

Investigation of some transmission problems with sign changing coefficients. Application to metamaterials.

Lucas Chesnel

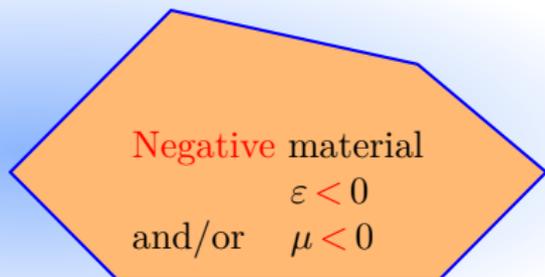
Supervisors: A.-S. Bonnet-Ben Dhia and P. Ciarlet
UMA Ensta ParisTech, POems team



Introduction: objective

Scattering by a **negative material** in electromagnetism in 3D in **time-harmonic** regime (at a given frequency):

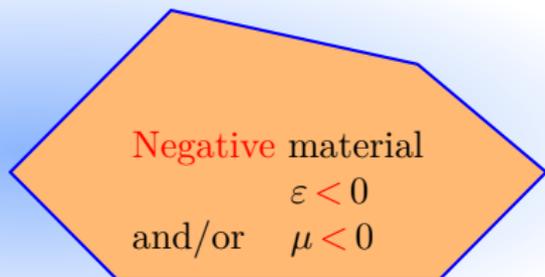
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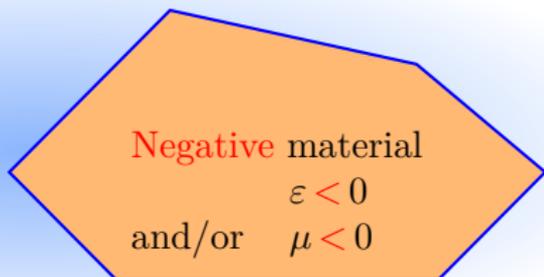


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- ▶ For **metals** at optical frequencies, $\varepsilon < 0$ and $\mu > 0$.

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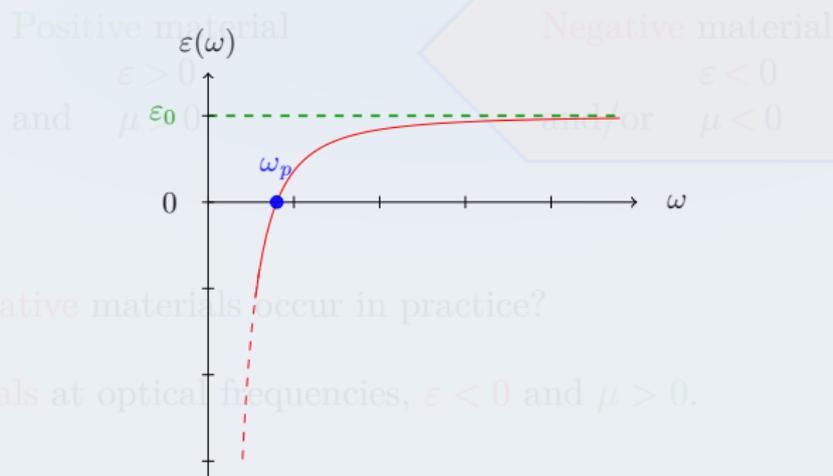
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Drude model for a **metal** (high frequency):

$$\varepsilon(\omega) = \varepsilon_0 \left(1 - \frac{\omega_p^2}{\omega^2} \right),$$

where ω_p is the plasma frequency.



