Q(t, 0, 0)) + \beta(t, z, \tilde{z}),$$

for $(t, z, \tilde{z}) \in \mathbb{R}_+^3$.

Proposition (Bonneton, Martin, Patout, R., 2020) Q is given by the expression:

$$Q(t, z, \tilde{z}) = \int_0^t \beta(t-s, z+s, \tilde{z}+s) - \beta(t-s, s, s) ds + Q_0(z+t, \tilde{z}+t) - Q_0(t, t).$$

Solving the CGF equation

Define the change of variable $\phi_t : \mathbb{R}_+^2 \rightarrow \mathbb{R} \times \mathbb{R}_+$, by

$$\phi_t(z, \tilde{z}) = (y_1(t, z, \tilde{z}), y_2(z))$$

with

$$\begin{cases} y_1(t, z, \tilde{z}) := \int_0^z \delta(z + t - s) \frac{\cosh(\mu s)}{\cosh(\mu z)} ds + (z - \tilde{z}) \frac{\cosh(\mu(z + t))}{\cosh(\mu z)}, \\ y_2(z) := \frac{\tanh(\mu z)}{\mu}. \end{cases}$$

Note: surjectivity is not needed

Main theorem (Bonneton, Martin, Patout, Roques, 2020)

For all $t \geq 0$ and $(z, \tilde{z}) \in \mathbb{R}_+^2$, the CGF satisfies:

$$C(t, \phi_t(z, \tilde{z})) = Q(t, z, \tilde{z}).$$

Cumulant generating function: explicit solution

Main theorem (Bonnefon, Martin, Patout, Roques, 2020)

For all $t \geq 0$ and $(z, \tilde{z}) \in \mathbb{R}_+^2$, the CGF satisfies:

$$C(t, \phi_t(z, \tilde{z})) = Q(t, z, \tilde{z}).$$

Corollary

$$\bar{m}(t) = -\mu \frac{n}{2} \tanh(\mu t) - \frac{1}{2} (H_\delta(t) - \delta(t))^2 + R'_0(t)$$

with $H_\delta(t) := \mu \int_0^t \delta(u) \frac{\sinh(\mu u)}{\cosh(\mu t)} du$ and

$$R'_0(t) = \frac{1}{\cosh(\mu t)} (\delta(t) - H_\delta(t)) \partial_1 C_0(\phi_0(t, t)) + (1 - \tanh^2(\mu t)) \partial_2 C_0(\phi_0(t, t)).$$

Cumulant generating function: explicit solution

Clonal case ($\mathcal{O}(0) = 0$)

$$\bar{m}(t) = -\mu \frac{n}{2} \tanh(\mu t) - \frac{1}{2} (H_\delta(t) - \delta(t))^2$$

with $H_\delta(t) := \mu \int_0^t \delta(u) \frac{\sinh(\mu u)}{\cosh(\mu t)} du$

$\bar{m}(t)$ with a steady optimum ($\delta \equiv 0$),

Squared distance between $\mathcal{O}(t)$, and a ‘weighted history’ of $\mathcal{O}(s)$ for $s \in (0, t)$.

Example 1. Optimum shifting with a constant speed

Standard assumption in theoretical papers [e.g., Alfaro, Berestycki, Raoul 2017; Figueroa Iglesias and Mirrahimi, 2019]

But, linear environmental change does not necessarily mean linear shift of the optimum

Proposition (Bonnefon, Martin, Patout, R, 2020)

Assume that $\delta(t) = ct$ for some $c \in \mathbb{R}$ and clonal initial population at $\mathcal{O}(0)$. Then,

$$\bar{m}(t) = -\mu \frac{n}{2} \tanh(\mu t) - \frac{c^2}{2\mu^2} \tanh^2(\mu t)$$

$\bar{m}(t)$ with a steady optimum ($\delta \equiv 0$),

Effect of the speed c .

