

Non-scattering wavenumbers and far field invisibility for a finite set of incident/scattering directions

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Coll. with A.-S. Bonnet-Ben Dhia² and S.A. Nazarov³.

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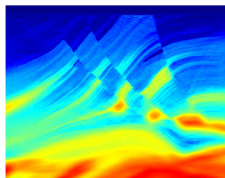
²Poems team, Ensta ParisTech, France

³FMM, St. Petersburg State University, Russia



General setting

- ▶ We are interested in methods based on the **propagation of waves** to determine the shape, the physical properties of objects, in an **exact** or **qualitative** manner, from given measurements.
- ▶ GENERAL PRINCIPLE OF THE METHODS:
 - i) send waves in the medium;
 - ii) measure the scattered field;
 - iii) deduce information on the structure.



- Many **techniques**: Xray, ultrasound imaging, seismic tomography, ...
- Many **applications**: biomedical imaging, non destructive testing of materials, geophysics, ...

Model problem

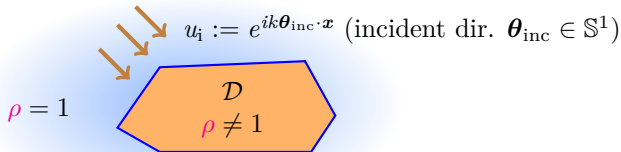
- Scattering in **time-harmonic** regime of an **incident plane wave** by a bounded penetrable **inclusion** \mathcal{D} (coefficients ρ) in \mathbb{R}^2 .



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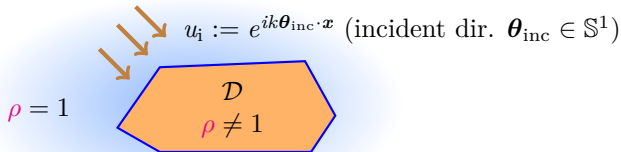
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DEFINITION: $u_i =$ **incident** field (data)
 $u =$ **total** field (uniquely defined by (1))
 $u_s =$ **scattered** field (uniquely defined by (1)).

Illustration of the scattering of a plane wave

- ▶ Below, the movies represent a **numerical approximation** of the solution of the previous problem.

Incident field

Total field

Scattered field

$$t \mapsto \Re e (e^{-i\omega t} u_i(\mathbf{x}))$$

$$t \mapsto \Re e (e^{-i\omega t} u(\mathbf{x}))$$

$$t \mapsto \Re e (e^{-i\omega t} u_s(\mathbf{x}))$$


- ▶ The **pulsation** ω is defined by $\omega = k/c$ where $c = 1$ is the **celerity** of the waves in the homogeneous medium. 

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Can we recover information on the inclusion from far field measurements?

We are interested in defects that **cannot be detected** and in **invisibility**.

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► These questions have been studied when one can produce incident plane waves and measure the resulted scattered fields in **all directions**.

Question 1) leads to the analysis of the **Interior Transmission Problem**.
(Cakoni, Colton, Gintides, Haddar, Hu, Kirsch, Kress, Lakshtanov, Lechleiter, Monk, Sylvester, Päivärinta, Rynne, Sleeman, Sun,...)

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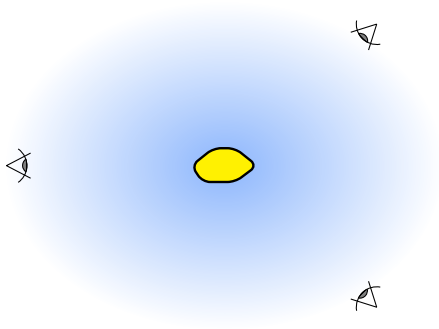
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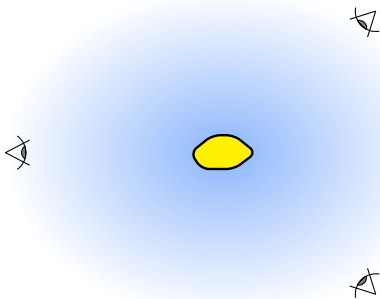
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► Extensions to consider the case of **partial aperture** (but still with a **continuum** of data).

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when one can produce incident plane waves and measure the resulting scattered field **only** in a **finite number of given directions**.