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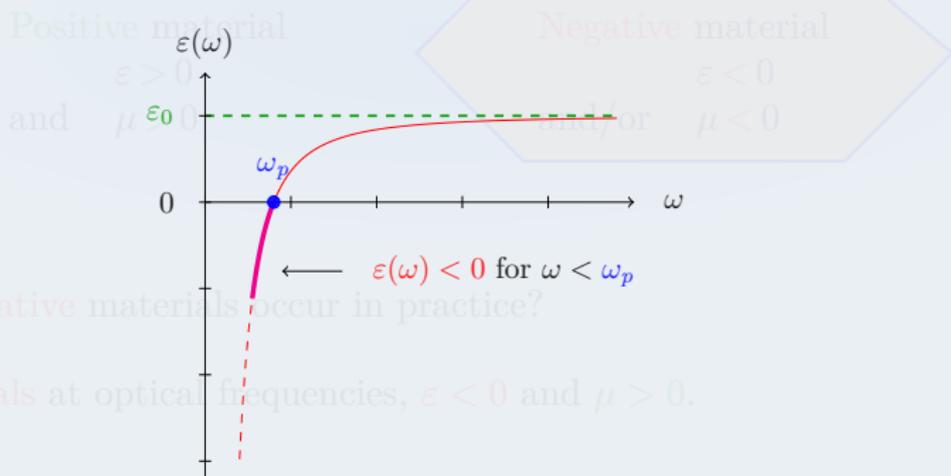
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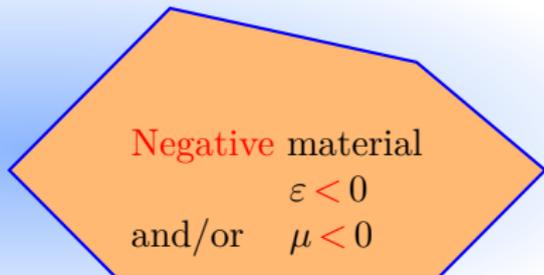
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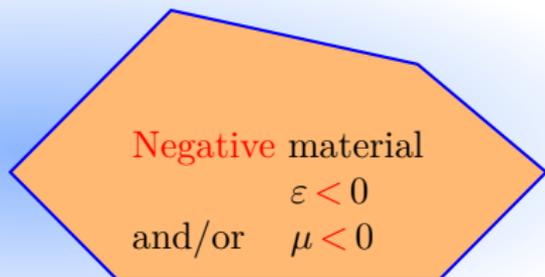
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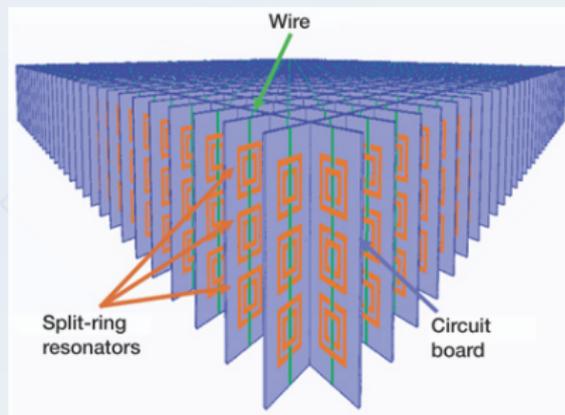
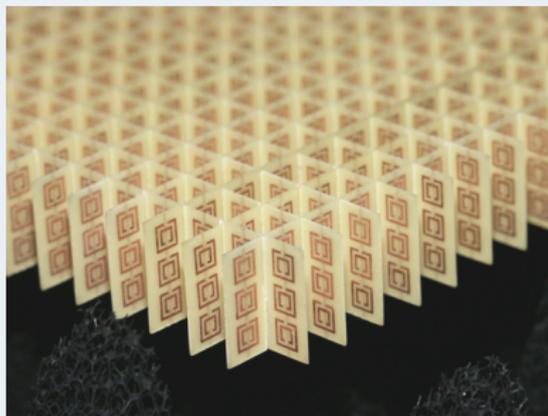
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- ▶ Recently, artificial **metamaterials** have been realized which can be modelled (at some frequency of interest) by $\varepsilon < 0$ and $\mu < 0$.

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Scattering by a **negative material** in electromagnetism in 3D in

time-harmonic regime (at a given frequency):

Zoom on a **metamaterial**: practical realizations of metamaterials are achieved by a **periodic** assembly of small **resonators**.