Shifting and fluctuating environments, as those considered in [Figueroa Iglesias and Mirrahimi, 2019], could be treated as well, by taking:

$$r(t, \mathbf{x}) = r_{max} - \frac{\|\mathbf{x} - \mathcal{O}(t)\|^2}{2\sigma(t)^2}.$$

Example 1. Optimum shifting with a constant speed

At large times ($t \rightarrow \infty$),

$$\bar{m}(\infty) = -\mu \frac{n}{2} - \frac{c^2}{2\mu^2},$$

independently of the initial phenotype distribution.

Mutation load

Lag load

- μ tends to increase the mutation load and to decrease the lag load
→ optimum value $\mu^* = (2c^2/n)^{1/3}$.
- critical speed c^* for persistence ($r(t, \mathbf{x}) = r_{max} + m(t, \mathbf{x})$):

$$c^* = \mu \sqrt{2r_{max} - \mu n}.$$

Consistent with [Alfaro, Berestycki, Raoul 2017]

Example 1. Optimum shifting with a constant speed

At large times ($t \rightarrow \infty$),

$$V_m(\infty) = \mu^2 \frac{n}{2} + \frac{c^2}{\mu}$$

- increases with the speed c
- nonmonotonic function of μ . Critical value reached at $\mu = (c^2/n)^{1/3}$

Skewness

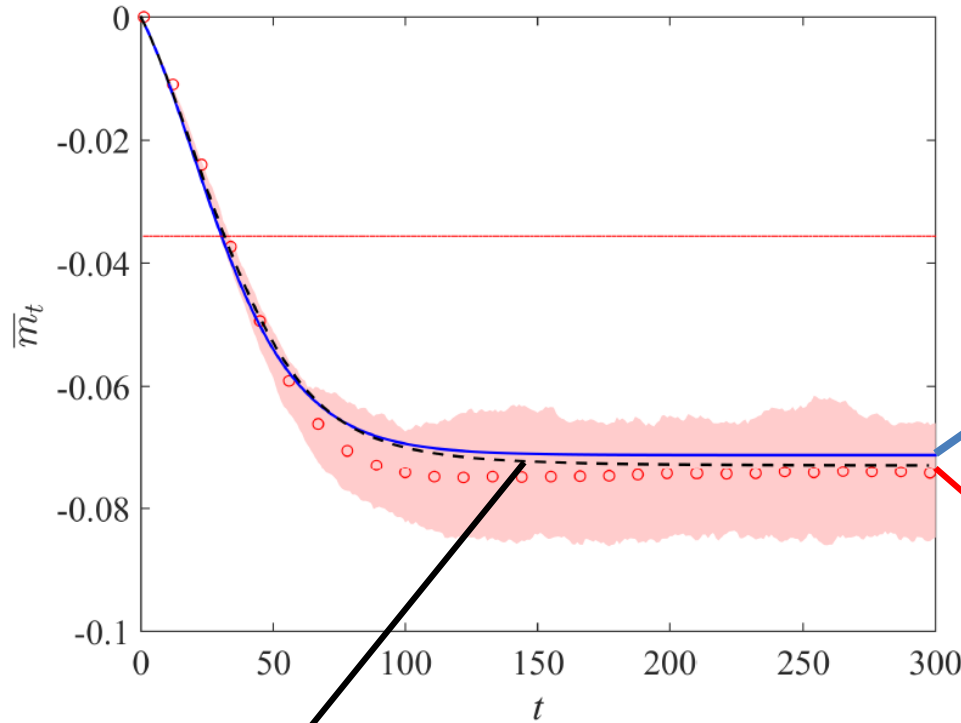
$$\text{Skew}_m(\infty) = -\frac{\mu^3 n + 3c^2}{V_m(t)^{3/2}}$$

- negative skewness: distribution is asymmetrical, with a longer left tail.
- c is increased: reinforces the asymmetry of the distribution.

Example 1. Optimum shifting with a constant speed

Comparison with individual-based simulations

Speed c s.t.
equilibrium=
2 (mut. load)



Explicit solution

Numerical solution of:

$$\partial_t q(t, \mathbf{x}) = U (J \star q - q) + q(t, \mathbf{x}) (r(t, \mathbf{x}) - \bar{r}(t))$$

circles: IBM
simulations
(mean value)

Parameters: $N = 10^4$ invid, $n = 3$, $\lambda = 0.005$ $U = 10 U_c$ ($U_c := n^2 \lambda/4$)

Example 2. Sub- and superlinear cases

Proposition (Bonneton, Martin, Patout, R, 2020)

Assume that $\delta(t) = ct^\alpha$ for some $c \in \mathbb{R}^*$ and $\alpha > 0$.

