

Invisibilité et camouflage d'obstacles dans des guides d'ondes acoustiques

Lucas Chesnel¹

Coll. with A.-S. Bonnet-BenDhia², J. Heleine³, S.A. Nazarov⁴, V. Pagneux⁵

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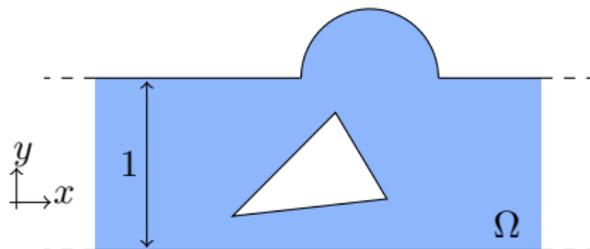
³IMT, Univ. Paul Sabatier, France

⁴FMM, St. Petersburg State University, Russia

⁵LAUM, Univ. du Maine, France

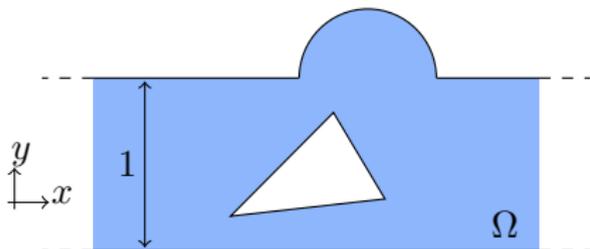
The logo for Inria, featuring the word "Inria" in a stylized, cursive font with a color gradient from red to orange.

- We consider the **propagation of waves** in a 2D **acoustic** waveguide with an obstacle (also relevant in optics, microwaves, water-waves theory,...).



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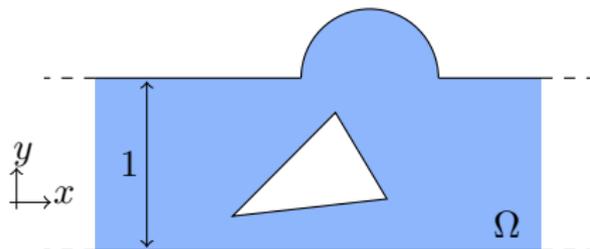


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- ▶ For this problem, the **modes** are

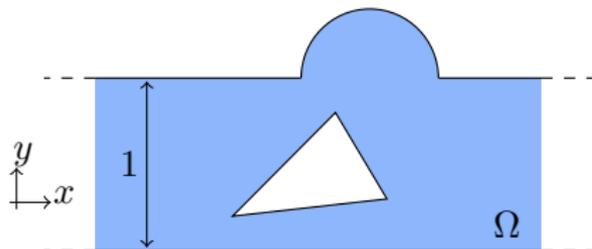
$$\begin{array}{l} \text{Propagating} \\ \text{Evanescent} \end{array} \left| \begin{array}{l} w_n^\pm(x, y) = e^{\pm i\beta_n x} \cos(n\pi y), \quad \beta_n = \sqrt{k^2 - n^2\pi^2}, \quad n \in \llbracket 0, N-1 \rrbracket \\ w_n^\pm(x, y) = e^{\mp \beta_n x} \cos(n\pi y), \quad \beta_n = \sqrt{n^2\pi^2 - k^2}, \quad n \geq N. \end{array} \right.$$

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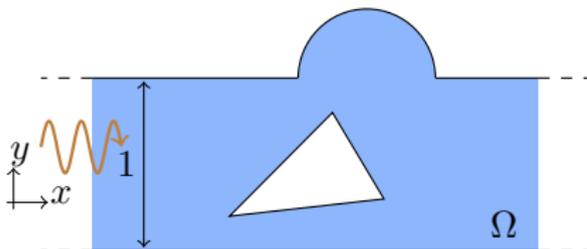
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- ▶ We fix $k \in (0; \pi)$ so that only the plane waves $e^{\pm ikx}$ can propagate.
- ▶ The scattering of the wave e^{ikx} leads us to consider the solutions of (\mathcal{P}) with the decomposition

$$u = \begin{cases} e^{ikx} + R e^{-ikx} + \dots & x \rightarrow -\infty \\ T e^{+ikx} + \dots & x \rightarrow +\infty \end{cases}$$

$R, T \in \mathbb{C}$ are the **scattering coefficients**, the ... are expon. decaying terms.

- ▶ We have the relation of **conservation of energy** $|R|^2 + |T|^2 = 1$.
- Without obstacle, $u = e^{ikx}$ so that $(R, T) = (0, 1)$.
- With an obstacle, in general $(R, T) \neq (0, 1)$.

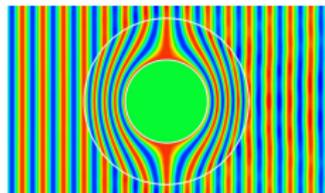
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Goal of the talk

We wish to identify situations (geometries, k) where $R = 0$ (zero reflection) or $T = 1$ (perfect invisibility) \Rightarrow **cloaking at “infinity”**.



Difficulty: the scattering coefficients have a **non explicit** and **non linear** dependence wrt the geometry and k .
→ Optimization techniques **fail** due to local minima.



Remark: **different** from the **usual cloaking picture** (Pendry *et al.* 06, Leonhardt 06, Greenleaf *et al.* 09) because we wish to **control only the scattering coef.**

→ Less ambitious but doable without fancy materials (and relevant in practice).

Outline of the talk

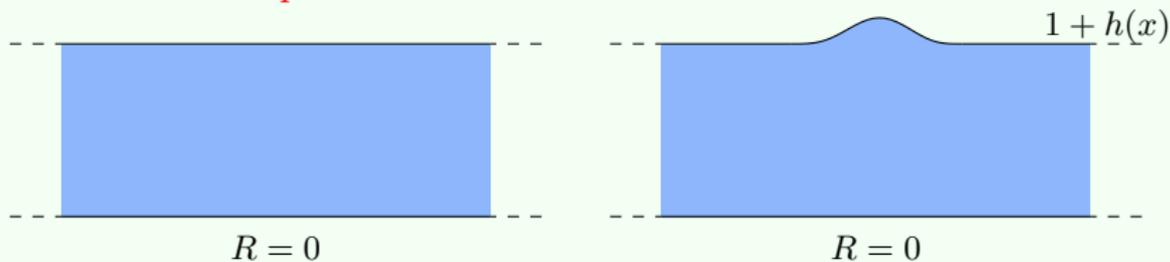
- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
- 4 Cloaking of given large obstacles

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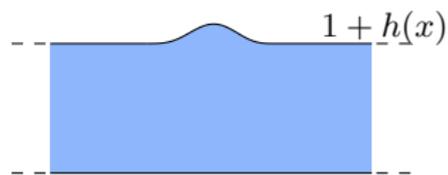
General picture

- ▶ **Perturbative** technique: we construct small non reflecting defects using variants of the **implicit functions theorem**.



