

# A few techniques to achieve invisibility in acoustic waveguides

Lucas Chesnel<sup>1</sup>

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<sup>1</sup>Idefix team, Inria/Ensta Paris, France

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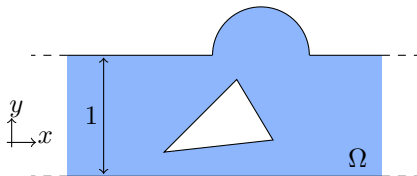
<sup>3</sup>IMT, Univ. Paul Sabatier, France

<sup>4</sup>FMM, St. Petersburg State University, Russia

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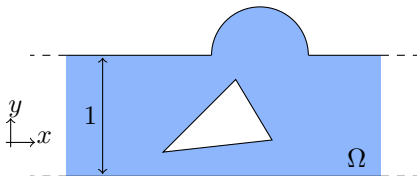
The Inria logo is written in a stylized, cursive font with a color gradient from red to orange.

- We consider the **propagation of waves** in a 2D **acoustic** waveguide with an obstacle (also relevant in optics, microwaves, water-waves theory,...).



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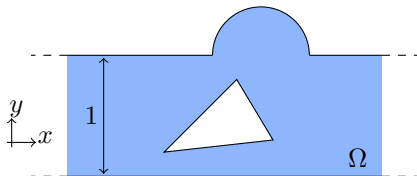


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- ▶ For this problem, the **modes** are

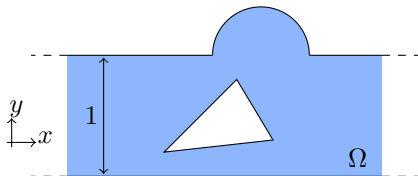
$$\begin{array}{l} \text{Propagating} \\ \text{Evanescent} \end{array} \left| \begin{array}{l} w_n^\pm(x, y) = e^{\pm i\beta_n x} \cos(n\pi y), \quad \beta_n = \sqrt{k^2 - n^2\pi^2}, \quad n \in \llbracket 0, N-1 \rrbracket \\ w_n^\pm(x, y) = e^{\mp \beta_n x} \cos(n\pi y), \quad \beta_n = \sqrt{n^2\pi^2 - k^2}, \quad n \geq N. \end{array} \right.$$

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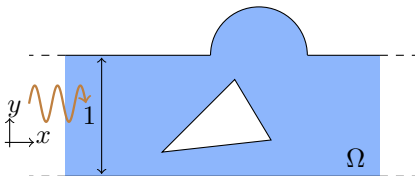
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- ▶ The scattering of the wave  $e^{ikx}$  leads us to consider the solutions of  $(\mathcal{P})$  with the decomposition

$$u = \begin{cases} e^{ikx} + R e^{-ikx} + \dots & x \rightarrow -\infty \\ T e^{+ikx} + \dots & x \rightarrow +\infty \end{cases}$$

$R, T \in \mathbb{C}$  are the **scattering coefficients**, the ... are expon. decaying terms.

- ▶ We have the relation of **conservation of energy**  $|R|^2 + |T|^2 = 1$ .
- Without obstacle,  $u = e^{ikx}$  so that  $(R, T) = (0, 1)$ .
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## Goal of the talk

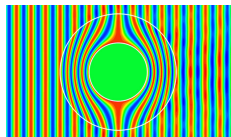
We wish to identify situations (geometries,  $k$ ) where  $R = 0$  (zero reflection) or  $T = 1$  (perfect invisibility)  $\Rightarrow$  **cloaking at “infinity”**.





**Difficulty:** the scattering coefficients have a **non explicit** and **non linear** dependence wrt the geometry and  $k$ .

→ Optimization techniques **fail** due to local minima.



**Remark:** **different** from the **usual cloaking** picture (Pendry *et al.* 06, Leonhardt 06, Greenleaf *et al.* 09) because we wish to **control only the scattering coef.**

→ Less ambitious but doable without fancy materials (and relevant in practice).

# Outline of the talk

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- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
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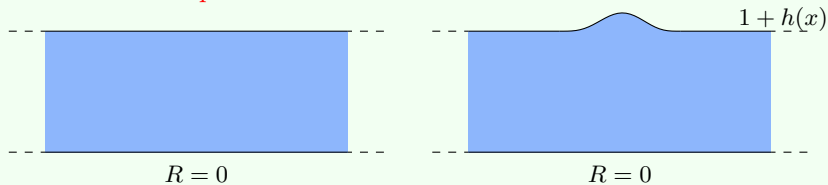
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# General picture

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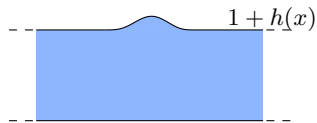
- ▶ **Perturbative** technique: we construct small non reflecting defects using variants of the **implicit functions theorem**.