- (i) If $\alpha < 1$, then $\bar{m}(t) \rightarrow -\mu n/2$ and $V_m(t) \rightarrow \mu^2 n/2$, as $t \rightarrow +\infty$.
- (ii) If $\alpha > 1$, then $\bar{m}(t) \rightarrow -\infty$ and $V_m(t) \rightarrow +\infty$, as $t \rightarrow +\infty$.

Example 3. Periodically varying optimum

Proposition (Bonnenfon, Martin, Patout, R, 2020)

Assume that $\delta(t) = \delta_{max} \sin(\omega t)$. Then:

$$\bar{m}(t) = -\mu \frac{n}{2} \tanh(\mu t) - \frac{1}{2} \left(\frac{\delta_{max} \omega}{\omega^2 + \mu^2} \right)^2 (\omega \sin(\omega t) + \mu \cos(\omega t) \tanh(\mu t))^2$$

In [Figuerola Iglesias and Mirrahimi, 2018] same example (with $n = 1$). Asymptotics at large time, small mutation regime:

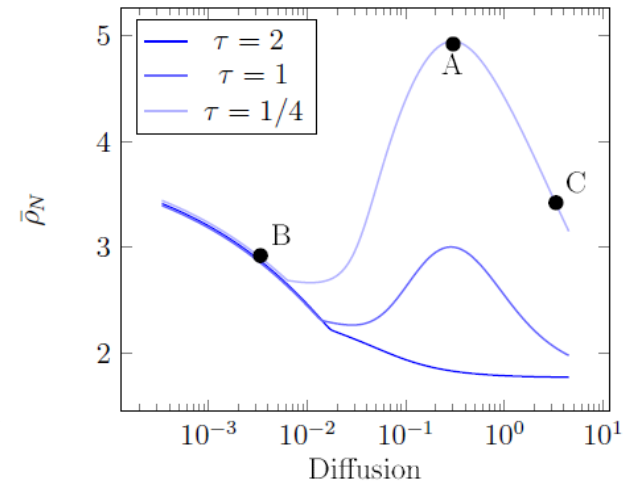
$$\bar{m}(t) \approx -\frac{\mu}{2} - \frac{1}{2} \left(\frac{\delta_{max}}{\omega} \right)^2 (\omega \sin(\omega t) + \mu \cos(\omega t))^2.$$

Example 3. Periodically varying optimum

At large times, average value over one period:

$$\langle \bar{m}_\infty \rangle := \lim_{t \rightarrow +\infty} \frac{\omega}{\pi} \int_t^{t+\pi/\omega} \bar{m}(s) ds = -\mu \frac{n}{2} - \frac{\delta_{max}^2 \omega^2}{2\omega^2 + 2\mu^2}.$$

