On the Role of Fields of Abnormal extremals in Geometry of Distributions

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By complete analogy one can define a local version of this equivalence relation considering the action of germs of diffeomorphisms on germs of (ℓ, n) -distributions.

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The tuple $(\dim D(q), \dim D^2(q), \dots, \dim D^j(q), \dots)$ is called the *small growth vector of Dat the point q* (or, shortly, s.v.g.).

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All steps are described in the language of pure Linear Algebra: in terms of natural algebraic operations in the category of graded Lie algebras. $(\Box) (\bigcirc) (\odot) (\bigcirc) (\bigcirc) (\bigcirc) (\odot) (\bigcirc) (\odot) (\odot$

Review of Tanaka's theory: the symbol of D at a point

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 $\mathfrak{m}(q)$ is called the symbol of the distribution D at the point q

Examples

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Example 2 Contact distributions have the symbol isomorphic to the Heisenberg algebra (with the natural 2-grading).

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In geometric-control terminology if $\mathfrak{m}(q)$ is a symbol of a distribution D at q, then $D_{\mathfrak{m}(q)}$ is the nilpotent approximation of D at q.

The flat distribution of constant symbol \mathfrak{m}

Fix a graded nilpotent Lie algebra $\mathfrak{m} = \bigoplus_{i=-\mu}^{-1} \mathfrak{g}^i$.

Question: What is the most simple distribution with constant symbol m?

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In geometric-control terminology if $\mathfrak{m}(q)$ is a symbol of a distribution D at q, then $D_{\mathfrak{m}(q)}$ is the nilpotent approximation of D at q.

Question: What is the algebra of infinitesimal symmetries of the flat distribution of type m?

Universal algebraic prolongation & symmetries of the flat distribution

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The universal prolongation of the symbol $\mathfrak{m} = \bigoplus_{i=-\mu} \mathfrak{g}^i$ is the maximal non-degenerate graded Lie algebra containing \mathfrak{m} as its negative part. More precisely,

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- \$\mathcal{L}(m)\$ is the maximal graded algebra satisfying conditions (1) and (2) above.

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Universal algebraic prolongation & symmetries of the flat distribution: continued

If dim $\mathfrak{U}(\mathfrak{m}) < \infty$, then $\mathfrak{U}(\mathfrak{m})$ is isomorphic to the algebra of infinitesimal symmetries of the flat distribution $D_{\mathfrak{m}}$ with symbol \mathfrak{m} .

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The universal algebraic prolongation can be explicitly realized inductively $(\mathfrak{g}^0(\mathfrak{m}), \mathfrak{g}^1(\mathfrak{m}) \text{ etc})$.

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Its calculation is reduced to pure Linear Algebra

Realization of universal prolongation

As before, let
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Zero-order algebraic prolongation:

$$\mathfrak{g}^0(\mathfrak{m}) := \left\{ f \in \operatorname{End}(m) : \begin{array}{c} f([v_1, v_2]) = [f(v_1), v_2] + [v_1, f(v_2)], \\ f(g^i) \subseteq g^i \quad \forall i < 0 \end{array} \right\}$$

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 $\mathfrak{g}^0(\mathfrak{m})$ is the algebra of all derivations of \mathfrak{m} preserving the grading. $\mathfrak{m} \oplus \mathfrak{g}^0(\mathfrak{m})$ is a graded Lie algebra

$$[f,v]=:f(v),\quad f\in \mathfrak{g}^0,\quad v\in \mathfrak{m}$$

The first and higher order algebraic prolongations

The first algebraic prolongation of \mathfrak{m} :

$$\mathfrak{g}^{1}(\mathfrak{m}) = \begin{cases} f \in \bigoplus_{i < 0} \operatorname{Hom}(\mathfrak{g}^{i}(\mathfrak{m}), \mathfrak{g}^{i+1}(\mathfrak{m})) :\\ f([v_{1}, v_{2}]) = [f(v_{1}), v_{2}] + [v_{1}, f(v_{2})], \forall v_{1}, v_{2} \in \mathfrak{m} \end{cases}$$

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Then $\mathfrak{U}(\mathfrak{m}) = \bigoplus_{k \in \mathbb{Z}} \mathfrak{g}^k(\mathfrak{m}).$

Example: Universal prolongation of flat (2,3,5) distribution

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The grading corresponds to the marking of the shorter root in the Dynkin diagram of G_2 .