# Sketch of the method

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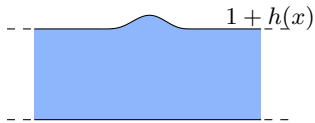


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(no obstacle leads to null measurements).

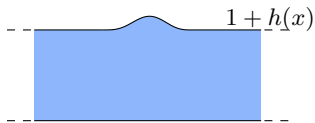


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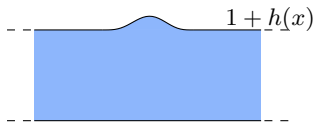


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- ▶ We look for  $h$  of the form  $h = \varepsilon\mu$  with  $\varepsilon > 0$  **small** and  $\mu$  to determine.

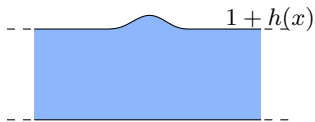


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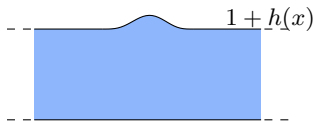
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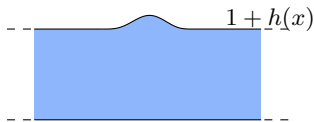
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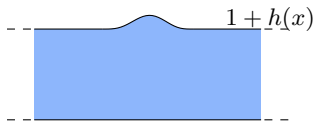
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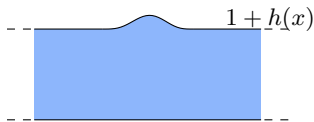
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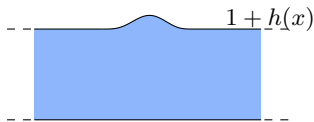
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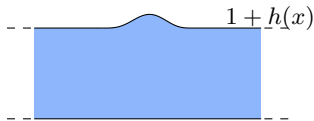
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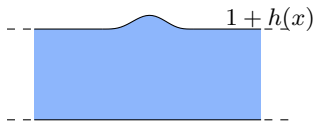
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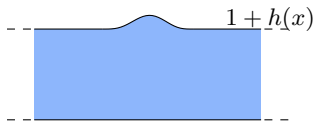
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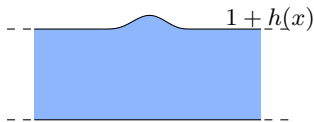
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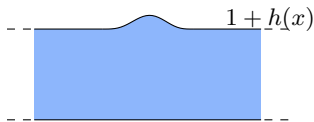
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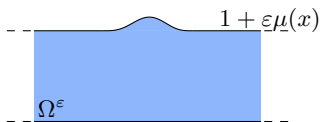
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$G^\varepsilon$  is a **contraction**  $\Rightarrow$  the **fixed-point equation** has a unique solution  $\vec{\tau}^{\text{sol}}$ .  
Set  $h^{\text{sol}} := \varepsilon\mu^{\text{sol}}$ . We have  $R(h^{\text{sol}}) = 0$  (**non reflecting perturbation**).

# Calculus of the differential

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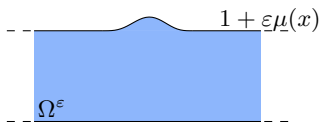


- Using classical results of asymptotic analysis, we obtain

$$R(\varepsilon \underline{\mu}) = 0 + \varepsilon \left( -\frac{1}{2} \int_{-\ell}^{\ell} \partial_x \underline{\mu}(x) e^{2ikx} dx \right) + O(\varepsilon^2).$$

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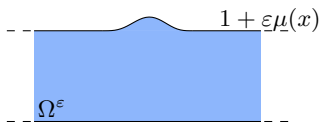
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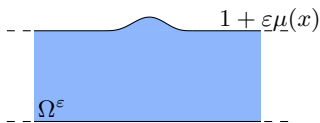
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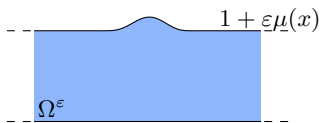
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$$T(\varepsilon\mu) - 1 = 0 + \varepsilon \mathbf{0} + O(\varepsilon^2).$$

# Calculus of the differential



- Using classical results of asymptotic analysis, we obtain

$$R(\varepsilon\mu) = 0 + \varepsilon \left( \underbrace{-\frac{1}{2} \int_{-\ell}^{\ell} \partial_x \mu(x) e^{2ikx} dx}_{dR(0)(\mu)} \right) + O(\varepsilon^2).$$

$dR(0) : \mathcal{C}_0^\infty(\mathbb{R}) \rightarrow \mathbb{C}$  is **onto**  $\Rightarrow$  we can get non trivial  $\Omega$  s.t.  $R = 0$ .