Outline of the talk

1 Introduction

2 Non-scattering wavenumbers

Is there **an incident wave** which does not scatter at infinity?

3 Invisible inclusions

Can it be that **all incident waves** do not scatter at infinity?

4 Conclusion

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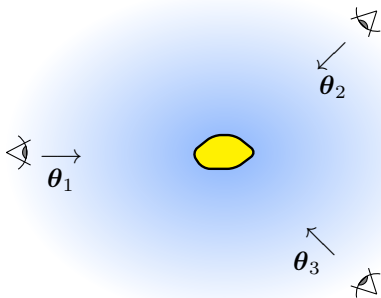
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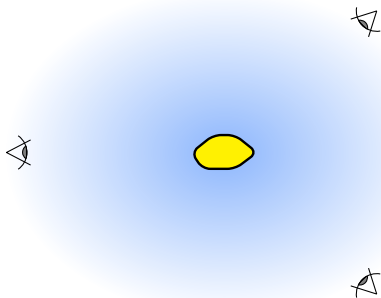
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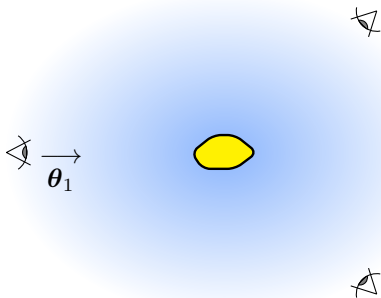
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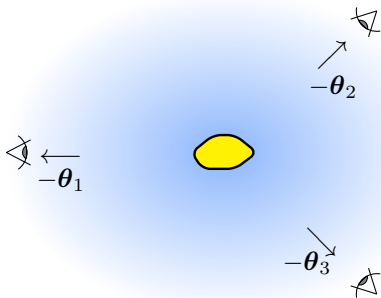
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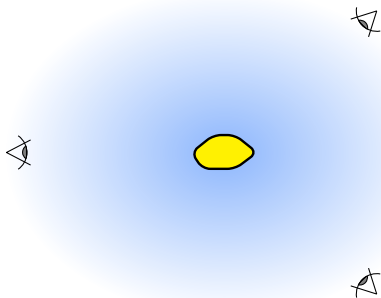
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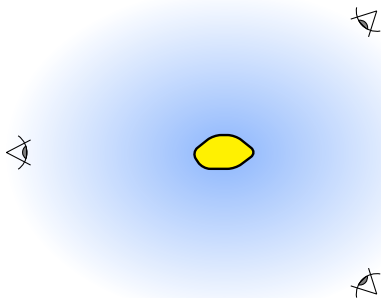
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$N \times N$ multistatic backscattering **measurements**

Far field pattern

► For a given incident direction $\boldsymbol{\theta}_{\text{inc}}$, the **scattered field** $u_{\text{s}}(\cdot, \boldsymbol{\theta}_{\text{inc}})$ admits the **asymptotic expansion**

$$u_{\text{s}}(\boldsymbol{x}, \boldsymbol{\theta}_{\text{inc}}) = \frac{e^{ikr}}{\sqrt{r}} \left(u_{\text{s}}^{\infty}(\boldsymbol{\theta}_{\text{sca}}, \boldsymbol{\theta}_{\text{inc}}) + O(1/r) \right)$$

as $r = |\boldsymbol{x}| \rightarrow +\infty$, uniformly in $\boldsymbol{\theta}_{\text{sca}} \in \mathbb{S}^1$.

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DEFINITION: The map $u_s^\infty(\cdot, \cdot) : \mathbb{S}^1 \times \mathbb{S}^1 \rightarrow \mathbb{C}$ is called the **far field pattern**.

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The **far field pattern** is the quantity **one can measure** at infinity (the other terms are too small).

- ▶ **In practice**, the goal of imaging techniques is to find features of the inclusion from the knowledge of $u_s^\infty(\cdot, \cdot)$ on a **finite subset** of $\mathbb{S}^1 \times \mathbb{S}^1$.

Relative scattering matrix

► For $\boldsymbol{\theta}_1, \dots, \boldsymbol{\theta}_N$ given directions of \mathbb{S}^1 , we introduce the **relative scattering matrix** $\mathcal{S}(k) \in \mathbb{C}^{N \times N}$ defined via

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- ▶ For k non-scat. wavenumber, there is some $(\alpha_1, \dots, \alpha_N) \in \mathbb{C}^N \setminus \{0\}$ s.t.