EXAMPLE OF METAMATERIAL (NASA)

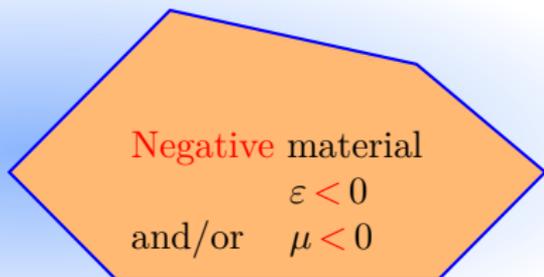
Mathematical justification of the homogenized model (Bouchitté, Bourel, Felbacq 09).

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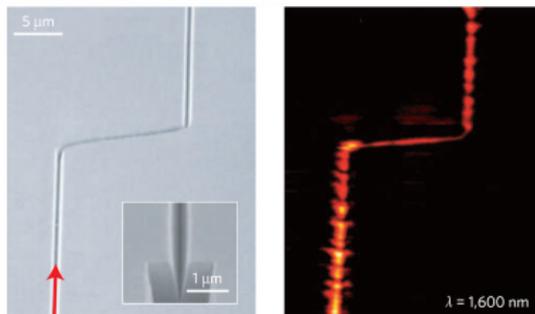


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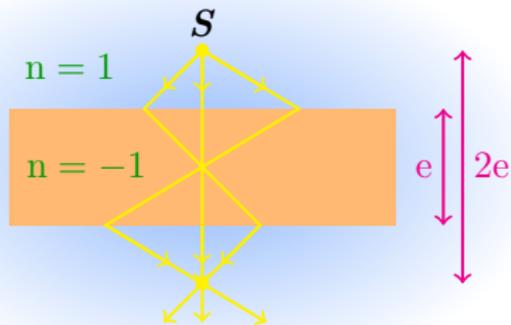
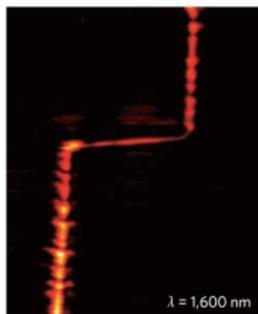
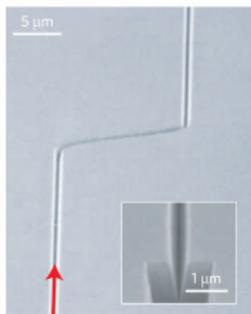
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- ▶ **Surface Plasmons Polaritons** that propagate at the interface between a metal and a dielectric can help reducing the size of **computer chips**.



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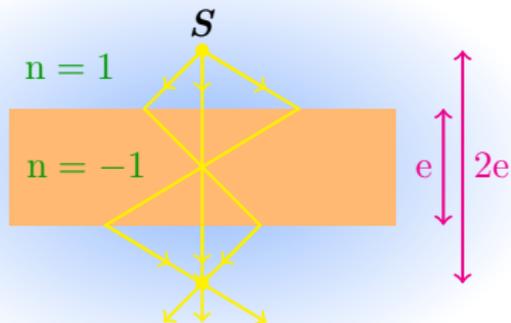
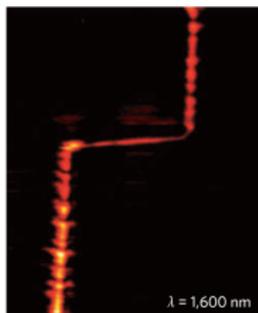
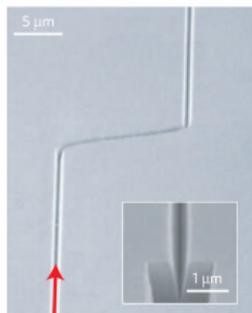
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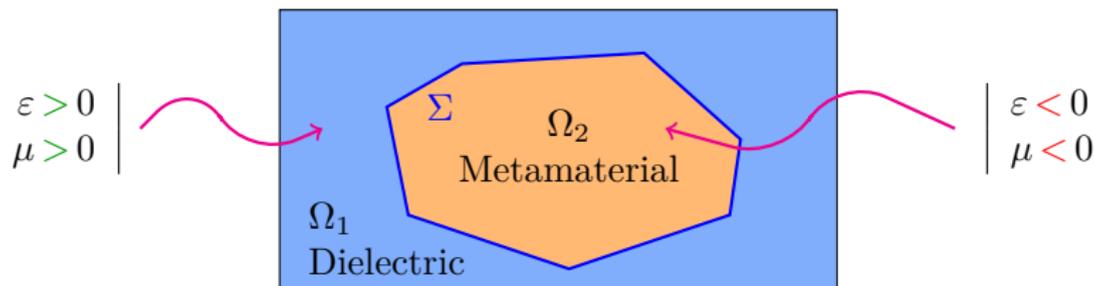


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Interfaces between negative materials and dielectrics occur in all (exciting) applications...