- .....
- Can we use the technique to construct  $\Omega$  such that  $T = 1$ ? We obtain

$$T(\varepsilon\mu) - 1 = 0 + \varepsilon \mathbf{0} + O(\varepsilon^2).$$



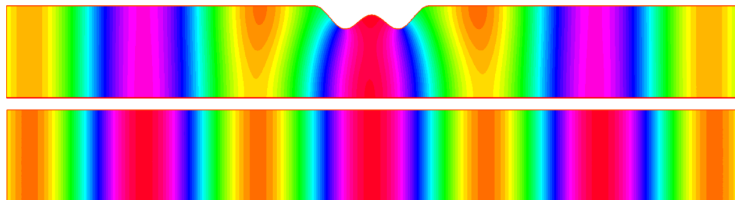
$dT(0)$  is **not onto**  $\Rightarrow$  the approach fails to impose  $T = 1$ .



# Numerical results

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- ▶ The **fixed point problem** can be solved **iteratively**:  $\vec{\tau}^{n+1} = G^\varepsilon(\vec{\tau}^n)$ .

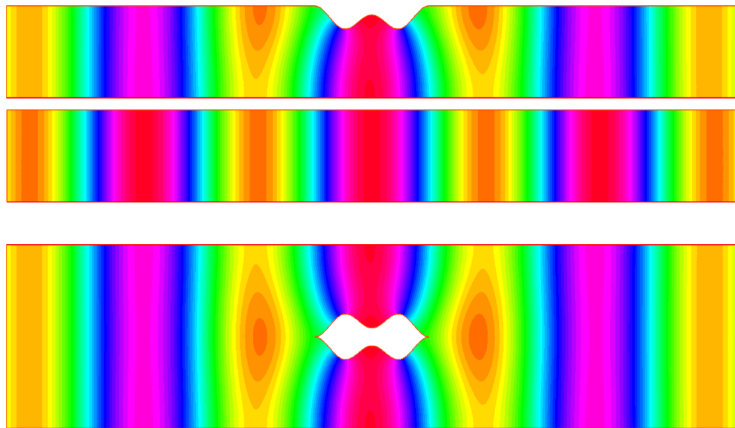


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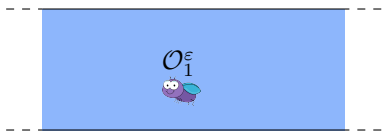
# Outline of the talk

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- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
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# Small Dirichlet obstacle

Can one hide a small **Dirichlet** obstacle centered at  $M_1$  ?

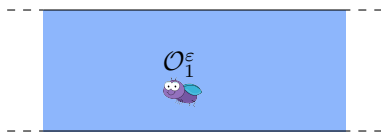


Find  $u = u_i + u_s$  s. t.  
$$\Delta u + k^2 u = 0 \quad \text{in } \Omega^\varepsilon := \Omega \setminus \overline{\mathcal{O}_1^\varepsilon},$$
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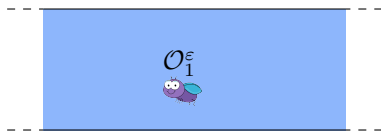
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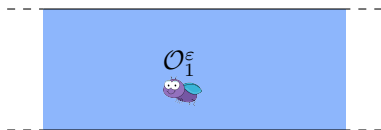
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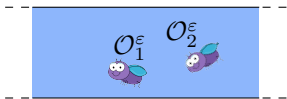
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$\Rightarrow$  One single small obstacle **cannot** even be **non reflecting**.

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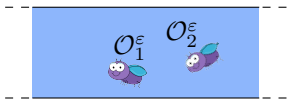
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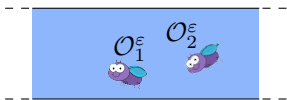


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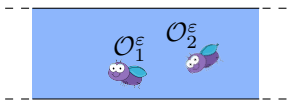
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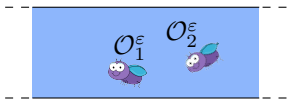
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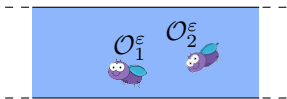


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- Hard part is to **justify the asymptotics** for the fixed point problem.
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Acting as a **team**, flies can become invisible!