Tanaka's Main Theorem of prolongation

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Assume that D is a distribution with constant symbol m, i.e. symbols m(q) are isomorphic (as graded Lie algebras) to m for any point q.

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Theorem (Tanaka, 1970)

To a distribution D with constant symbol m one can assign in a canonical way a bundle over M of dimension equal to dim \$\mathcal{L}(m)\$ equipped with a canonical frame.

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Theorem (Tanaka, 1970)

- To a distribution D with constant symbol m one can assign in a canonical way a bundle over M of dimension equal to dim \$\mathcal{L}(m)\$ equipped with a canonical frame.
- Oimension of algebra of infinitesimal symmetries of D is not greater than dim \$\mathcal{L}(m)\$.
- This upper bound is sharp and is achieved if and only of a distribution is locally equivalent to the flat distribution D_m.

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- **(3)** P^k is endowed with the canonical frame.

Therefore Tanaka's approach allows one to predict the number of prolongations steps and the dimension of the bundle, where the canonical frame lives, without making concrete normalizations on each step (as the original Cartan method of equivalence suggests)

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Restrictions and disadvantages of Tanaka's approach

All constructions strongly depend on the notion of symbol.
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In order to apply this machinery to all bracket-generating (ℓ, n) -distributions with fixed ℓ and n, one has

- to classify all *n*-dimensional graded nilpotent Lie algebras with *l* generators.- hopeless task in general;
- to generilize the Tanaka prolongation procedure to distributions with nonconstant symbol, because the set of all possible symbols may contain moduli.

Statement of the problem Review of Tanaka theory Symplectification procedure

For example,

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• for (2, 6)-distribution with generic s.v.g. (2, 3, 5, 6) there are 3 non-isomorphic symbols:

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 m_ϵ = span{Y₁, Y₂} ⊕ span{Y₃} ⊕ span{Y₄, Y₅} ⊕ span{Y₆}

 \mathfrak{m}_{ϵ} — span $(11, 12) \oplus \mathfrak{span} (13) \oplus \mathfrak{span} (14, 15) \oplus \mathfrak{span} (16)$ s.t.

• for (2,6)-distribution with generic s.v.g. (2,3,5,6) there are 3 non-isomorphic symbols: $\mathfrak{m}_{\epsilon} = \operatorname{span}\{Y_1, Y_2\} \oplus \operatorname{span}\{Y_3\} \oplus \operatorname{span}\{Y_4, Y_5\} \oplus \operatorname{span}\{Y_6\}$ s.t.

 $[Y_1, Y_2] = Y_3, \quad [Y_1, Y_3] = Y_4, \quad [Y_2, Y_3] = Y_5,$

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- bracket generating (2,7)-distribution with s.v.g. (2,3,5,...) have 8 non-isomorphic symbols;

• for (2,6)-distribution with generic s.v.g. (2,3,5,6) there are 3 non-isomorphic symbols:

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- bracket generating (2,7)-distribution with s.v.g. (2,3,5,...) have 8 non-isomorphic symbols;
- Moduli appears for symbols of (2, n) distributions starting from n = 8.

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Statement of the problem Review of Tanaka theory Symplectification procedure

Alternative approach - Symplectification Procedure

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The origin of the method - Optimal Control Theory

Statement of the problem Review of Tanaka theory Symplectification procedure

The key idea (Agrachev, 1997) is that the invariant of a geometric structure on a manifold can be obtained by studying the flow of extremals of variational problems naturally associated with this geometric structure.

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For a distribution take any variational problem on a space of integral curves of this distribution with fixed endpoints and distinguish the **abnormal extremals** i.e. the **Pontryagin extremals** of such variational problem with zero Lagrange multiplier near the functional. \Rightarrow Abnormal extremals do not depend on the functional but on the

distribution D only.