Sketch of the method

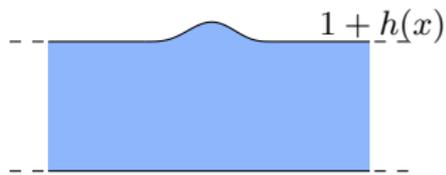
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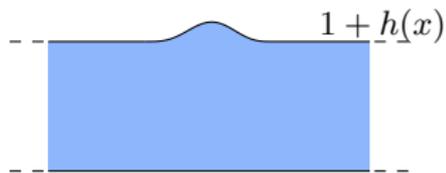
| Note that $R(0) = 0$
(no obstacle leads to null measurements).



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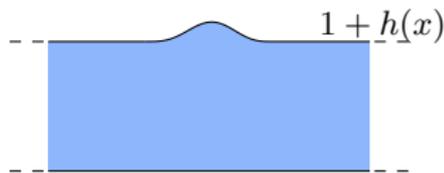
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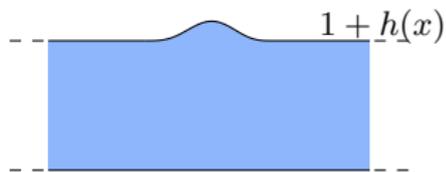


- ▶ We look for h of the form $h = \varepsilon\mu$ with $\varepsilon > 0$ **small** and μ to determine.

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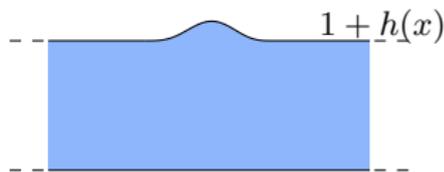
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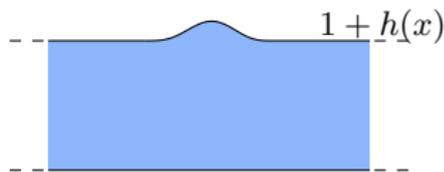
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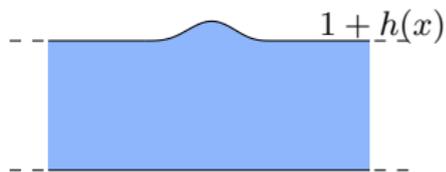
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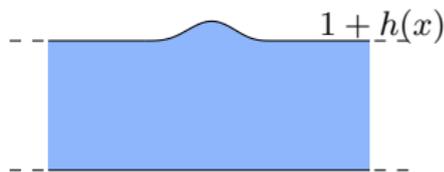
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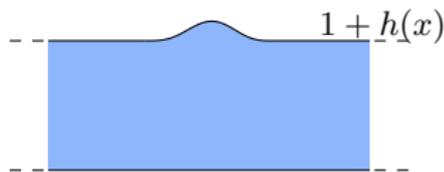
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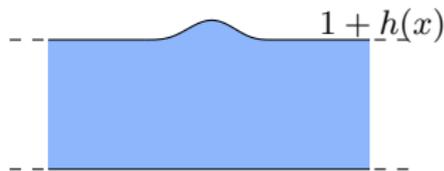
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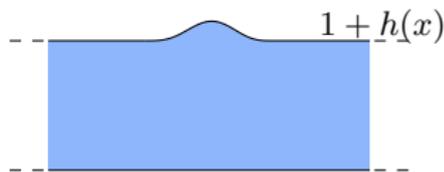
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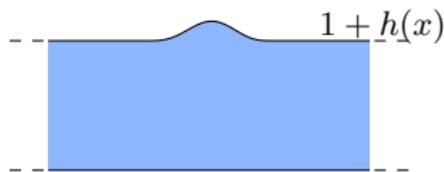
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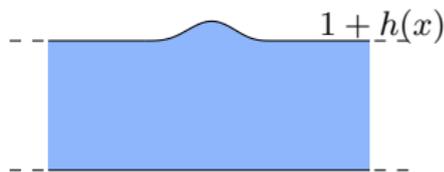
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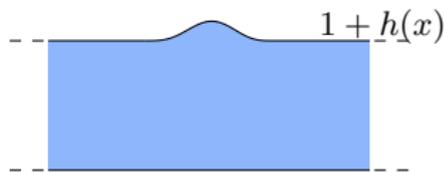
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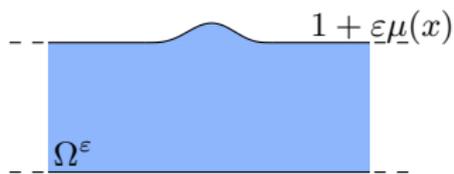
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G^ε is a **contraction** \Rightarrow the **fixed-point equation** has a unique solution $\vec{\tau}^{\text{sol}}$.
Set $h^{\text{sol}} := \varepsilon \mu^{\text{sol}}$. We have $R(h^{\text{sol}}) = 0$ (**non reflecting perturbation**).

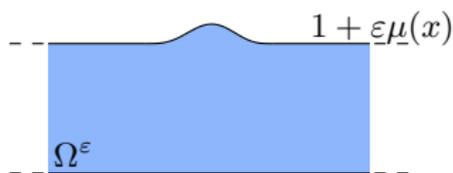
Calculus of the differential



- Using classical results of asymptotic analysis, we obtain

$$R(\varepsilon \underline{\mu}) = 0 + \varepsilon \left(-\frac{1}{2} \int_{-\ell}^{\ell} \partial_x \underline{\mu}(x) e^{2ikx} dx \right) + O(\varepsilon^2).$$

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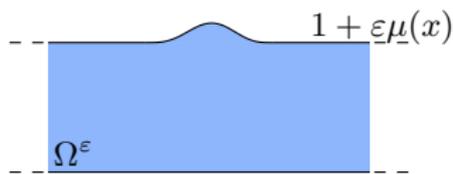


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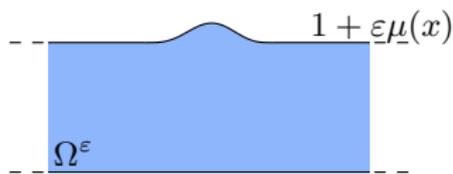
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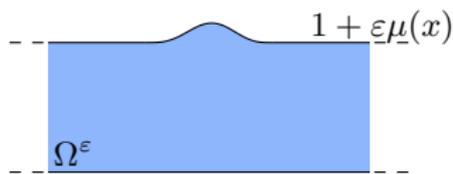
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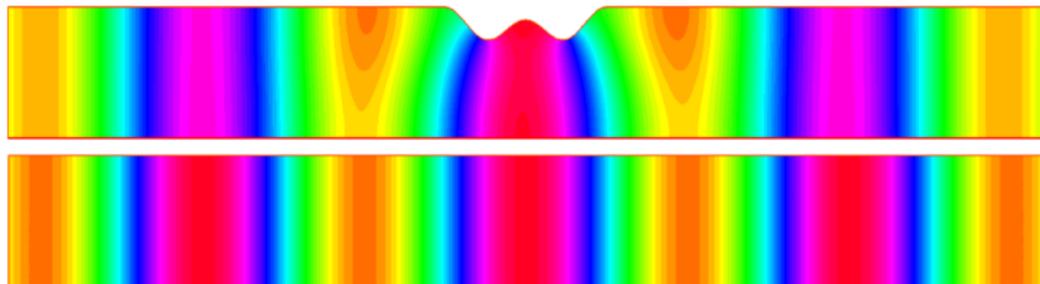
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$dT(0)$ is **not onto** \Rightarrow the approach fails to impose $T = 1$.