# Outline of the talk

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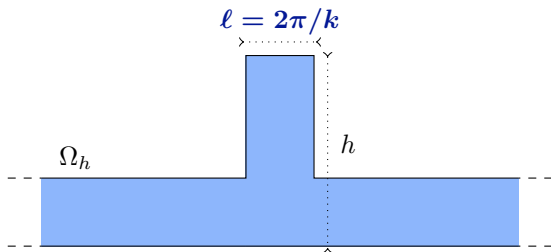
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We constructed **small** defects such that  $R = 0$ . How to get **large** defects with  $T = 1$  ?

# Geometrical setting

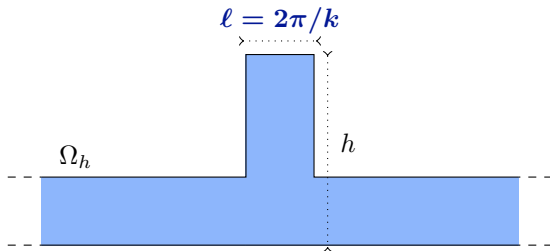
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- ▶ Let us work in the geometry

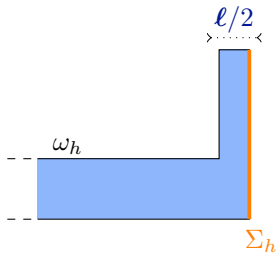


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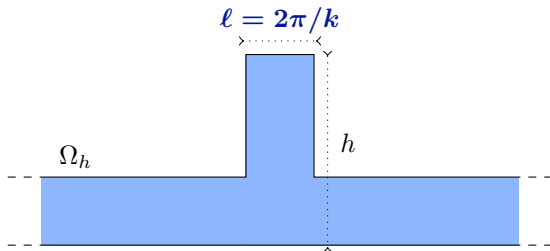
- ▶ Introduce the two **half-waveguide** problems



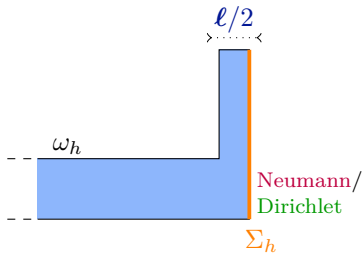


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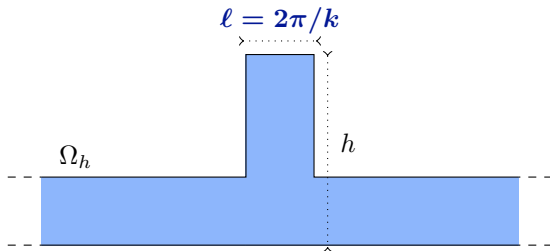


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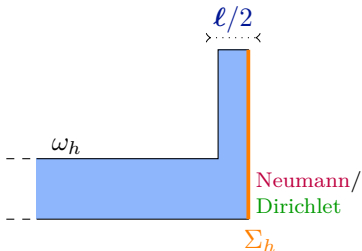


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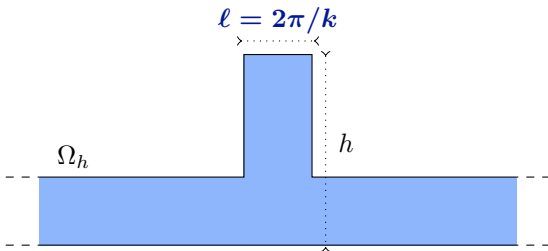


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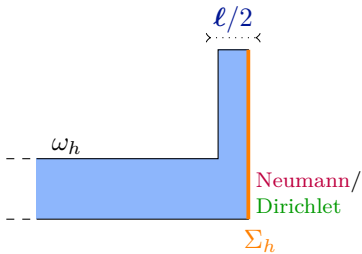
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$$\begin{cases} \Delta u + k^2 u = 0 & \text{in } \omega_h \\ \partial_n u = 0 & \text{on } \partial\omega_h \end{cases} \text{Neumann B.C.}$$

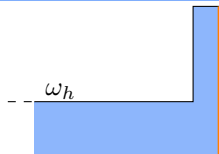
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# Relations for the scattering coefficients

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$$u = w^+ + R^N w^- + \tilde{u}, \quad \text{with } \tilde{u} \in H^1(\omega_h)$$

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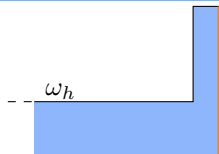