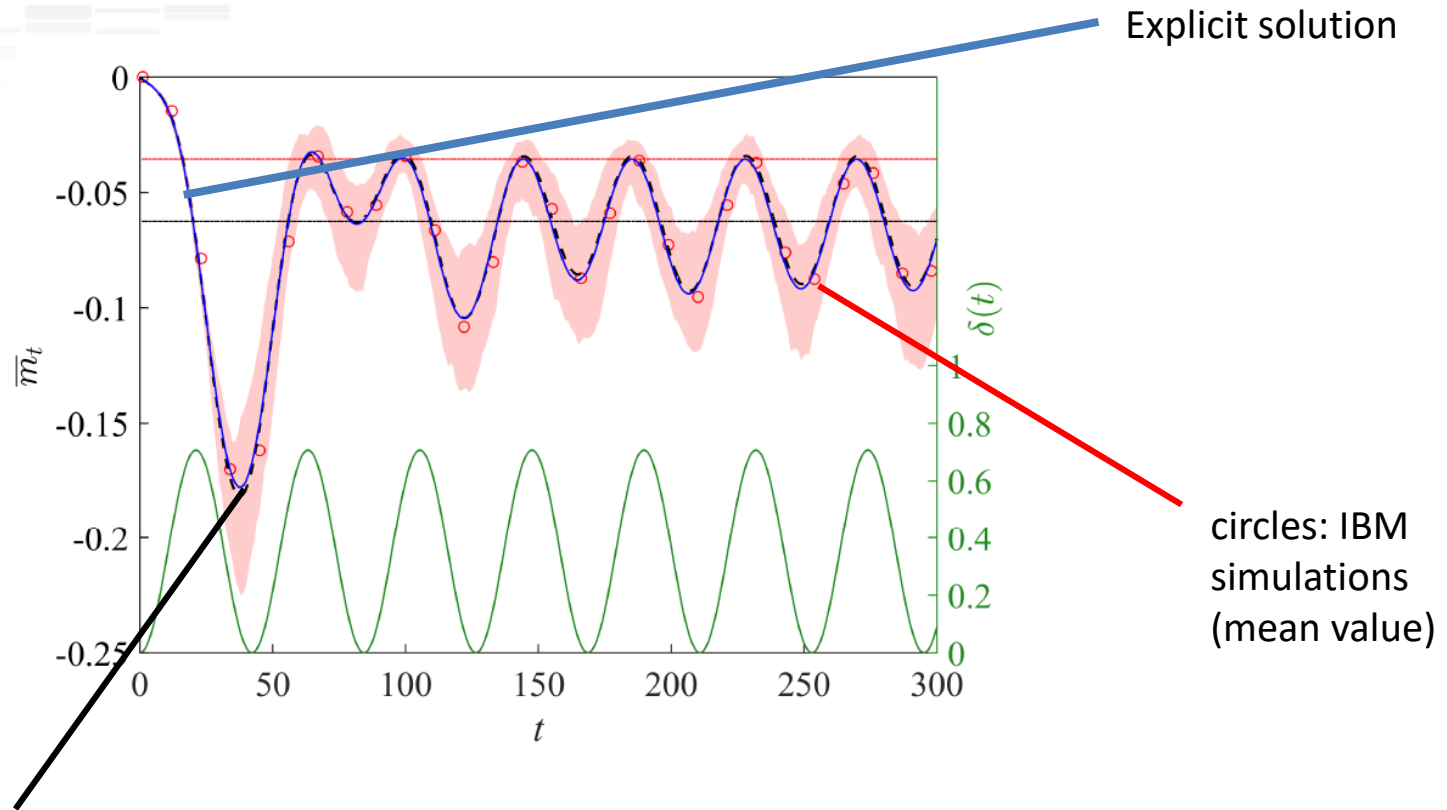
- higher frequencies tend to impede adaptation
- as $\omega \rightarrow +\infty$, the average lag load converges to $-\delta_{max}^2/2$
- reaches a maximum for some other value of $\mu = K \omega$, with $K > \omega/\sqrt{3}$ the root of $-n/2 + \delta_{max}^2 K / [\omega^2 (K^2 + 1)]^2 = 0$.



Numerical simulations in [Carrère, Nadin 2020]