Numerical results

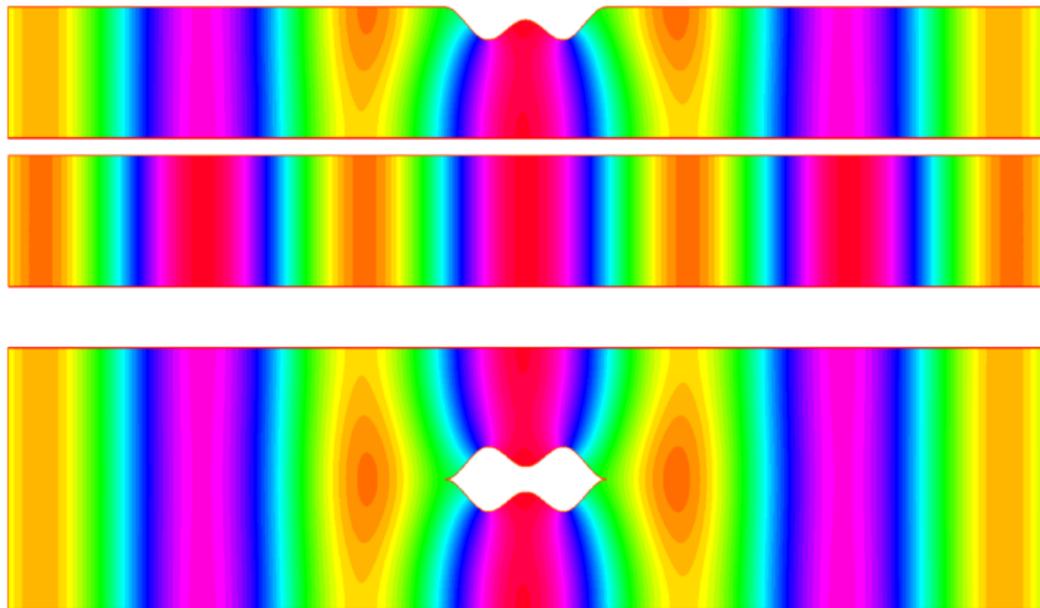
- ▶ The **fixed point problem** can be solved **iteratively**: $\vec{\tau}^{n+1} = G^\varepsilon(\vec{\tau}^n)$.



Numerics done by a group of students of École Polytechnique with the Freefem++ library \rightarrow P2 FEM + Dirichlet-to-Neumann to truncate Ω .

Numerical results

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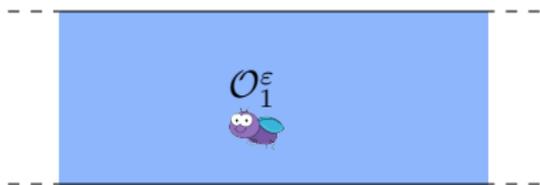
*Numerics done by a group of students of École Polytechnique with the **Freefem++** library \rightarrow P2 FEM + Dirichlet-to-Neumann to truncate Ω .*

Outline of the talk

- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
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Small Dirichlet obstacle

Can one hide a small **Dirichlet** obstacle centered at M_1 ?



Find $u = u_i + u_s$ s. t.

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$$u = 0 \quad \text{on } \partial\Omega^\varepsilon,$$

u_s is outgoing.

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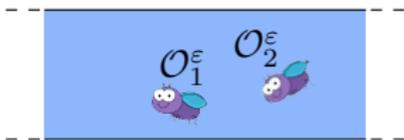
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\Rightarrow One single small obstacle **cannot** even be **non reflecting**.

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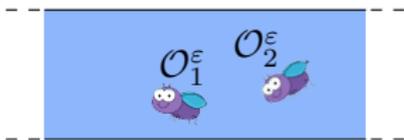


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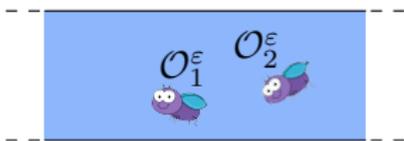


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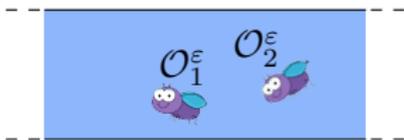
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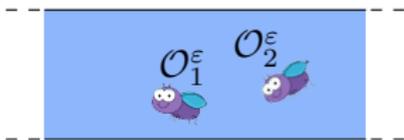
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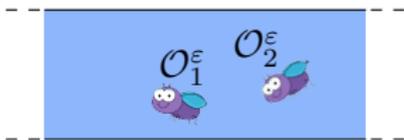


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- Hard part is to **justify the asymptotics** for the fixed point problem.
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Acting as a **team**, flies can become invisible!

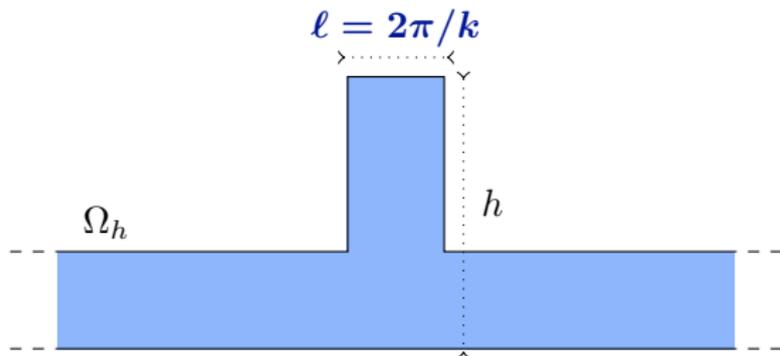
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We constructed **small** defects such that $R = 0$. How to get **large** defects with $T = 1$?