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Statement of the problem Review of Tanaka theory Symplectification procedure

Abnormal extremals

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For example,

- If rank D is odd, then $\mathcal{H}_D = D^{\perp}$;
- If rankD = 2, then $\mathcal{H}_D = (D^2)^{\perp}$

where $(D^j)^{\perp} = \{(p,q) \in \mathbb{P}T^*M : p(v) = 0 \quad \forall v \in D^j(q)\}.$

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Liouville 1-form on $T^*M \Rightarrow$ contact structure on $\mathbb{P}T^*M$

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Liouville 1-form on $T^*M \Rightarrow$ contact structure on $\mathbb{P}T^*M \Rightarrow$ quasi-contact (even contact) distribution $\widetilde{\Delta}$ on an open dense subset of \mathcal{H}_D for generic D. Statement of the problem Review of Tanaka theory Symplectification procedure

Abnormal extremals (continued) and the lift of D to \mathcal{H}_D

Let C be the Cauchy characteristic distribution of Δ , i.e. a subdistribution of $\widetilde{\Delta}$ such that $[C, \widetilde{\Delta}] \subset \widetilde{\Delta}$.

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We work with the distributions C, V, and J instead of the original distribution D.

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Jacobi curve of abnormal extremal

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 $\Delta := \Phi_* \widetilde{\Delta} \text{ is a contact distribution on } N.$ $\forall \lambda \in \gamma \quad F_{\gamma}(\lambda) := \underbrace{\Phi_*(J(\lambda))}_{\text{coisotropic subspace}} \subset \Delta(\gamma)$

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The curve $\lambda \to F_{\gamma}(\lambda), \lambda \in \gamma$ is a curve of coisotropic subspaces of $\Delta(\gamma) \subset T_{\gamma}N$, called the Jacobi curve of the abnormal extremals γ .

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the canonical frame for D itself on certain fiber bundle over \mathcal{H}_D

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A sketch of initial developments in this direction

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Studying asymptotic of the cross-ratio of four points on an (unparametrized) curve Λ in a Lagrangian Grassmannian about a diagonal (i.e. when we glue them together), one gets a canonical projective structure and a special degree 4 differential (or relative invariant of order 4) of this curve called the fundamental form of Λ . (Agrachev, Zelenko, 2002)

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• The fundamental form of Jacobi curves of abnormal extremals gives the Cartan invariant of (2, 5)-distributions and therefore generalize it to (2, n)-distributions for arbitrary n > 5 (Zelenko, 2004)

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However, for distribution of rank greater than 2 geometry of the corresponding Jacobi curves is more involved. It cannot be reduced in general to geometry of curves in a Lagrangian Grassmannian or curves in projective spaces. "Naive", by hand constructions of canonical moving frames for such curves might be very cumbersome (were implemented by Doubrov and Zelenko, 2008 in the case of (3, n)-distributions for arbitrary n > 5) Statement of the problem Review of Tanaka theory Symplectification procedure

Tanaka like theory for curves of symplectic flag

More conceptual way to work with curves of symplectic flags-

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where $F_{\gamma}^{-1} := F_{\gamma}$, $F_{\gamma}^{i-1} := (F_{\gamma}^{i})'$ for i < 0,

 $F_{\gamma}^{i}(\lambda) := \left\{ \begin{array}{ll} (F_{\gamma}^{-i-1}(\lambda))^{\angle} & \text{if} \ \ F_{\gamma}^{-1}(\lambda) & \text{is proper coisotropic} \\ (F_{\gamma}^{-i-2}(\lambda))^{\angle} & \text{if} \ \ F_{\gamma}^{-1}(\lambda) & \text{is Lagrangian} \end{array} \right.$

i.e $F_{\gamma}(\lambda)$ is a symplectic flag for any $\lambda \in \gamma$;

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Symbol of Jacobi curve

By construction we have the following compatibility w.r.t. differentiation property $(F_{\gamma}^{i}(\lambda))' \subset F_{\gamma}^{i-1}(\lambda)$

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The tangent vector to the Jacobi curve at a point corresponding to λ can be identified with a line $s_{\lambda} \subset \mathfrak{csp} (\bigoplus_{i \in \mathbb{Z}} \operatorname{Gr}^{i}(\lambda))$ of degree -1, i.e. s.t. $s_{\lambda}(\operatorname{Gr}^{i}(\lambda)) \subset \operatorname{Gr}^{i-1}(\lambda)$

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 s_{λ} is called the symbol of the Jacobi curve at λ .

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Finiteness of set of symbols of curves

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Actually this follows from more general fact (E.Vinberg, 1976): If *G* is a semisimple Lie group, \mathfrak{g} is its Lie algebra with given grading $\mathfrak{g} = \bigoplus_{i=-\mu}^{\mu} \mathfrak{g}_i$, and G_0 is the connected subgroup of *G* with the Lie algebra \mathfrak{g}_0 , then the set of orbits of elements of \mathfrak{g}_{-1} w.r.t. the adjoint action of G_0 is finite.