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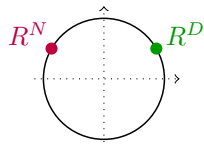
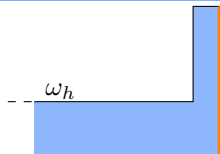
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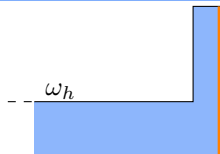
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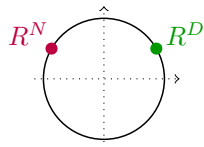
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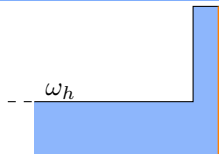
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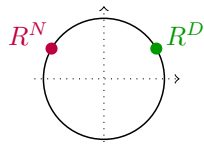
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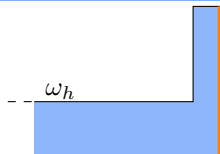
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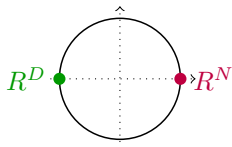
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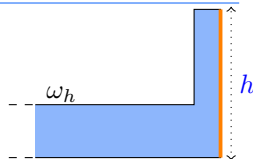
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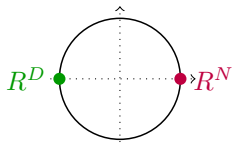
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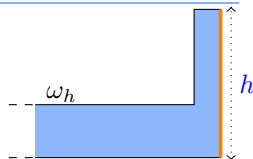
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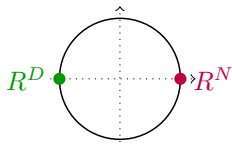
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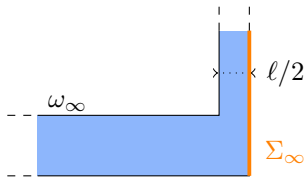
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→ It remains to study the behaviour of  $R^D = R^D(h)$  as  $h \rightarrow +\infty$ .

# Asymptotics of $R^D$ as $h \rightarrow +\infty$



Depends on the nb. of **propagating modes** in the **vertical branch** of  $\omega_\infty$

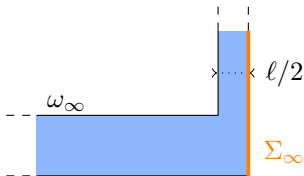


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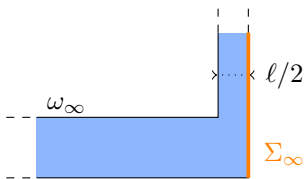
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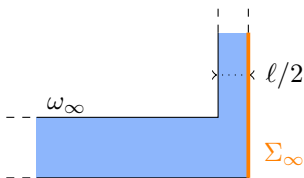
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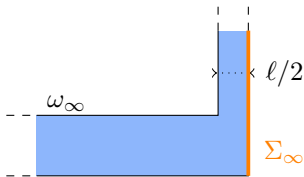
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► Additionally one can prove that  $h \mapsto R^D(h)$  runs **continuously** on  $\mathcal{C}$ .

⇒ There is a sequence  $(h_n)$  with  $h_n \rightarrow +\infty$  such that  $R^D(h_n) = -1$ .



# Conclusion

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THEOREM: There is an unbounded sequence  $(h_n)$  such that for  $h = h_n$ , we have  $T = 1$  (perfect invisibility).

# Numerical results

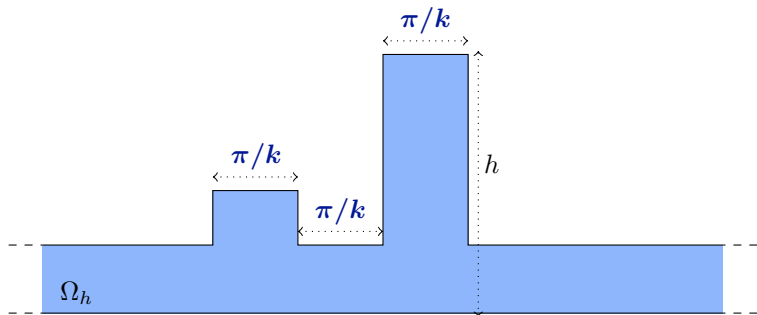
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- ▶ Works also in the geometry below. When we vary  $h$ , the height of the **central branch**,  $T$  runs exactly on the circle  $\mathcal{C}(1/2, 1/2)$ .  
→ Numerically, we simply **sweep** in  $h$  and extract the  $h$  such that  $T(h) = 1$ .
- ▶ **Perfectly invisible** defect ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ )
- ▶ Reference waveguide ( $t \mapsto \Re e (v(x, y)e^{-i\omega t})$ )