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- ▶ **Unlike in the continuous setting**, the scattered field **does not vanish identically** at infinity.

Discreteness of non-scattering wavenumbers

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$$c \bar{\alpha}^\top \mathcal{S}(k) \alpha = \int_{\mathbb{R}^2} |\nabla u_s|^2 + |k|^2 \rho |u_s|^2 + |k|^2 \int_{\mathcal{D}} (1 - \rho) |u_i|^2.$$

where $u_i = \sum_{n=1}^N \alpha_n e^{ik\theta_n \cdot x}$, $\alpha = (\alpha_1, \dots, \alpha_N)^\top$ and $c \neq 0$ is a constant.

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- 4 Using the **principle of isolated zeros**, we obtain the following result:

PROPOSITION. Suppose that $\rho < 1$. Then the set of non-scattering wavenumbers is **discrete** and **countable**.

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TWO REMARKS:

- Unlike in the continuous setting, this problem **does not reduce** to a problem set on the (compact) **support of the inclusion**.
- Unlike in the continuous setting, the cases $A = 1$ and $A \neq 1$ (for $\operatorname{div}(A\nabla u) + k^2 \rho u = 0$) **do not require different functional frameworks**.

➋ For $k \in \mathbb{R} \setminus \{0\}$, $\rho > 1$, we deduce that $\mathcal{N}(k)$ is invertible.

➌ Using the principle of isolated zeros, we obtain the following result:

PROPOSITION. Suppose that $\rho > 1$. Then, the set of non-scattering wavenumbers is non-empty and countable.

- 1 Introduction
- 2 Non-scattering wavenumbers
- 3 Invisible inclusions**
- 4 Conclusion

Invisible inclusions: setting

► In the previous section, for a given obstacle, we have studied the k such that $\ker \mathcal{S}(k) \neq \{0\}$ ($\mathcal{S}(k)$ is the relative scattering matrix).

► Now, we assume that k and the support of the inclusion \bar{D} are given.

We explain how to construct non trivial inclusions such that $\mathcal{S}(k) = 0$.

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- ▶ These inclusions **cannot be detected** from far field measurements.

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FORMULATION OF THE PROBLEM:

Find a real valued function $\rho \neq 1$, with $\rho - 1$ supported in $\bar{\mathcal{D}}$, such that the solution of the problem

$$\left| \begin{array}{l} \text{Find } u = u_s + e^{ik\theta_{\text{inc}} \cdot x} \text{ such that} \\ -\Delta u = k^2 \rho u \quad \text{in } \mathbb{R}^2, \\ \lim_{r \rightarrow +\infty} \sqrt{r} \left(\frac{\partial u_s}{\partial r} - ik u_s \right) = 0 \end{array} \right.$$

verifies $u_s^\infty(\theta_1) = \dots = u_s^\infty(\theta_N) = 0$.

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Origin of the method:

- The idea we will use has been introduced in Nazarov 11 to construct waveguides for which there are embedded eigenvalues in the continuous spectrum.
- It has been adapted in Bonnet-Ben Dhia & Nazarov 13 to build invisible perturbations of waveguides (see also Bonnet-Ben Dhia, Nazarov & Taskinen 14 for an application to a water-wave problem).

Sketch of the method

- ▶ Define $\sigma = \rho - 1$ and gather the measurements in the vector

$$F(\sigma) = (F_1(\sigma), \dots, F_{2N}(\sigma))^T \in \mathbb{R}^{2N}.$$

(N complex measurements \Rightarrow $2N$ real measurements)

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- ▶ No obstacle leads to null measurements $\Rightarrow F(0) = 0$.

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- ▶ We look for **small perturbations** of the reference medium: $\sigma = \varepsilon\mu$ where $\varepsilon > 0$ is a small parameter and where μ has to be determined.

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- ▶ Taylor: $F(\varepsilon\mu) = F(0) + \varepsilon dF(0)(\mu) + \varepsilon^2 \tilde{F}^{\varepsilon}(\mu)$

Sketch of the method

- ▶ Define $\sigma = \rho - 1$ and gather the measurements in the vector

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If G^ε is a contraction, the fixed-point equation has a unique solution $\vec{\tau}^{\text{sol}}$. Set $\sigma^{\text{sol}} := \varepsilon \mu^{\text{sol}}$. We have $F(\sigma^{\text{sol}}) = 0$ (existence of an invisible inclusion).