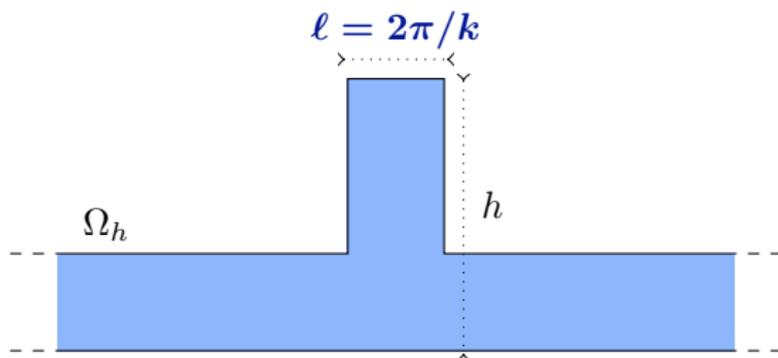
Geometrical setting

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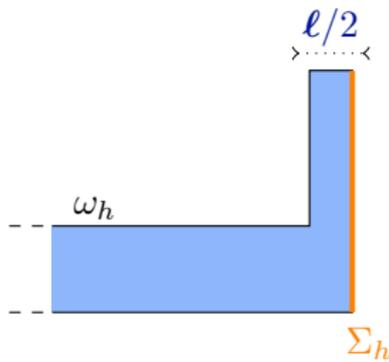


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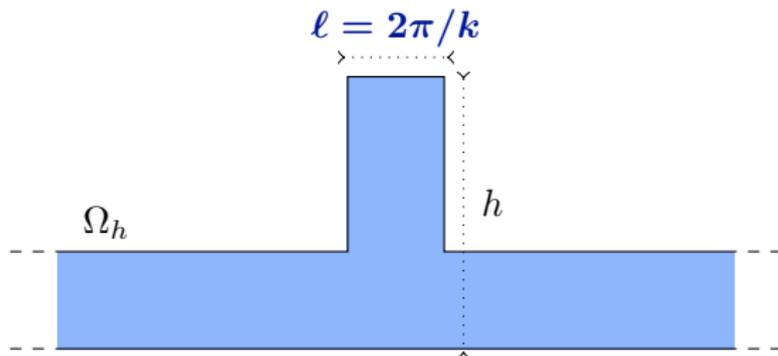


- ▶ Introduce the two **half-waveguide** problems

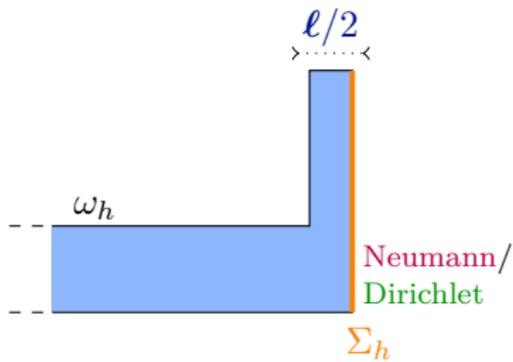


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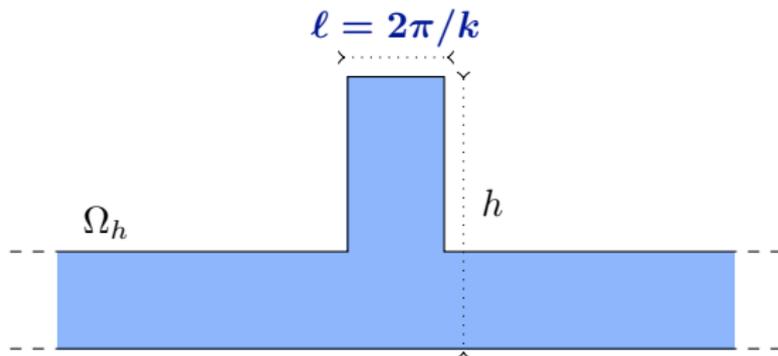


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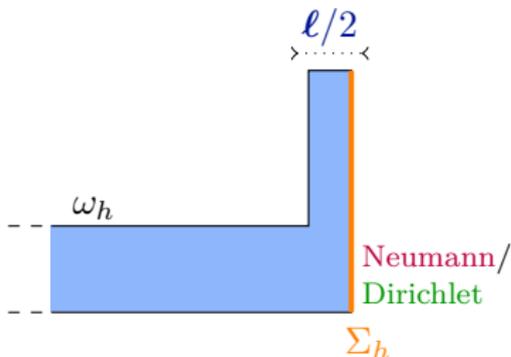


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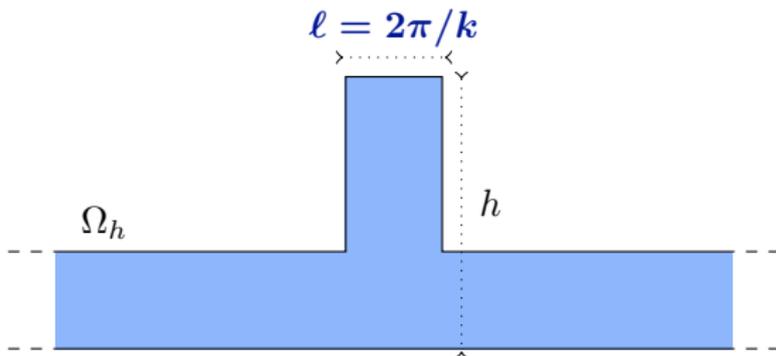


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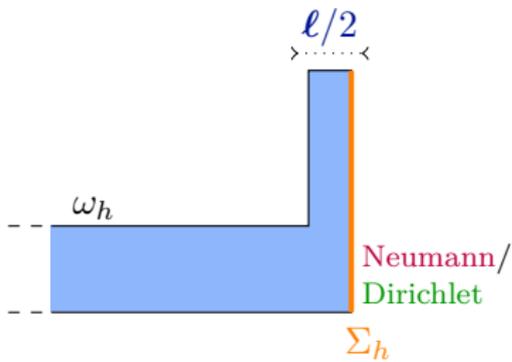
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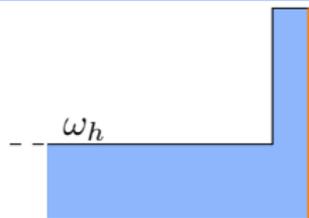
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Relations for the scattering coefficients

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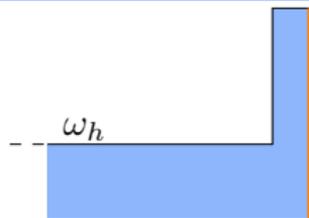


