

**Example of endowed Lie algebras and their prolongations
in the classification of (2, 5) distributions
(the problem in E. Cartan's "5 Variables" paper)**

Theorem. Let $\mathcal{E} = (\mathcal{A}, P, (b))$ be a 6-dim Lie algebra endowed with a generating 2-plane and 1-dimensional isotropy subalgebra (b) . If \mathcal{E} is not a prolongation of the graded nilpotent 5-dim Lie algebra then the linear operator $ad\ b|_P$ has zero trace. If it is non-singular then the endowed algebra is isomorphic (over \mathbb{C}) to the endowed Lie algebra

$$[a_1, a_2] = a_3, \quad [a_1, a_3] = a_4, \quad [a_2, a_3] = a_5, \quad [a_1, b] = a_2, \quad [a_2, b] = -a_1$$

$$[a_1, a_4] = [a_2, a_5] = \lambda_1 a_3 + \lambda_2 b, \quad [a_1, a_5] = [a_2, a_4] = 0$$

(the other brackets are defined by Jacobi identity), endowed with the plane (a_1, a_2) and isotropy subalgebra (b) . At least one of λ_1, λ_2 is non-zero, and

$$\lambda = \lambda_1^2 / \lambda_2$$

is a complete invariant with respect to isomorphisms of endowed algebras. As a non-endowed algebra it is $so(3) \oplus so(3)$ provided $\lambda_2 \neq 0$ and $\lambda \neq 4$. For such λ , the endowed algebra \mathcal{E} has the following properties:

- it is a prolongation of the 5-dimensional Lie algebra

$$[X_1, X_2] = X_3, \quad [X_2, X_3] = X_1, \quad [X_3, X_1] = X_2, \quad [Y_1, Y_2] = Y_2, \quad [X_i, Y_j] = 0$$

endowed with generating 2-plane spanned by $a_1 = Y_1 + \theta X_1$, $a_2 = Y_2 + X_2$

- it describes the problem of rolling balls of radii R_1, R_2 .

The relation between λ , θ , and R_1, R_2 is as follows:

$$\lambda = - \left(\frac{1 - \theta^2}{\theta} \right)^2 = \left(\frac{1 + \mu^2}{\mu} \right)^2, \quad \text{where } \mu = \frac{R_1}{R_2}.$$

Theorem. The endowed Lie algebra \mathcal{E} is prolongable if and only if

$$\lambda = 100/9 \Leftrightarrow \theta = \pm 3i \Leftrightarrow \mu = R_1/R_2 \in \{3, 1/3\}$$

and in this case the prolongation is g_2 .

Remark. The fact that in the problem of rolling balls the symmetries form g_2 , on top of "visible" $so(3) \oplus so(3)$, if and only if the ratio of the radii is 1 : 3 is R. Bryant's theorem (lecture notes, 1998). He proved it by computing Cartan tensor, following Cartan's constructions. First published proof is due to I. Zelenko (2006); it is also computation of Cartan tensor, but with a different construction based on his joint approach with A. Agrachev. Another published proof, based on a work with Lie algebras, is due to G. Bor and R. Montgomery (2009). A nice math related to rolling balls (split-octonions) is contained in one of A. Agrachev's works (2007).

Homogeneous bracket generating affine distribution $A + (B)$ of \mathbb{R}^3 and \mathbb{R}^4

Theorem. The symmetry algebra of a homogeneous affine distribution germ $D = A + (B)$ on \mathbb{R}^n , $n = 3, 4$, is one of the following:

- (a) $n = 3, 4$: any n -dimensional Lie algebra admitting a generating 2-plane
- (b) $n = 4$: one of the 5-dimensional solvable Lie algebras

$$\mathcal{A}_\theta^5: \quad \begin{array}{llll} [b, a] = c & [a, c] = \theta b & [w, b] = b & [w, a] = 0 \\ [b, c] = d & [a, d] = 0 & [w, c] = c & [w, d] = 2d \\ \theta \in \{1, 0\} & [b, d] = 0 & [c, d] = 0 & \end{array}$$

- (c) $n = 3, 4$: Lie algebra of vector fields germs on \mathbb{R}^2 of the form $c \frac{\partial}{\partial y_1} + f(y_1, y_2) \frac{\partial}{\partial y_2}$, $c \in \mathbb{R}$.
- (d) $n = 3, 4$: Lie algebra of vector field germs on \mathbb{R}

In each of these cases **except case (d) for $n = 4$** the affine distribution D can be described by an 4-dimensional Lie algebra endowed with an affine line.