Application: step 1

- ▶ For our problem, we have ($\sigma = \rho - 1$)

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- ▶ It is easy to find functions $\mu_0 \in \ker dF(0)$ (i.e., s.t. $u_s^\varepsilon^\infty(\boldsymbol{\theta}_n) = O(\varepsilon^2)$) for $n = 1, \dots, N$). But we want $u_s^\varepsilon^\infty(\boldsymbol{\theta}_n) = 0$...

Application: step 2

- In the expression $\rho^\varepsilon = 1 + \varepsilon\mu$, we redecompose μ as

$$\mu = \mu_0 + \sum_{m=1}^N \tau_{1,m} \mu_{1,m} + \sum_{m=1}^N \tau_{2,m} \mu_{2,m}$$

where $\tau_{1,m}, \tau_{2,m}$ are real parameters that we will tune
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$$\begin{aligned} \int_{\mathcal{D}} \mu_0 \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= 0, & \int_{\mathcal{D}} \mu_0 \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= 0 \\ \int_{\mathcal{D}} \mu_{1,m} \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= \delta^{mn}, & \int_{\mathcal{D}} \mu_{1,m} \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= 0 \\ \int_{\mathcal{D}} \mu_{2,m} \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= 0, & \int_{\mathcal{D}} \mu_{2,m} \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}) d\mathbf{x} &= \delta^{mn}. \end{aligned}$$

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Assume that there are $\mu_0, \mu_1, \dots, \mu_{2N} \in L^\infty(\mathcal{D})$ such that $dF(0)(\mu_0) = 0$,
 $[dF(0)(\mu_1), \dots, dF(0)(\mu_{2N})] = Id_{2N}$.

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We introduce $2N$ real parameters because we want to cancel N complex coefficients.

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- ▶ With this decomposition, we obtain

$$u_s^{\varepsilon \infty}(\boldsymbol{\theta}_n) = \varepsilon c k^2 (\tau_{1,n} + i\tau_{2,n}) + \varepsilon^2 c k^2 (\tilde{F}_{1,n}^\varepsilon(\vec{\tau}) + i\tilde{F}_{2,n}^\varepsilon(\vec{\tau})),$$

where $\tilde{F}_{1,n}^\varepsilon, \tilde{F}_{2,n}^\varepsilon$ are **real-valued** functions depending (**non linearly**) on ε ,
 $\vec{\tau} := (\tau_{1,1}, \dots, \tau_{1,N}, \tau_{2,1}, \dots, \tau_{2,N})^\top$.

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Use the **term at order ε** whose dependence with respect to ρ is simple to **control** and **cancel** the whole expansion.

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- ▶ Now, we can impose $\boxed{u_s^{\varepsilon \infty}(\boldsymbol{\theta}_n) = 0}$ solving the **fixed point problem**:

$$\boxed{\text{Find } \vec{\tau} \in \mathbb{R}^{2N} \text{ such that } \vec{\tau} = G^{\varepsilon}(\vec{\tau}),} \quad (2)$$

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PROPOSITION: For ε small enough, define $\rho^{\text{sol}} = 1 + \varepsilon\mu^{\text{sol}}$ with

$$\mu^{\text{sol}} = \mu_0 + \sum_{m=1}^N \tau_{1,m}^{\text{sol}} \mu_{1,m} + \sum_{m=1}^N \tau_{2,m}^{\text{sol}} \mu_{2,m}.$$

Then the solution of the scattering problem

$$\left\{ \begin{array}{l} \text{Find } u^\varepsilon = u_s^\varepsilon + e^{ik\boldsymbol{\theta}_{\text{inc}} \cdot \mathbf{x}} \text{ such that} \\ -\Delta u = k^2 \rho^{\text{sol}} u \quad \text{in } \mathbb{R}^2, \\ \lim_{r \rightarrow +\infty} \sqrt{r} \left(\frac{\partial u_s}{\partial r} - ik u_s \right) = 0 \end{array} \right.$$

verifies $u_s^\infty(\boldsymbol{\theta}_1) = \dots = u_s^\infty(\boldsymbol{\theta}_N) = 0$.