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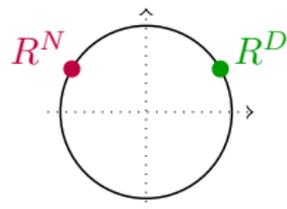
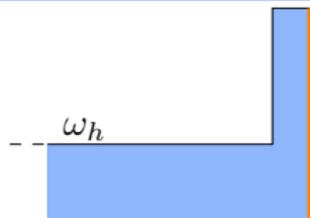
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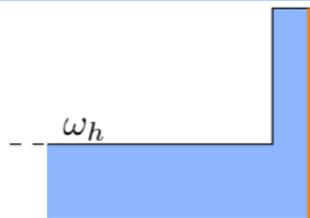
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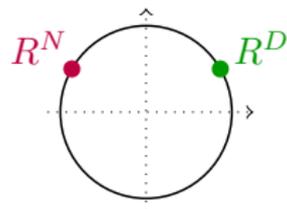
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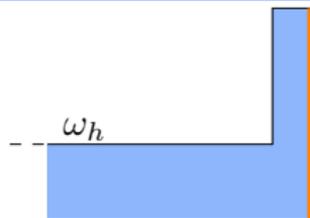
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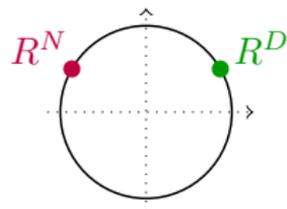
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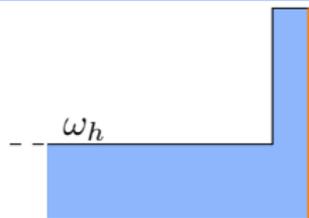
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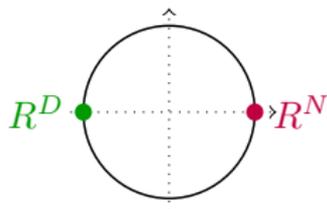
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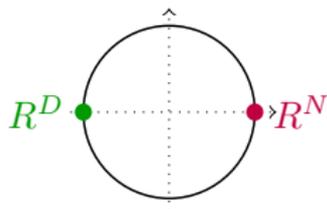
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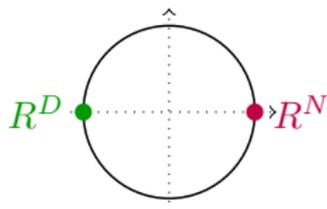
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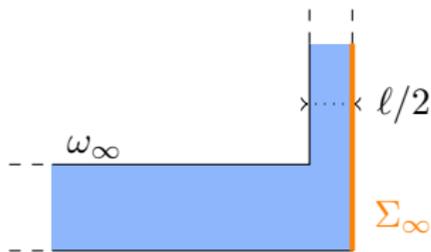
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→ It remains to study the behaviour of $R^D = R^D(h)$ as $h \rightarrow +\infty$.

Asymptotics of R^D as $h \rightarrow +\infty$



Depends on the nb. of **propagating modes** in the **vertical branch** of ω_∞

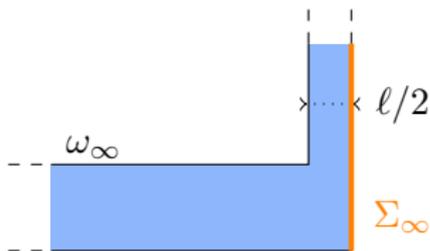


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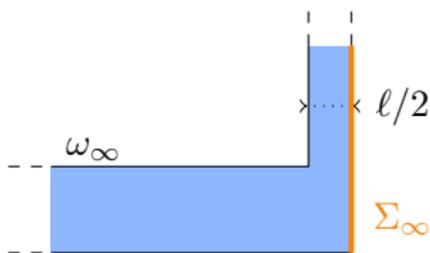
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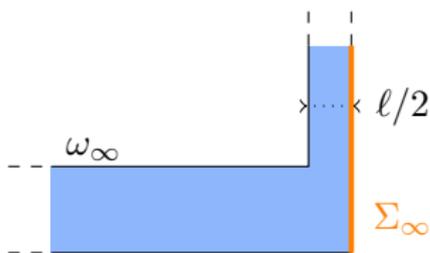
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where $R_{\text{asy}}^D(h)$ runs periodically on the unit circle \mathcal{C} .

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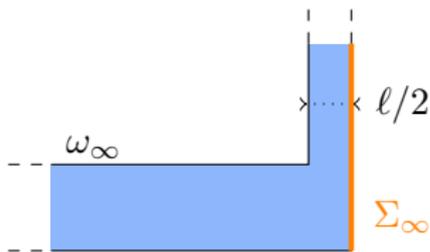
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where $R_{\text{asy}}^D(h)$ runs periodically on the unit circle \mathcal{C} .

► Additionally one can prove that $h \mapsto R^D(h)$ runs **continuously** on \mathcal{C} .

⇒ There is a sequence (h_n) with $h_n \rightarrow +\infty$ such that $R^D(h_n) = -1$.

Conclusion

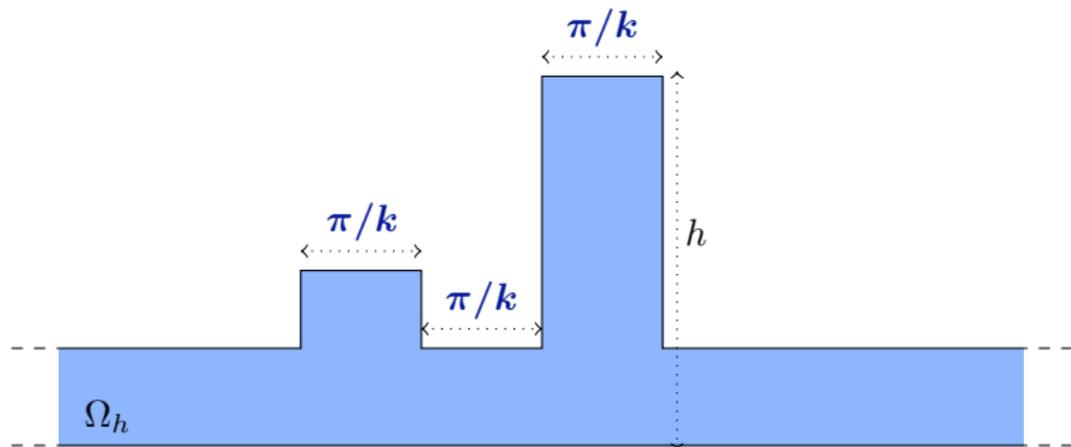
THEOREM: There is an unbounded sequence (h_n) such that for $h = h_n$, we have $T = 1$ (perfect invisibility).