Theorem. The symmetry algebra is ∞ -dimensional (one of the cases cases (c), (d)) if and only if

$$[B, [B, A]] \in (B, [B, A]).$$

In each of the cases (c) and (d) all affine distributions are diffeomorphic. These cases hold if and only if

	\mathbb{R}^3	\mathbb{R}^4
Case (c)	$[B, [B, A]] \in (B, [B, A])$ $[A, [B, A]] \in (B, [B, A])$ Normal form: $B = \frac{\partial}{\partial x_1}$ $A = \frac{\partial}{\partial x_2} + x_1 \frac{\partial}{\partial x_3}$	$[B, [B, A]] \in (B, [B, A])$ $[A, [A, [B, A]] \in (B, [B, A]), [A, [B, A])$ Normal form: $B = \frac{\partial}{\partial x_1}$ $A = \frac{\partial}{\partial x_2} + x_1 \frac{\partial}{\partial x_3} + x_3 \frac{\partial}{\partial x_4}$
Case (d)	$[B, [B, A]] \in (B, [B, A])$ $[A, [B, A]] \notin (B, [B, A])$ Normal form: $B = \frac{\partial}{\partial x_1}$ $A = (1 + x_1 x_2) \frac{\partial}{\partial x_2} + x_1 \frac{\partial}{\partial x_3}$	$[B, [B, A]] \in (B, [B, A])$ $[A, [A, [B, A]] \notin (B, [B, A]), [A, [B, A])$ Normal form: $B = \frac{\partial}{\partial x_1}$ $A = (1 + x_2 x_3) \frac{\partial}{\partial x_2} + x_1 \frac{\partial}{\partial x_3} + x_3 \frac{\partial}{\partial x_4}$

Remark. The conditions in the table imply that $D = A + (B)$ is homogeneous for \mathbb{R}^3 and case (c) for \mathbb{R}^4 . In the case (d) for \mathbb{R}^4 the affine distribution is homogeneous if and only if it satisfies the given conditions and the condition

$$[B, [A, [A, [B, A]]] \in (B, [B, A]), [A, [B, A]).$$

**3-dim Lie algebras \mathcal{A} admitting a generating line $a + (b)$
and distinguishing prolongable endowed algebras**

3-dim Lie algebra \mathcal{A} admitting a generating $a + (b)$	# moduli in classif. of \mathcal{A} and classif. of endowed \mathcal{A}	endowed algebra is prolongable if and only if	Prolongation (if prolongable)
$so(3)$	0; 1	never prolongable	
$sl(2)$	0; 1	$\dim(b, [b, \mathcal{A}]) = 2$	$\mathcal{A}_{\infty,1}$
$(3, 2, 0)$ $\dim \mathcal{A}^2 = 2$ $(\mathcal{A}^2)^2 = 0$	1; $b \notin \mathcal{A}^2$: 1 $b \in \mathcal{A}^2$: 2	$b \in \mathcal{A}^2$	$\mathcal{A}_{\infty,2}$
$(3, 1, 0)$ non-nilp. $\dim \mathcal{A}^2 = 1$ $[\mathcal{A}, \mathcal{A}^2] \neq 0$	0; 1	always prolongable	$\mathcal{A}_{\infty,2}$
$(3, 1, 0)$ nilp. (Heisenberg) $\dim \mathcal{A}^2 = 1$ $[\mathcal{A}, \mathcal{A}^2] = 0$	0; 0	always prolongable	$\mathcal{A}_{\infty,2}$

$\mathcal{A}_{\infty,1}$: Lie algebra of vector field germs on \mathbb{R}

$\mathcal{A}_{\infty,2}$: Lie algebra of vector fields of the form $c \frac{\partial}{\partial y_1} + f(y_1, y_2) \frac{\partial}{\partial y_2}$, $c \in \mathbb{R}$.