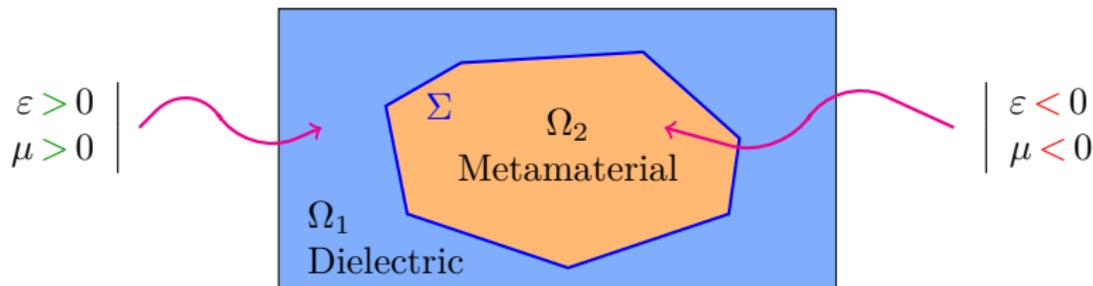
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Problem set in a **bounded** domain $\Omega \subset \mathbb{R}^3$:



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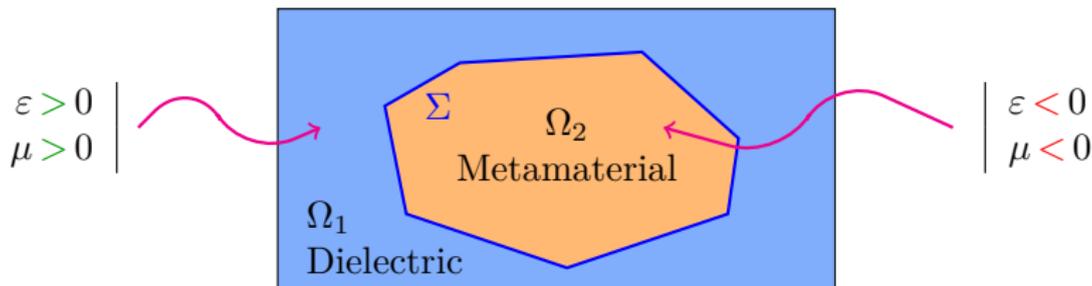
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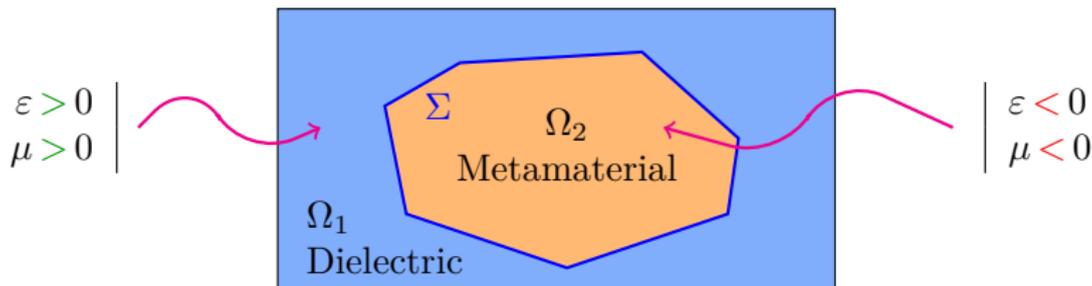
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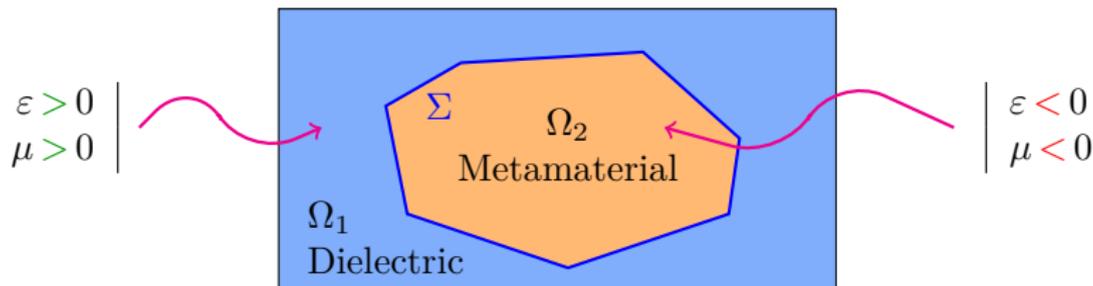
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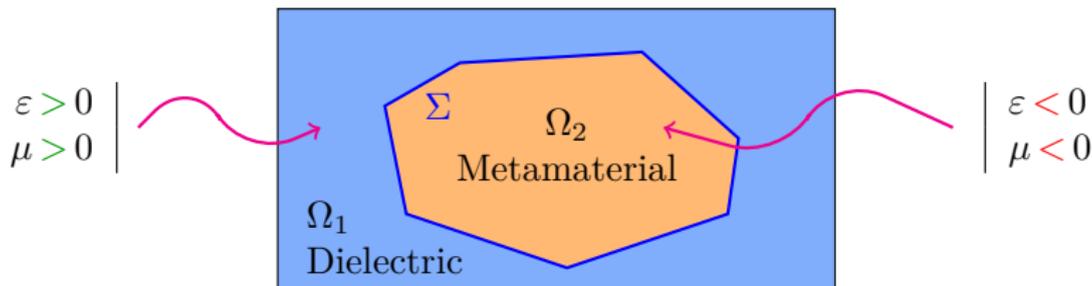