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where $\mu_0^\# \notin \text{span}\{\mu_{1,1}, \dots, \mu_{1,N}, \mu_{2,1}, \dots, \mu_{2,N}\}$.

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$$\mu^{\text{sol}} = \mu_0 + \sum_{m=1}^N \tau_{1,m}^{\text{sol}} \mu_{1,m} + \sum_{m=1}^N \tau_{2,m}^{\text{sol}} \mu_{2,m}$$

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- The inclusion **can be built** and does not involve singular materials (\neq cloaking techniques). Moreover, μ^{sol} is just a small perturbation of μ_0 :

$$\mu^{\text{sol}} = \mu_0 + O(\varepsilon).$$

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- It **proves the existence** of invisible inclusions. This may appear not so surprising since measurements belong to a space of **finite dimension** and $\rho \in L^\infty(\mathcal{D})$.

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- It **proves the existence** of invisible inclusions. This may appear not so surprising since measurements belong to a space of **finite dimension** and $\rho \in L^\infty(\mathcal{D})$. *The case $\theta_{\text{inc}} = \theta_{\text{sca}}$ shows that nothing is obvious...*

The case $\boldsymbol{\theta}_{\text{inc}} = \boldsymbol{\theta}_{\text{sca}}$

- ▶ In the previous approach, we needed to assume $\boldsymbol{\theta}_{\text{inc}} \neq \boldsymbol{\theta}_n$, $n = 1, \dots, N$.

$$u_s^{\varepsilon \infty}(\boldsymbol{\theta}_n) = 0 + \varepsilon c k^2 \int_{\mathcal{D}} \mu e^{ik(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \mathbf{x}} d\mathbf{x} + O(\varepsilon^2).$$

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verifies
$$u_s^\infty(\theta_{\text{sca}}) = c k^2 \int_{\mathcal{D}} (\rho - 1) (u_i + u_s) e^{-ik\theta_{\text{sca}} \cdot x} d\mathbf{x}.$$

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- ▶ This allows to prove the formula (use [Colton, Kress 98](#))

$$\Im m (c^{-1} u_s^\infty(\boldsymbol{\theta}_{\text{inc}})) = k \int_{\mathbb{S}^1} |u_s^\infty(\boldsymbol{\theta})|^2 d\boldsymbol{\theta}.$$

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- ▶ This allows to prove the formula (use [Colton, Kress 98](#))

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Imposing invisibility in the direction θ_{inc} requires to impose invisibility in all directions $\theta \in \mathbb{S}^1$!

The case $\theta_{\text{inc}} = \theta_{\text{sca}}$

- ▶ In the previous approach, we needed to assume $\theta_{\text{inc}} \neq \theta_n$, $n = 1, \dots, N$.

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- **No solution** if \mathcal{D} has corners and under certain assumptions on ρ .
 - Corners always scatter, E. Blåsten, L. Päiväranta, J. Sylvester, 2014
 - Corners and edges always scatter, J. Elschner, G. Hu, 2015
- And if \mathcal{D} is **smooth**? \Rightarrow The problem seems open.



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Data and algorithm

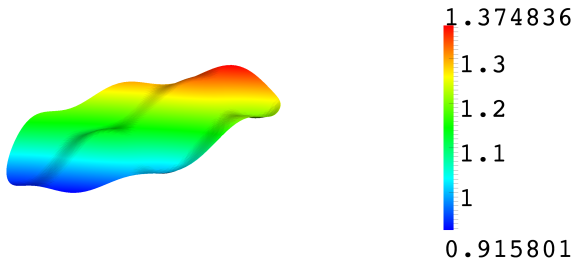
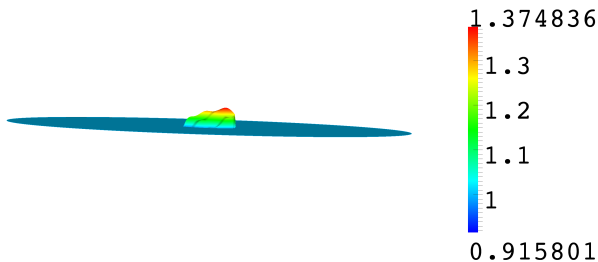
- ▶ We can solve the fixed point problem using an **iterative procedure**: we set $\vec{\tau}^0 = (0, \dots, 0)^\top$ then define