## Remark

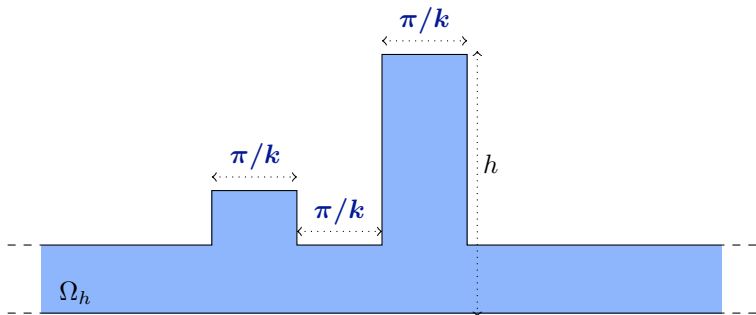
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## Remark

- ▶ Actually  $\Omega$  **does not have to be symmetric** and we can work in the following geometry:



- ▶ In this  $\Omega_h$ , we can show that there holds  $R + T = 1$ .
- ▶ With the **identity of energy**  $|R|^2 + |T|^2 = 1$ , this guarantees that  $T$  must be on the circle  $\mathcal{C}(1/2, 1/2)$ .
- ▶ Finally, with asy. analysis, we show that  $T$  goes through 1 as  $h \rightarrow +\infty$ .

# Outline of the talk

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- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
- 4 Cloaking of given large obstacles

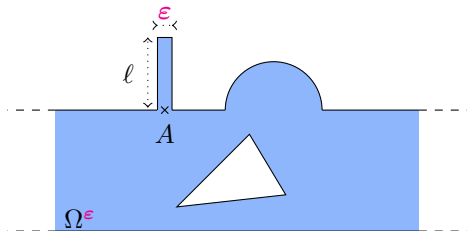
We **constructed** invisible defects.  
How to **hide** **given** large obstacles



# Setting



Main ingredient of our approach: **outer resonators** of width  $\epsilon \ll 1$ .



$$(\mathcal{P}^\epsilon) \quad \begin{cases} \Delta u + k^2 u = 0 & \text{in } \Omega^\epsilon, \\ \partial_n u = 0 & \text{on } \partial\Omega^\epsilon \end{cases}$$

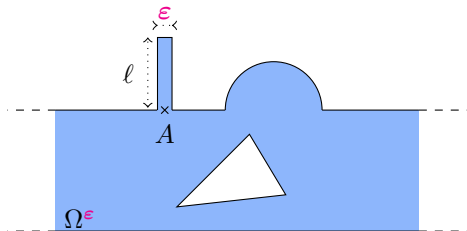
► In this geometry, we have the scattering solutions

$$u_+^\epsilon = \begin{cases} e^{ikx} + R_+^\epsilon e^{-ikx} + \dots \\ T^\epsilon e^{+ikx} + \dots \end{cases} \quad u_-^\epsilon = \begin{cases} T^\epsilon e^{-ikx} + \dots & x \rightarrow -\infty \\ e^{-ikx} + R_-^\epsilon e^{+ikx} + \dots & x \rightarrow +\infty \end{cases}$$

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In general, the thin ligament has only a **weak influence** on the scattering coefficients:  $R_\pm^\epsilon \approx R_\pm$ ,  $T^\epsilon \approx T$ . But **not always** ...

# Numerical experiment

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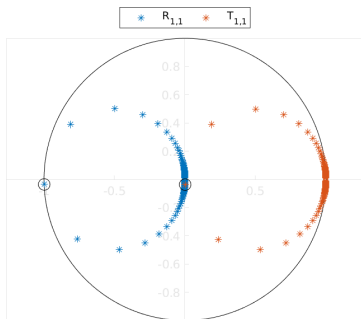
- ▶ We vary the length of the ligament:



# Numerical experiment

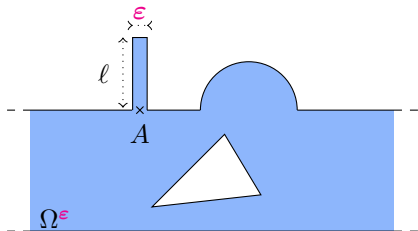
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- ▶ For one particular length of the ligament, we get a **standing mode** (zero transmission):



# Asymptotic analysis

To understand the phenomenon, we compute an **asymptotic expansion** of  $u_+^\varepsilon$ ,  $R_+^\varepsilon$ ,  $T^\varepsilon$  as  $\varepsilon \rightarrow 0$ .



$$(\mathcal{P}^\varepsilon) \left| \begin{array}{l} \Delta u_+^\varepsilon + k^2 u_+^\varepsilon = 0 \quad \text{in } \Omega^\varepsilon, \\ \partial_n u_+^\varepsilon = 0 \quad \text{on } \partial\Omega^\varepsilon \end{array} \right.$$

$$u_+^\varepsilon = \left| \begin{array}{l} e^{ikx} + R_+^\varepsilon e^{-ikx} + \dots \\ T^\varepsilon e^{+ikx} + \dots \end{array} \right.$$

► To proceed we use techniques of **matched asymptotic expansions** (see Beale 73, Gadyl'shin 93, Kozlov et al. 94, Nazarov 96, Maz'ya et al. 00, Joly & Tordeux 06, Lin, Shipman & Zhang 17, 18, Brandao, Holley, Schnitzer 20, ...).