Numerical results

- ▶ Works also in the geometry below. When we vary h , the height of the **central branch**, T runs exactly on the circle $\mathcal{C}(1/2, 1/2)$.
→ Numerically, we simply **sweep** in h and extract the h such that $T(h) = 1$.
- ▶ **Perfectly invisible** defect ($t \mapsto \Re e (v(x, y)e^{-i\omega t})$)
- ▶ Reference waveguide ($t \mapsto \Re e (v(x, y)e^{-i\omega t})$)

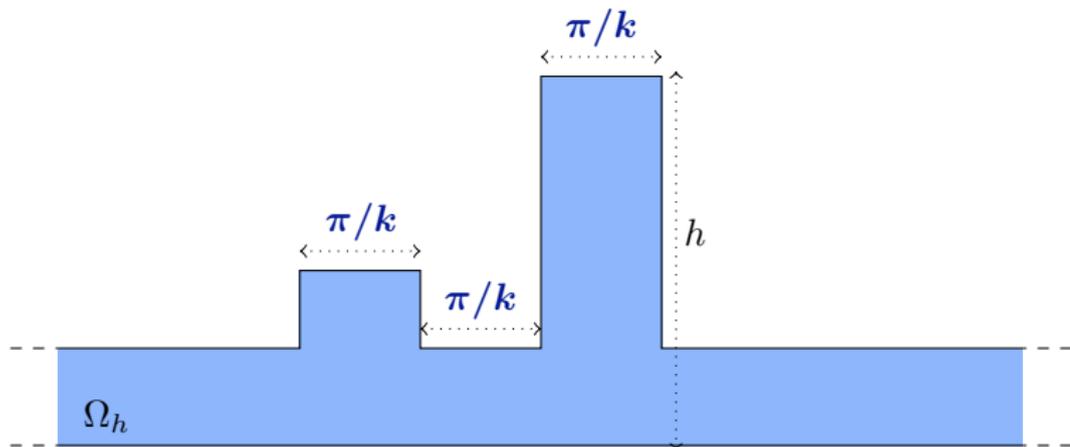
Remark

- Actually Ω **does not have to be symmetric** and we can work in the following geometry:



Remark

- ▶ Actually Ω **does not have to be symmetric** and we can work in the following geometry:



- ▶ In this Ω_h , we can show that there holds $R + T = 1$.
- ▶ With the **identity of energy** $|R|^2 + |T|^2 = 1$, this guarantees that T must be on the circle $\mathcal{C}(1/2, 1/2)$.
- ▶ Finally, with asy. analysis, we show that T goes through 1 as $h \rightarrow +\infty$.

Outline of the talk

- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
- 4 Cloaking of given large obstacles

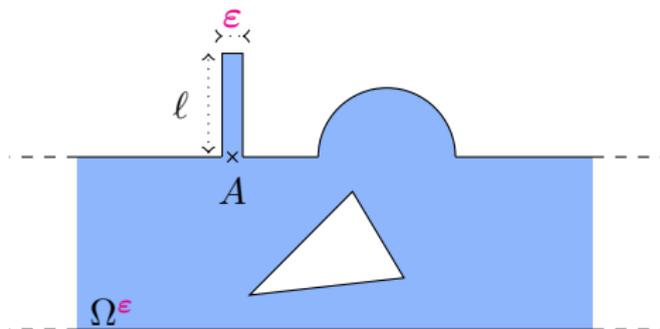
We **constructed** invisible defects.
How to **hide** **given** large obstacles



Setting



Main ingredient of our approach: **outer resonators** of width $\epsilon \ll 1$.



$$(\mathcal{P}^\epsilon) \quad \begin{cases} \Delta u + k^2 u = 0 & \text{in } \Omega^\epsilon, \\ \partial_n u = 0 & \text{on } \partial\Omega^\epsilon \end{cases}$$

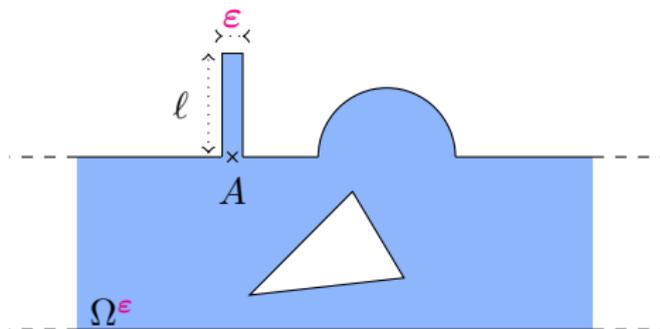
► In this geometry, we have the scattering solutions

$$u_+^\epsilon = \begin{cases} e^{ikx} + R_+^\epsilon e^{-ikx} + \dots \\ T^\epsilon e^{+ikx} + \dots \end{cases} \quad u_-^\epsilon = \begin{cases} T^\epsilon e^{-ikx} + \dots & x \rightarrow -\infty \\ e^{-ikx} + R_-^\epsilon e^{+ikx} + \dots & x \rightarrow +\infty \end{cases}$$

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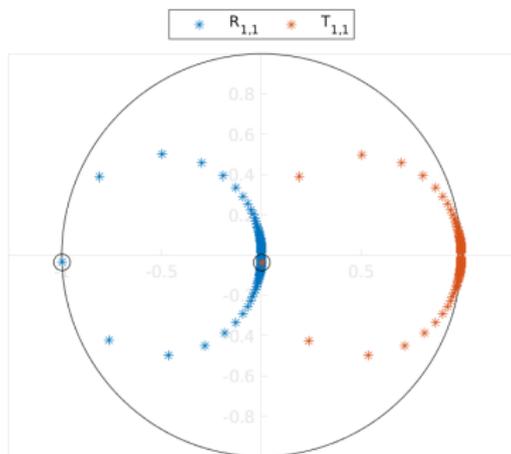
In general, the thin ligament has only a **weak influence** on the scattering coefficients: $R_\pm^\epsilon \approx R_\pm$, $T^\epsilon \approx T$. But **not always** ...

Numerical experiment

- ▶ We vary the length of the ligament:

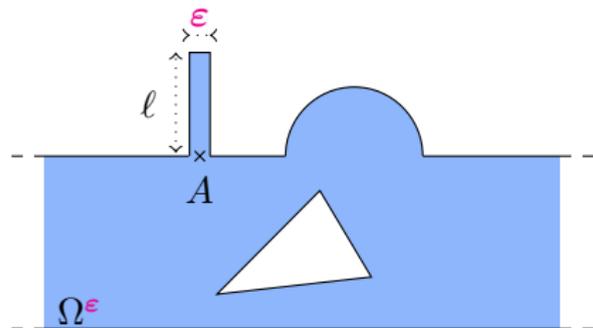
Numerical experiment

- ▶ For one particular length of the ligament, we get a **standing mode** (zero transmission):



Asymptotic analysis

To understand the phenomenon, we compute an **asymptotic expansion** of u_+^ε , R_+^ε , T^ε as $\varepsilon \rightarrow 0$.



$$(\mathcal{P}^\varepsilon) \left| \begin{array}{l} \Delta u_+^\varepsilon + k^2 u_+^\varepsilon = 0 \quad \text{in } \Omega^\varepsilon, \\ \partial_n u_+^\varepsilon = 0 \quad \text{on } \partial\Omega^\varepsilon \end{array} \right.$$

$$u_+^\varepsilon = \left| \begin{array}{l} e^{ikx} + R_+^\varepsilon e^{-ikx} + \dots \\ T^\varepsilon e^{+ikx} + \dots \end{array} \right.$$

► To proceed we use techniques of **matched asymptotic expansions** (see Beale 73, Gadyl'shin 93, Kozlov et al. 94, Nazarov 96, Maz'ya et al. 00, Joly & Tordeux 06, Lin, Shipman & Zhang 17, 18, Brandao, Holley, Schnitzer 20, ...).