$$\vec{\tau}^{n+1} = G^\varepsilon(\vec{\tau}^n).$$

- ▶ At each step, we solve a scattering problem. We use a **P2 finite element method** set on the ball B_8 . On ∂B_8 , a truncated **Dirichlet-to-Neumann map** with 13 harmonics serves as a **transparent boundary condition**.
- ▶ For the numerical experiments, we take $\mathcal{D} = B_1$, $M = 3$ (3 directions of observation) and

$$\left| \begin{array}{ll} \boldsymbol{\theta}_{\text{inc}} = (\cos(\psi_{\text{inc}}), \sin(\psi_{\text{inc}})), & \psi_{\text{inc}} = 0^\circ \\ \boldsymbol{\theta}_1 = (\cos(\psi_1), \sin(\psi_1)), & \psi_1 = 90^\circ \\ \boldsymbol{\theta}_2 = (\cos(\psi_2), \sin(\psi_2)), & \psi_2 = 180^\circ \\ \boldsymbol{\theta}_3 = (\cos(\psi_3), \sin(\psi_3)), & \psi_3 = 225^\circ \end{array} \right.$$

Results: coefficient ρ at the end of the process



Results: scattered field

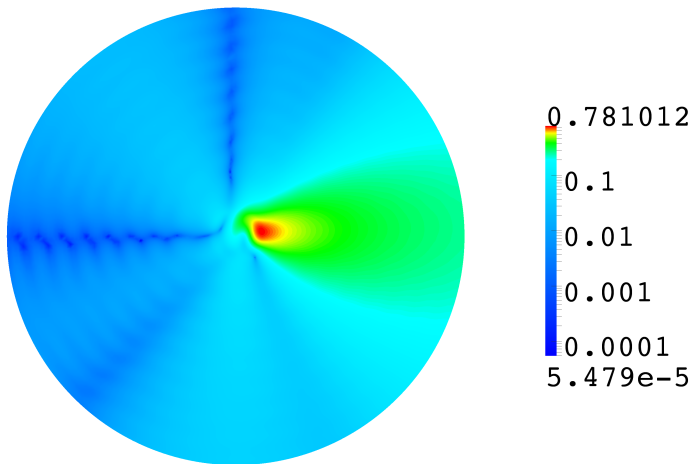


Figure: $|u_s|$ at the end of the fixed point procedure in **logarithmic scale**. As desired, we see it is **very small** far from \mathcal{D} in the directions corresponding to the angles 90° , 180° and 225° . The domain is equal to B_8 .

Results: far field pattern

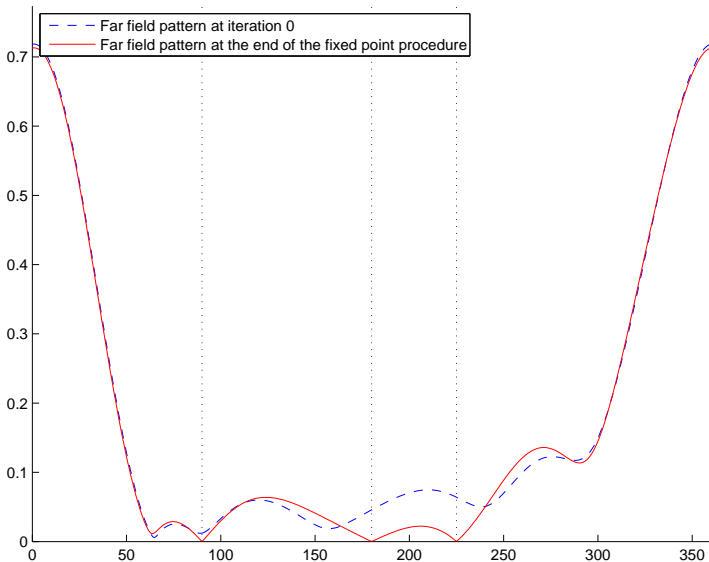


Figure: The dotted lines show the directions where we want u_s^∞ to vanish.

Application to EIT

- ▶ In Chesnel, Hyvönen & Staboulis 14, we adapted the approach to build invisible conductivities in Electrical Impedance Tomography.