**Classification of homogeneous affine distributions $A + (B)$ on \mathbb{R}^3
 = classification of non-prolongable 3-dim Lie algebras
 endowed with generating affine line $a + (b)$**

3-dim Lie algebra $\mathcal{A} = (a, b, c)$	normal form for \mathcal{A} endowed with $a + (b)$	parameters
$so(3)$	$[b, a] = c \quad [a, c] = tb \quad [c, b] = a$	$t > 0$
$sl(2)$	$[b, a] = c \quad [a, c] = tb \quad [c, b] = a$	$t > 0$
	$[b, a] = c \quad [a, c] = tb \quad [c, b] = -a$	$t \neq 0$
$(3, 2, 0)$ $\dim \mathcal{A}^2 = 2$ $(\mathcal{A}^2)^2 = 0$	$[b, a] = c \quad [b, c] = \pm a + \lambda c \quad [a, c] = 0$	$\lambda \geq 0, \pm:$ invariants of \mathcal{A}

Normal forms:

$so(3)$: take any vector fields X_1, X_2, X_3 on \mathbb{R}^3 , independent at 0, such that $[X_1, X_2] = X_3$, $[X_2, X_3] = X_1$, $[X_3, X_1] = X_2$. A normal form for affine distribution is $A + (B)$, $B = X_1$, $A = \sqrt{t}X_2$.

$sl(2)$: similar

$(4, 2, 0)$: take any vector fields A, B, C on \mathbb{R}^3 , independent at 0, such that $[B, A] = C$, $[B, C] = \pm A + \lambda C$, $[A, C] = 0$. A normal form is $A + (B)$, for example $A = \frac{\partial}{\partial x_2}$, $B = \frac{\partial}{\partial x_1} \mp x_3 \frac{\partial}{\partial x_2} + (x_2 + \lambda x_3) \frac{\partial}{\partial x_3}$, $\theta \geq 0$.

**Prolongable 3-dim Lie algebras
endowed with generating affine line $a + (b)$**

type of \mathcal{A}	Normal form for prolongable 3-dim Lie algebra $\mathcal{A} = (a, b, c)$ endowed with $a + (b)$	$\dim(\text{Aut})$	prolongation
$sl(2)$	$\begin{aligned} [b, a] &= c \\ [b, c] &= b \\ [a, c] &= -a \end{aligned}$	0	$\mathcal{A}_{\infty,1}$
$(3, 2, 0)$ $\dim \mathcal{A}^2 = 2$ $(\mathcal{A}^2)^2 = 0$	$\begin{aligned} [a, b] &= -c & [b, c] &= 0 \\ [a, c] &= t_1 b + t_2 c \\ t_1, t_2 &\in \mathbb{R}, t_1 \neq 0 \end{aligned}$	2	$\mathcal{A}_{\infty,2}$
$(3, 1, 0)$ non-nilp. $\dim \mathcal{A}^2 = 1$ $[\mathcal{A}, \mathcal{A}^2] \neq 0$	$\begin{aligned} [b, a] &= c & [b, a] &= c \\ [b, c] &= 0 & \text{and } [b, c] &= c \\ [a, c] &= tc & [a, c] &= 0 \end{aligned}$	2	$\mathcal{A}_{\infty,2}$
$(3, 1, 0)$ nilp. (Heisenberg) $\dim \mathcal{A}^2 = 1$ $[\mathcal{A}, \mathcal{A}^2] = 0$	$\begin{aligned} [b, a] &= c \\ [b, c] &= 0 \\ [a, c] &= 0 \end{aligned}$	2	$\mathcal{A}_{\infty,2}$

**Homogeneous bracket generating affine distributions $A + (B)$ on \mathbb{R}^4
with 5-dimensional symmetry algebra**

Theorem. If the symmetry algebra of a homogeneous bracket generating affine distribution germ $D = A + (B)$ on \mathbb{R}^4 is 5-dimensional then, as an endowed Lie algebra it is isomorphic to the Lie algebra

$$\mathcal{A}_{5,\theta}: \quad \begin{array}{llll} [b, a] = c & [a, c] = \theta b & [w, b] = b & [w, a] = 0 \\ [b, c] = d & [a, d] = 0 & [w, c] = c & [w, d] = 2d \\ [b, d] = 0 & [c, d] = 0 & & \end{array}$$

endowed with a line $a + (b)$ and isotropy subalgebra (w) . The parameter $\theta \in \mathbb{R}$ is an invariant of the endowed algebra.

Corollary. The distribution D can be described by the 4-dimensional subalgebra (a, b, c, d) of \mathcal{A}_θ endowed with the line $a + (b)$. The parameter θ is an invariant of D with respect to diffeomorphisms.