# Asymptotic analysis

---

- ▶ We work with the **outer expansions**

$$u_+^\varepsilon(x, y) = u^0(x, y) + \dots \quad \text{in } \Omega,$$

$$u_+^\varepsilon(x, y) = \varepsilon^{-1}v^{-1}(y) + v^0(y) + \dots \quad \text{in the resonator.}$$

- ▶ Considering the restriction of  $(\mathcal{P}^\varepsilon)$  to the thin resonator, when  $\varepsilon$  tends to zero, we find that  $v^{-1}$  must solve the homogeneous **1D** problem

$$(\mathcal{P}_{1D}) \left| \begin{array}{l} \partial_y^2 v + k^2 v = 0 \quad \text{in } (1; 1 + \ell) \\ v(1) = \partial_y v(1 + \ell) = 0. \end{array} \right.$$

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The features of  $(\mathcal{P}_{1D})$  play a key role in the **physical phenomena** and in the **asymptotic analysis**.

- ▶ We denote by  $\ell_{\text{res}}$  (**resonance lengths**) the values of  $\ell$ , given by

$$\ell_{\text{res}} := \pi(m + 1/2)/k, \quad m \in \mathbb{N},$$

such that  $(\mathcal{P}_{1D})$  admits the **non zero** solution  $v(y) = \sin(k(y - 1))$ .

## Asymptotic analysis – Non resonant case

---

- Assume that  $\ell \neq \ell_{\text{res}}$ . Then we find  $v^{-1} = 0$  and when  $\varepsilon \rightarrow 0$ , we get

$$u_{\pm}^{\varepsilon}(x, y) = u_{\pm} + o(1) \quad \text{in } \Omega,$$

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The thin resonator **has no influence at order  $\varepsilon^0$** .

→ **Not interesting for our purpose** because we want  $\left| \begin{array}{l} R_{\pm}^{\varepsilon} = 0 + \dots \\ T^{\varepsilon} = 1 + \dots \end{array} \right.$

# Asymptotic analysis – Resonant case

► For  $\ell = \ell_{\text{res}}$ , when  $\varepsilon \rightarrow 0$ , we obtain

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This time the thin resonator **has an influence at order  $\varepsilon^0$**  and it depends on the choice of  $\eta$ !

## Almost zero reflection

---



From this expansion, we find that asymptotically, when the length of the resonator is perturbed **around**  $\ell_{\text{res}}$ ,  $R_+^\varepsilon$ ,  $T^\varepsilon$  run on **circles** whose **features depend on the choice for  $A$** .

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- ▶ Using the expansions of  $u_\pm(A)$  far from the obstacle, one shows:

**PROPOSITION:** There are **positions of the resonator  $A$**  such that the circle  $\{R_+^0(\eta) \mid \eta \in \mathbb{R}\}$  passes **through zero**.

# Almost zero reflection



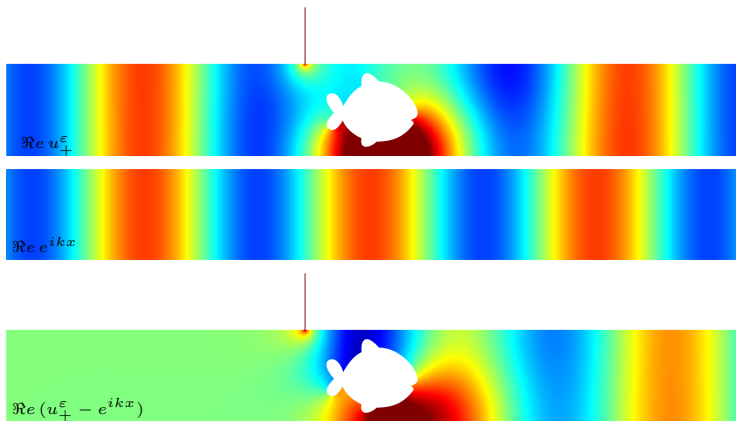
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# Almost zero reflection