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- Does **well-posedness** still hold?
- What is the appropriate **functional framework**?
- What about the convergence of **approximation methods**?

Outline of the talk

1 The coerciveness issue for the scalar case

We develop a **T-coercivity method** based on geometrical transformations to study $\operatorname{div}(\mu^{-1}\nabla\cdot) : \mathbf{H}_0^1(\Omega) \rightarrow \mathbf{H}^{-1}(\Omega)$ (improvement over **Bonnet-Ben Dhia *et al.* 10, Zwölf 08**).

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2 A new functional framework in the critical interval

We propose a **new functional framework** when $\operatorname{div}(\mu^{-1}\nabla\cdot) : \mathbf{X} \rightarrow \mathbf{Y}$ is **not Fredholm** for $\mathbf{X} = \mathbf{H}_0^1(\Omega)$ and $\mathbf{Y} = \mathbf{H}^{-1}(\Omega)$ (extension of **Dauge, Texier 97, Ramdani 99**).

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4 The T-coercivity method for the Interior Transmission Problem

We study $\Delta(\sigma\Delta\cdot) : \mathbf{H}_0^2(\Omega) \rightarrow \mathbf{H}^{-2}(\Omega)$.

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Problem for E_z in 2D in case of an invariance with respect to z :

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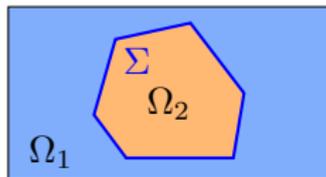
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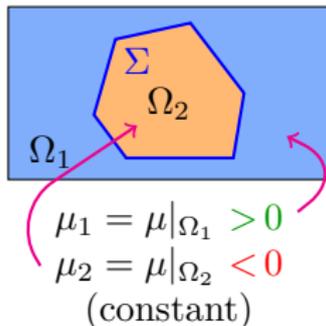
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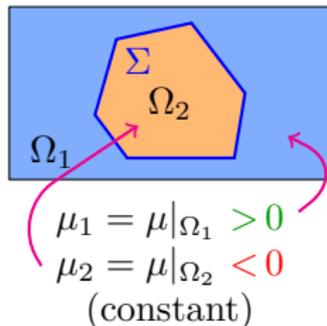