Asymptotic analysis

- ▶ We work with the **outer expansions**

$$u_+^\varepsilon(x, y) = u^0(x, y) + \dots \quad \text{in } \Omega,$$

$$u_+^\varepsilon(x, y) = \varepsilon^{-1}v^{-1}(y) + v^0(y) + \dots \quad \text{in the resonator.}$$

- ▶ Considering the restriction of $(\mathcal{P}^\varepsilon)$ to the thin resonator, when ε tends to zero, we find that v^{-1} must solve the homogeneous **1D** problem

$$(\mathcal{P}_{1D}) \left| \begin{array}{l} \partial_y^2 v + k^2 v = 0 \quad \text{in } (1; 1 + \ell) \\ v(1) = \partial_y v(1 + \ell) = 0. \end{array} \right.$$

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The features of (\mathcal{P}_{1D}) play a key role in the **physical phenomena** and in the **asymptotic analysis**.

- ▶ We denote by ℓ_{res} (**resonance lengths**) the values of ℓ , given by

$$\ell_{\text{res}} := \pi(m + 1/2)/k, \quad m \in \mathbb{N},$$

such that (\mathcal{P}_{1D}) admits the **non zero** solution $v(y) = \sin(k(y - 1))$.

Asymptotic analysis – Non resonant case

- Assume that $\ell \neq \ell_{\text{res}}$. Then we find $v^{-1} = 0$ and when $\varepsilon \rightarrow 0$, we get

$$u_{\pm}^{\varepsilon}(x, y) = u_{\pm} + o(1) \quad \text{in } \Omega,$$

$$u_{\pm}^{\varepsilon}(x, y) = u_{\pm}(A) v_0(y) + o(1) \quad \text{in the resonator,}$$

$$R_{\pm}^{\varepsilon} = R_{\pm} + o(1), \quad T^{\varepsilon} = T + o(1).$$

Here $v_0(y) = \cos(k(y-1)) + \tan(k(y-\ell)) \sin(k(y-1))$.

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The thin resonator **has no influence at order ε^0** .

→ **Not interesting for our purpose** because we want $\left| \begin{array}{l} R_{\pm}^{\varepsilon} = 0 + \dots \\ T^{\varepsilon} = 1 + \dots \end{array} \right.$

Asymptotic analysis – Resonant case

► For $\ell = \ell_{\text{res}}$, when $\varepsilon \rightarrow 0$, we obtain

$$u_+^\varepsilon(x, y) = u_+(x, y) + ak\gamma(x, y) + o(1) \quad \text{in } \Omega,$$

$$u_+^\varepsilon(x, y) = \varepsilon^{-1}a \sin(k(y-1)) + O(1) \quad \text{in the resonator,}$$

$$R_+^\varepsilon = R_+ + iau_+(A)/2 + o(1), \quad T^\varepsilon = T + iau_-(A)/2 + o(1).$$

Here γ is the outgoing **Green function** such that $\left\{ \begin{array}{l} \Delta\gamma + k^2\gamma = 0 \text{ in } \Omega \\ \partial_n\gamma = \delta_A \text{ on } \partial\Omega \end{array} \right.$ and

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This time the thin resonator **has an influence at order ε^0**

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- For $\ell = \ell_{\text{res}} + \varepsilon\eta$ with $\eta \in \mathbb{R}$ fixed, when $\varepsilon \rightarrow 0$, we obtain

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This time the thin resonator **has an influence at order ε^0** and it depends on the choice of η !

Almost zero reflection



From this expansion, we find that asymptotically, when the length of the resonator is perturbed **around** ℓ_{res} , R_+^ε , T^ε run on **circles** whose **features depend on the choice for A** .

Almost zero reflection



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- ▶ Using the expansions of $u_\pm(A)$ far from the obstacle, one shows:

PROPOSITION: There are **positions of the resonator A** such that the circle $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$ passes **through zero**.

Almost zero reflection



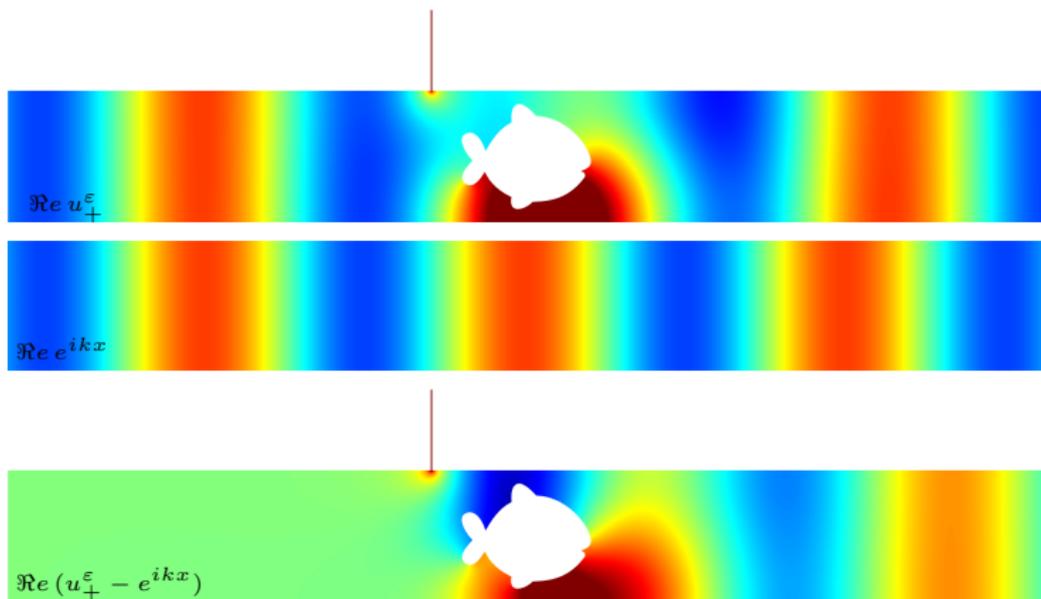
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PROPOSITION: There are **positions of the resonator A** such that the circle $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$ passes **through zero**. $\Rightarrow \exists$ situations s.t. $R_+^\varepsilon = 0 + o(1)$.