Corollary. The distribution D is diffeomorphic to

$$B = \frac{\partial}{\partial x_1}, \quad A = \frac{\partial}{\partial x_2} + x_1 \frac{\partial}{\partial x_3} + \frac{1}{2} (x_1^2 - \theta x_3^2) \frac{\partial}{\partial x_4}$$

**4-dim Lie algebras \mathcal{A} admitting a generating line $a + (b)$
and distinguishing prolongable endowed algebras**

4-dim Lie algebra \mathcal{A} admitting a generating $a + (b)$	# moduli in classif. of \mathcal{A} and classif. of endowed \mathcal{A}	endowed algebra is prolongable if and only if	Prolongation (if prolongable)
$so(3) \oplus \mathbb{R}$	0; 2	never prolongable	
$sl(2) \oplus \mathbb{R}$	0; 2	$\dim(b, [b, \mathcal{A}]) = 2$	$\mathcal{A}_{\infty,1}$
$(4, 3, 1, 0)$ $\dim \mathcal{A}^2 = 3$ $\dim (\mathcal{A}^2)^2 = 1$	1; 2	$b \in \mathcal{A}^2$	$\mathcal{A}_{5,\theta}$
$(4, 3, 0)$ $\dim \mathcal{A}^2 = 3$ $(\mathcal{A}^2)^2 = 0$	2; 2	$b \in \mathcal{A}^2$	$\mathcal{A}_{\infty,1}$
$(4, 2, 0)$ $\dim \mathcal{A}^2 = 2$ $(\mathcal{A}^2)^2 = 0$	non-degen.: 0; 2 degen.: 1; 1 see (*)	one of the following: for the operator $ad b _{\mathcal{A}^2}$ and its eigenvalues λ_1, λ_2 : <hr/> $ad b _{\mathcal{A}^2}$ is scalar <hr/> $\lambda_1 = \lambda_2 = 0,$ $ad b _{\mathcal{A}^2} \neq 0$ <hr/> $\lambda_1 : \lambda_2 = 1 : 2$	$\mathcal{A}_{\infty,1}$ $\mathcal{A}_{5,\theta}$ $\mathcal{A}_{5,\theta}$

(*) a $(4,2,0)$ Lie algebra \mathcal{A} is degenerate if there exists $x \notin \mathcal{A}^2$ such that $ad x|_{\mathcal{A}^2} = 0$.
In this case the condition in the last column is a condition on \mathcal{A} .

**Prolongable 4-dim Lie algebras
endowed with generating affine line $a + (b)$**

Normal form for prolongable 4-dim Lie algebra $\mathcal{A} = (a, b, c, d)$ endowed with $a + (b)$	type of \mathcal{A}	$\dim(\text{Aut})$	prolongation
$\begin{aligned} [b, a] &= c & [a, c] &= d \\ [b, c] &= b & [a, d] &= t_1 c \\ [b, d] &= c & [c, d] &= t_1 b + d \\ & & & t_1 \in \mathbb{R} \end{aligned}$	$sl(2) \oplus \mathbb{R}$	0	$\mathcal{A}_{\infty,1}$
$\begin{aligned} [b, a] &= c & [a, c] &= d \\ [b, c] &= 0 & [a, d] &= t_1 b + t_2 c + t_3 d \\ [b, d] &= 0 & [c, d] &= 0 \\ & & & t_1, t_2, t_3 \in \mathbb{R} \end{aligned}$	$t_1 \neq 0: (4, 3, 0)$ $t_1 = 0: (4, 2, 0)$	2	$\mathcal{A}_{\infty,1}$
$\begin{aligned} [b, a] &= c & [a, c] &= d \\ [b, c] &= c & [a, d] &= t_1 c + t_2 d \\ [b, d] &= d & [c, d] &= 0 \\ & & & t_1, t_2 \in \mathbb{R} \end{aligned}$	$(4, 2, 0)$	0	$\mathcal{A}_{\infty,1}$
$\begin{aligned} [b, a] &= c & [a, c] &= t_1 c + t_2 b \\ [b, c] &= d & [a, d] &= t_1 d \\ [b, d] &= 0 & [c, d] &= 0 \\ & & & t_1, t_2 \in \mathbb{R} \end{aligned}$	$t_1 \neq 0: (4, 3, 1, 0)$ $t_1 = 0: (4, 2, 0)$	1	$\mathcal{A}_{5,\theta}$ $\theta = t_2 - \frac{1}{4}t_1^2$
$\begin{aligned} [b, a] &= c & [a, c] &= t_1 c \\ [b, c] &= d & [a, d] &= t_1 d \\ [b, d] &= -\frac{2}{9}c + d & [c, d] &= 0 \\ & & & t_1 \in \mathbb{R} \end{aligned}$	$(4, 2, 0)$	0	$\mathcal{A}_{5,\theta}$ $\theta = -\frac{1}{4}t_1^2$