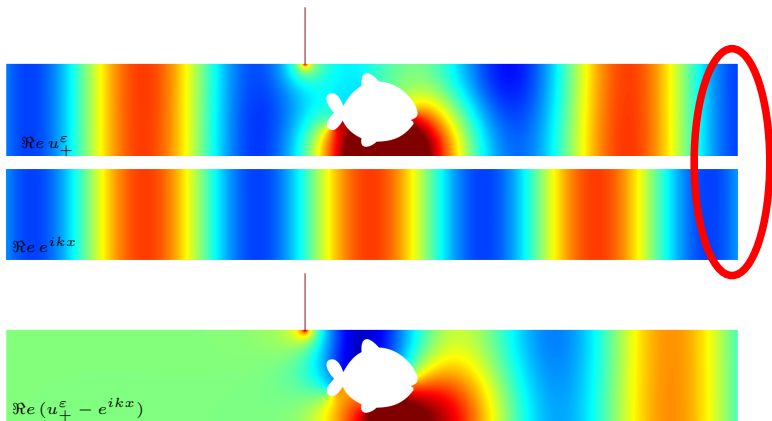
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*Simulations realized with the Freefem++ library.*

# Almost zero reflection

- ▶ Example of situation where we have almost zero reflection ( $\varepsilon = 0.01$ ).



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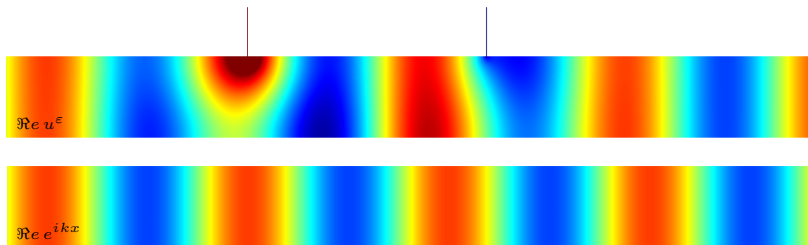
Conservation of energy guarantees that when  $R_+^\varepsilon = 0$ ,  $|T^\varepsilon| = 1$ .  
→ To cloak the object, it remains to compensate the phase shift!



# Phase shifter

---

- ▶ Working with **two resonators**, we can create **phase shifters**, that is devices with **almost zero reflection** and any **desired phase**.



- ▶ Here the device is designed to obtain a **phase shift** approx. equal to  $\pi/4$ .

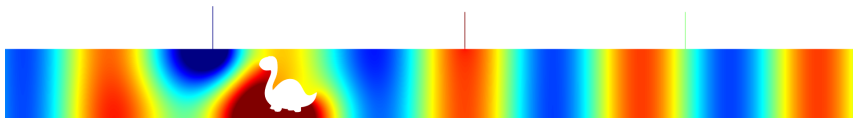
# Cloaking with three resonators

► Now working in two steps, we can approximately cloak any object with **three resonators**:

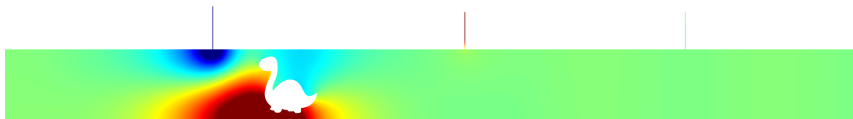
- 1) With one resonant ligament, first we get almost zero reflection;
- 2) With two additional resonant ligaments, we compensate the phase shift.



$\Re u_+$



$\Re u_+^\varepsilon$



$\Re (u_+^\varepsilon - e^{ikx})$

# Cloaking with two resonators

---

- ▶ Working a bit more, one can show that **two resonators** are enough to cloak any object.

$$t \mapsto \Re e (u_+(x, y) e^{-ikt})$$

$$t \mapsto \Re e (u_+^\varepsilon(x, y) e^{-ikt})$$

$$t \mapsto \Re e (e^{ik(x-t)})$$

# Outline of the talk

---

- 1 Smooth non reflecting perturbations of the reference strip
- 2 Non reflecting clouds of small obstacles
- 3 Construction of large invisible defects
- 4 Cloaking of given large obstacles

## Conclusion

### What we did

- 1) We constructed **small smooth non reflecting** perturbations of the reference strip.
- 2) We explained how **clouds of small obstacles** can be **non reflecting**.
- 3) We constructed **large obstacles** which are **perfectly invisible**.
- 4) We showed how to hide approximately ( $T \approx 1$ ) given **large obstacles**.

### Future work

- ♠ Can one hide given large obstacles at **higher frequency**?
- ♠ Can one hide **exactly** given large obstacles?
- ♠ Can we get for example small reflection for an **interval of frequencies**?
- ♠ What can be done for **water-waves, electromagnetism,...**?

# Thank you for your attention!



A.-S. Bonnet-Ben Dhia, S.A. Nazarov. Obstacles in acoustic waveguides becoming “invisible” at given frequencies. *Acoust. Phys.*, vol. 59, 6, 2013.



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