Almost zero reflection

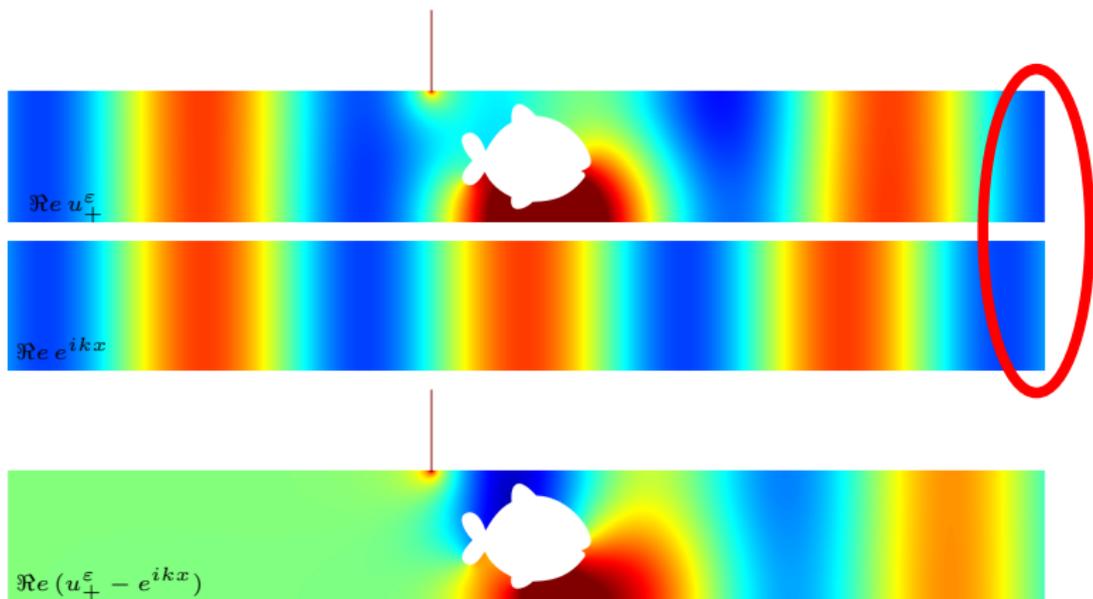
- ▶ Example of situation where we have almost zero reflection ($\varepsilon = 0.01$).



Simulations realized with the Freefem++ library.

Almost zero reflection

- ▶ Example of situation where we have **almost zero reflection** ($\varepsilon = 0.01$).

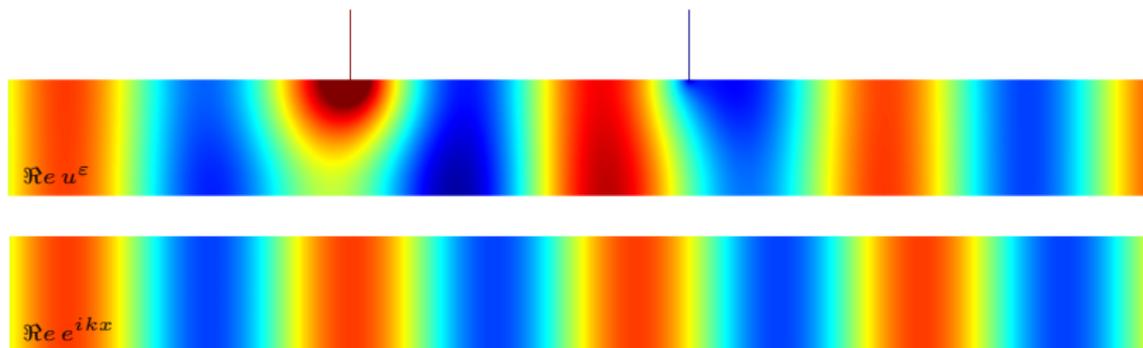


*Simulations realized with the **Freefem++** library.*

Conservation of energy guarantees that when $R_+^\varepsilon = 0$, $|T^\varepsilon| = 1$.
→ To cloak the object, it remains to compensate the **phase shift!**

Phase shifter

- ▶ Working with **two resonators**, we can create **phase shifters**, that is devices with **almost zero reflection** and any **desired phase**.



- ▶ Here the device is designed to obtain a **phase shift** approx. equal to $\pi/4$.

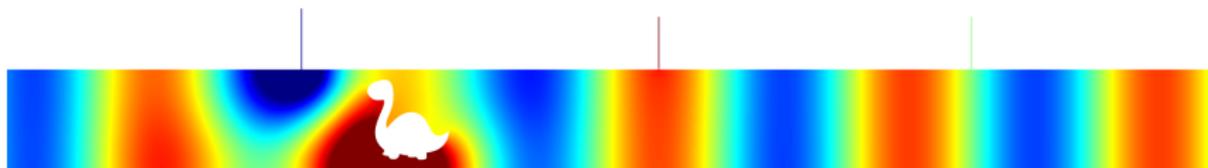
Cloaking with three resonators

► Now working in two steps, we can approximately cloak any object with **three resonators**:

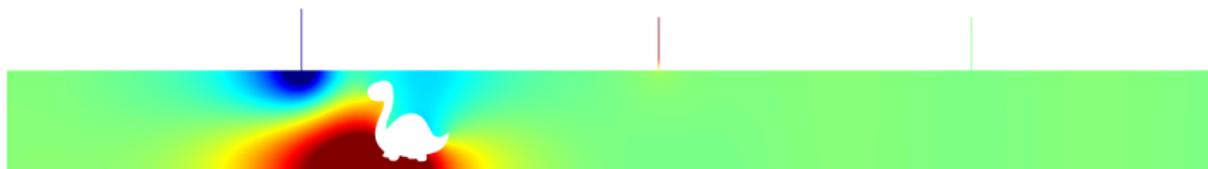
- 1) With one resonant ligament, first we get almost zero reflection;
- 2) With two additional resonant ligaments, we compensate the phase shift.



$\Re u_+$



$\Re u_+^\epsilon$



$\Re (u_+^\epsilon - e^{ikx})$

Cloaking with two resonators

- ▶ Working a bit more, one can show that **two resonators** are enough to cloak any object.

$$t \mapsto \Re e (u_+(x, y)e^{-ikt})$$

$$t \mapsto \Re e (u_+^\varepsilon(x, y)e^{-ikt})$$

$$t \mapsto \Re e (e^{ik(x-t)})$$

Outline of the talk

- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
- 4 Cloaking of given large obstacles

Conclusion

What we did

- 1) We constructed **small smooth non reflecting** perturbations of the reference strip.
- 2) We explained how **clouds of small obstacles** can be **non reflecting**.
- 3) We constructed **large obstacles** which are **perfectly invisible**.
- 4) We showed how to hide approximately ($T \approx 1$) given **large obstacles**.

Future work

- ♠ Can one hide given large obstacles at **higher frequency**?
- ♠ Can one hide **exactly** given large obstacles?
- ♠ Can we get for example small reflection for an **interval of frequencies**?
- ♠ What can be done for **water-waves, electromagnetism,...**?

Thank you for your attention!



A.-S. Bonnet-Ben Dhia, S.A. Nazarov. Obstacles in acoustic waveguides becoming “invisible” at given frequencies. *Acoust. Phys.*, vol. 59, 6, 2013.



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