Example 3. Periodically varying optimum

Comparison with individual-based simulations



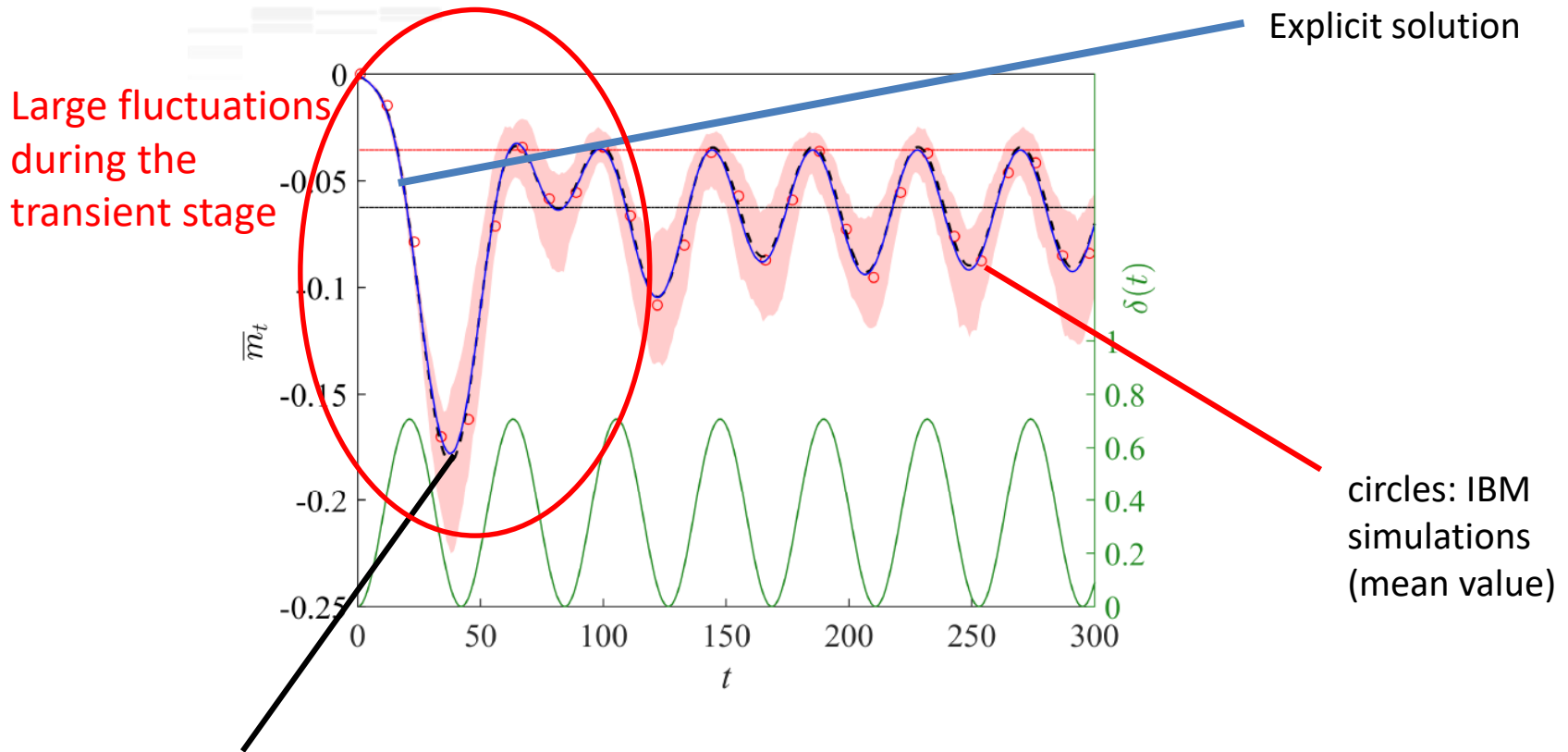
Numerical solution of:

$$\partial_t q(t, \mathbf{x}) = U (J \star q - q) + q(t, \mathbf{x}) (r(t, \mathbf{x}) - \bar{r}(t))$$

Parameters: $N = 10^3$ invid, $n = 3$, $\lambda = 0.005$ $U = 10 U_c$ ($U_c := n^2 \lambda/4$)

Example 3. Periodically varying optimum

Comparison with individual-based simulations



Numerical solution of:

$$\partial_t q(t, \mathbf{x}) = U (J \star q - q) + q(t, \mathbf{x}) (r(t, \mathbf{x}) - \bar{r}(t))$$

Parameters: $N = 10^3$ indiv, $n = 3$, $\lambda = 0.005 U = 10 U_c$ ($U_c := n^2 \lambda/4$)

Example 4. Stochastic position of the optimum.