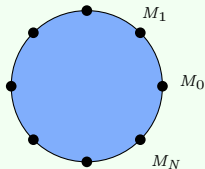
Goal of EIT: find perturbations of the reference conductivity from boundary measurements of current and potential.

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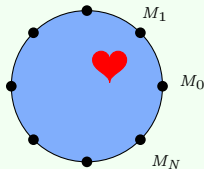
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$$\left| \begin{array}{l} \Delta u^n = 0 \\ \nu \cdot \nabla u^n = \delta_n - \delta_0 \end{array} \right.$$



$$\left| \begin{array}{l} \operatorname{div}(\sigma \nabla v^n) = 0 \\ \nu \cdot \sigma \nabla v^n = \delta_n - \delta_0 \end{array} \right.$$

Find $\sigma \neq 1$, with $\operatorname{supp} \sigma \subset \mathcal{D}$, s.t.

$$(v^n - u^n)(M_m) = (v^n - u^n)(M_0)$$

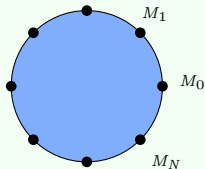
for $m, n = 1, \dots, N$.

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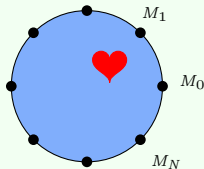
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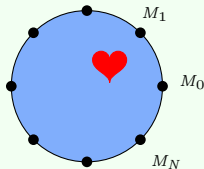
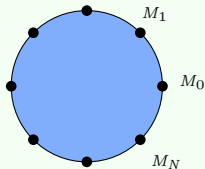
- ▶ To implement the method, we need to prove that on the support of the perturbation, the family $\{\nabla u^m \cdot \nabla u^n\}_{1 \leq m \leq n \leq N}$ is **linearly independent**.

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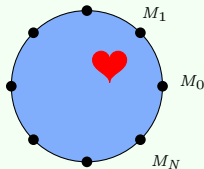
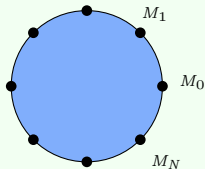
- Ok in 2D: explicit expression in the disk + conformal map.

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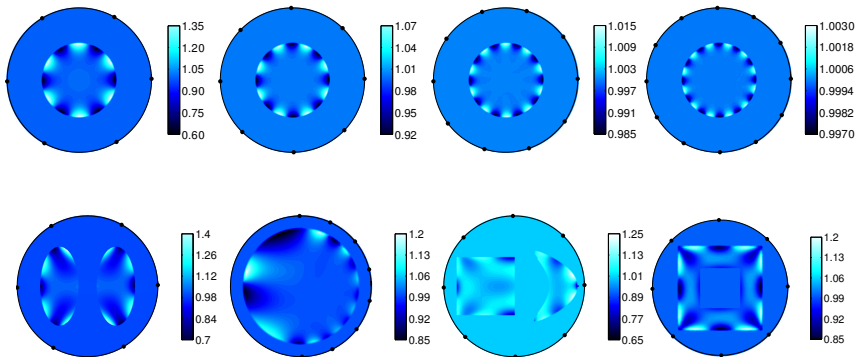
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- Ok in 2D: explicit expression in the disk + conformal map.
- Open problem in 3D.

Numerical results

Examples of conductivities which provide the same measurements as the reference conductivity $\sigma = 1$.



► The dots corresponds to the positions of the electrodes.

- 1 Introduction
- 2 Non-scattering wavenumbers
- 3 Invisible inclusions
- 4 Conclusion**

Conclusion

Discreteness of non-scattering eigenvalues

For a given obstacle, is there an incident field that does not scatter?

- ♠ How to proceed to prove **discreteness** of non-scattering wavenumbers for situations other than **multistatic backscattering measurements**?
- ♠ Can we **relax** assumptions on ρ ?
- ♠ Can we prove **existence** of non-scattering wavenumbers in this setting?
- ♠ Do non-scattering wavenumbers (if they exist) **converge** to the transmission eigenvalues of the continuous framework when the **number of directions tends to $+\infty$** ?

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Invisibility

For a given frequency, how to build an invisible obstacle?

- ♠ An important issue: can we **reiterate** the process to construct **larger defects** in the reference medium? *Work in progress...*

Thank you for your attention!!!