Statement of the problem Review of Tanaka theory Symplectification procedure

Jacobi symbols of distributions

Finiteness of the set of symbols, up to isomorphism+ classification of symplectic symbols

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 $s \subset \mathfrak{csp}_{-1}(\bigoplus X^{i})$ Jacobi symbol of fixed graded the distribution D at q symplectic space $V := \bigoplus X^{i}$
New Formulation:

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Geometry of curves of flags of isotropic/coisotropic subspaces with constant symbol $s \subset csp(\oplus X^i)$

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Main theorem on Geometry of Curves of Flags

Igor Zelenko On the role of abnormal extremals

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Theorem (Doubrov-Zelenko) To a curve of flags of isotropic/coisotropic subspaces with constant symbol s one can assign in a canonical way a bundle of moving frames of dimension equal to $\dim \mathfrak{U}_F(s)$.

Distributions of maximal class

Jacobi curve of a generic abnormal extremal γ satisfies $F_{\gamma}^{-i(\lambda)}(\lambda) = \Delta(\gamma)$ for some integer $i(\lambda)$

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Actually we do not have any example of bracket generating (2, n)-distributions with small growth vector (2, 3, 5, ...) which are not of maximal class.

For example, all (2,6)-distributions with hyperbolic, parabolic, and elliptic Tanaka symbols have the same Jacobi symbol.

From canonical moving frames for Jacobi curves to canonical frames for distributions

Build the following graded Lie Algebra

$$B(s) = \underbrace{\eta}^{g^{-2}} \oplus \underbrace{(\bigoplus X^{l})}_{V} \oplus \underbrace{\mathfrak{U}_{F}(s)}^{g^{0}}$$

The Heisenberg algebra - the Tanaka symbol of the contact distribution Δ

Let $\mathfrak{U}_T(B(s))$ be the Tanaka universal algebraic prolongation of B(s) (i.e. the maximal nondegenerate graded Lie algebra, containing B(s) as its nonpositive part).

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In particular, the algebra of infinitesimal symmetries of a distribution D with Jacobi symbol s is $\leq \dim \mathfrak{U}_T(B(s))$.

Moreover, if in addition $\operatorname{rank} D = 2$ or $\operatorname{rank} D$ is odd, this upper bound for the algebra of infinitesimal symmetries is sharp.

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2(n-3) boxes

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- The flat curve with symbol s_n² is a curve of complete flags consisting of all osculating subspaces of the rational normal curve in P²ⁿ⁻⁷ (t → [1 : t : ... : t²ⁿ⁻⁷));
- $\mathfrak{U}_F(s) =$ is the image of the irreducible embedding of \mathfrak{gl}_2 into \mathfrak{gl}_{2n-6} .

Symmetry algebras for symplectically flat rank 2 distributions

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• *n* = 5

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Symmetry algebras for symplectically flat rank 2 distributions

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Symmetry algebras for symplectically flat rank 2 distributions

- n = 5 $U_T(B(s_5^2)) = G_2$ (Cartan, 1910) • $n = 6 U_1(B(s_2^2)) = B(s_2^2)$ the series
- n = 6 U_T(B(s²_n)) = B(s²_n) the semidirect sum of gl(2, ℝ) and (2n 5)-dimensional Heisenberg algebra n_{2n-5}.

Symmetry algebras for symplectically flat rank 2 distributions

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n = 6 U_T(B(s²_n)) = B(s²_n) - the semidirect sum of gl(2, ℝ) and (2n - 5)-dimensional Heisenberg algebra n_{2n-5}.





Finite type results via controllability by abnormal trajectories

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Projections of abnormal extremals to M will be called abnormal trajectories.

A distribution is called controllable by abnormal trajectories, if any two points can be connected by a concatenation of abnormal trajectories \Leftrightarrow the distribution $V \oplus C$ is bracket-generating.

Theorem (Doubrov-Zelenko) If a distribution *D* is controllable by abnormal trajectories, then it has a finite dimensional algebra of infinitesimal symmetries

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THANK YOU FOR YOUR ATTENTION

Igor Zelenko On the role of abnormal extremals

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