$\delta(t)$ is an Ornstein-Uhlenbeck process:

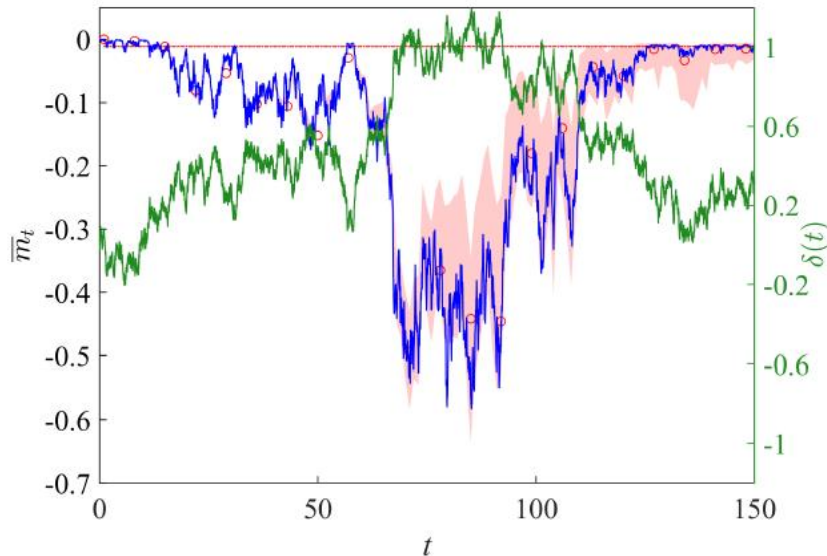
$$d\delta(t) = -\nu\delta(t) dt + \beta dW_t,$$

We use the general formula (initially clonal population):

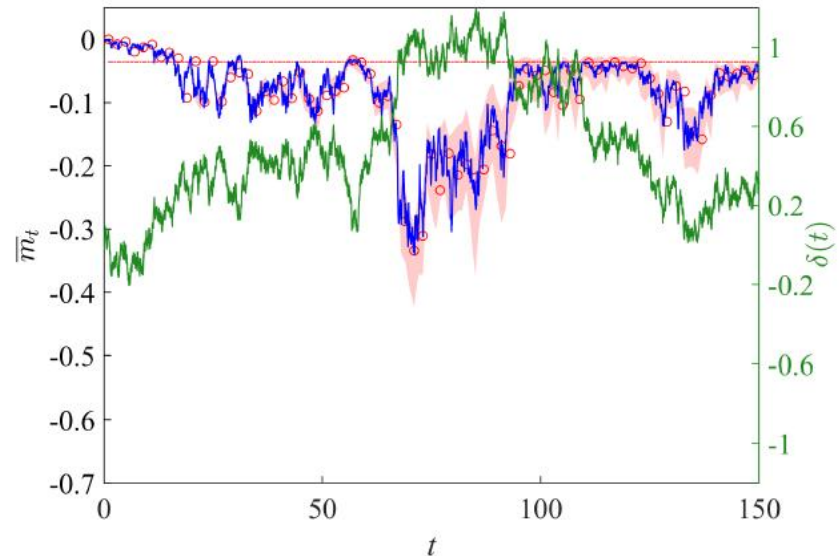
$$\bar{m}(t) = -\mu \frac{n}{2} \tanh(\mu t) - \frac{1}{2} (H_\delta(t) - \delta(t))^2$$

$$\text{with } H_\delta(t) := \mu \int_0^t \delta(u) \frac{\sinh(\mu u)}{\cosh(\mu t)} du.$$

Example 4. Stochastic position of the optimum.



(a) $U = U_c$



(b) $U = 10 U_c$

- dynamics of the mean fitness simulated by the IBM are well-described by our theory
- complex interplay between the environment and the mutation rate: the same environment leads to very different dynamics of adaptation

What next

Theoretical problems:

- Coupling with Feller diffusion SDEs (birth-death process) to describe the population dynamics, and compute the probability of rescue depending on the strategy. As in [Anciaux, Lambert, Ronce, Roques, Martin, 2019].
- Consider an optimum moving along a curve

Forthcoming experiments (ISEM):

- In vitro adaptation of *E. coli* to a saline solution. Various $\delta(t)$ functions will be imposed by different regimes of salinity increase. Mean fitness over time (growth rate) will be followed by fluoroluminometric measures.



Thank you!

Preprint: Adaptation in general temporally changing environments, Arxiv:2002.09542