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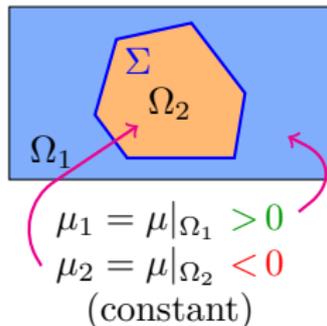
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DEFINITION. We will say that the problem (\mathcal{P}) is **well-posed** if the operator $A = \operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

Mathematical difficulty

- Classical case $\mu > 0$ everywhere:

$$a(u, u) = \int_{\Omega} \mu^{-1} |\nabla u|^2 \geq \min(\mu^{-1}) \|u\|_{H_0^1(\Omega)}^2 \quad \text{coercivity}$$

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- ▶ When $\mu_2 = -\mu_1$, (\mathcal{P}) is always ill-posed (Costabel-Stephan 85). For a symmetric domain (w.r.t. Σ) we can build a kernel of infinite dimension.

Idea of the T-coercivity 1/2

Let \mathbf{T} be an **isomorphism** of $\mathbf{H}_0^1(\Omega)$.

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Goal: Find \mathbf{T} such that a is \mathbf{T} -coercive: $\int_{\Omega} \mu^{-1} \nabla u \cdot \nabla(\mathbf{T}u) \geq C \|u\|_{H_0^1(\Omega)}^2$.

In this case, Lax-Milgram $\Rightarrow (\mathcal{P}_V^{\mathbf{T}})$ (and so (\mathcal{P}_V)) is well-posed.

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1 Define $\mathbf{T}_1 u = \begin{cases} u_1 & \text{in } \Omega_1 \\ -u_2 + \dots & \text{in } \Omega_2 \end{cases}$

Idea of the T-coercivity 1/2

Let \mathbf{T} be an **isomorphism** of $H_0^1(\Omega)$.

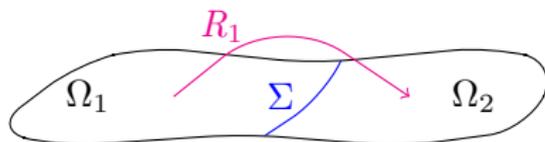
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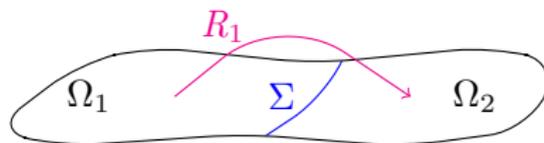
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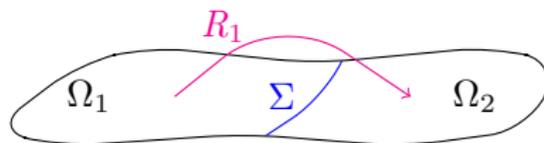
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On Σ , we have $-u_2 + 2R_1 u_1 = -u_2 + 2u_1 = u_1 \Rightarrow \mathbf{T}_1 u \in H_0^1(\Omega)$.

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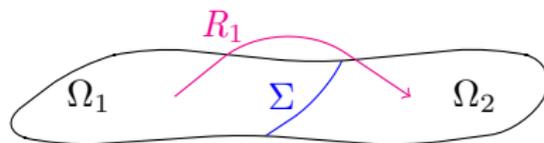
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2 $\mathbf{T}_1 \circ \mathbf{T}_1 = Id$ so \mathbf{T}_1 is an **isomorphism** of $H_0^1(\Omega)$

Idea of the T-coercivity 2/2

③ One has
$$a(u, \mathbb{T}_1 u) = \int_{\Omega} |\mu|^{-1} |\nabla u|^2 - 2 \int_{\Omega_2} \mu_2^{-1} \nabla u \cdot \nabla (R_1 u_1)$$

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⑤ Conclusion:

THEOREM. If the **contrast** $\kappa_{\mu} = \mu_2/\mu_1 \notin [-\|R_1\|^2; -1/\|R_2\|^2]$, then the operator $\operatorname{div}(\mu^{-1} \nabla \cdot)$ is an **isomorphism** from $H_0^1(\Omega)$ to $H^{-1}(\Omega)$.

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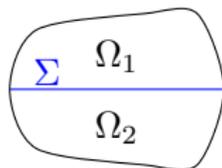
⑤ Conclusion:

The interval depends on the norms of the transfer operators

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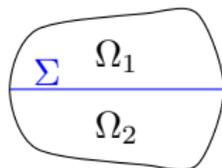
Choice of R_1, R_2 ?

- ▶ A simple case: symmetric domain



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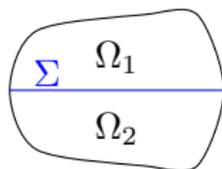


$$R_1 = R_2 = S_\Sigma$$

so that $\|R_1\| = \|R_2\| = 1$
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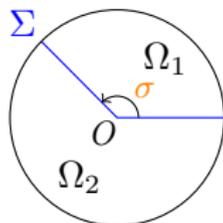
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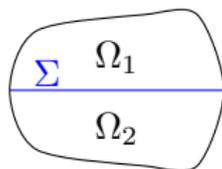
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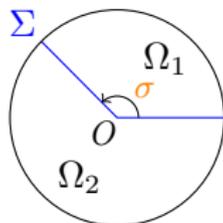
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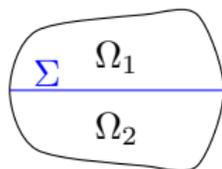
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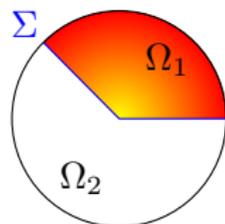
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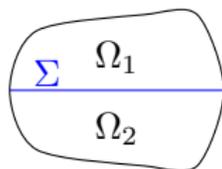
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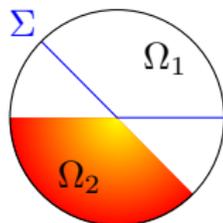
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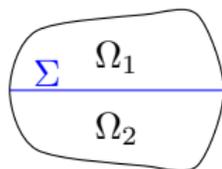


Action of R_1 : symmetry

w.r.t θ

Choice of R_1, R_2 ?

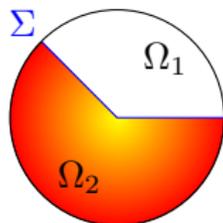
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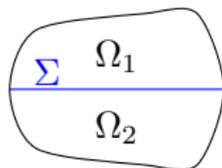
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Action of R_1 : symmetry + dilatation w.r.t θ

Choice of R_1, R_2 ?

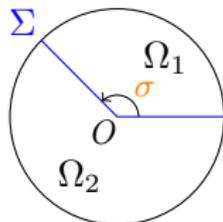
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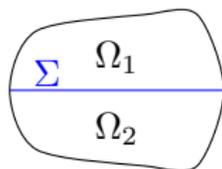


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$$\|R_1\|^2 = \mathcal{R}_\sigma := (2\pi - \sigma)/\sigma$$

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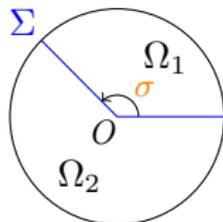
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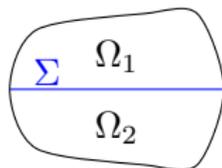
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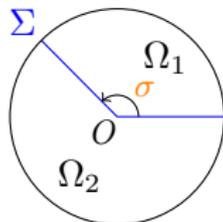
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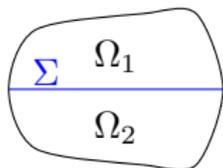
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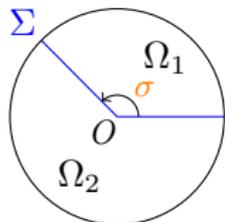
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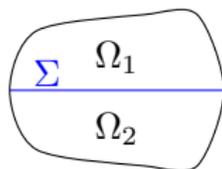
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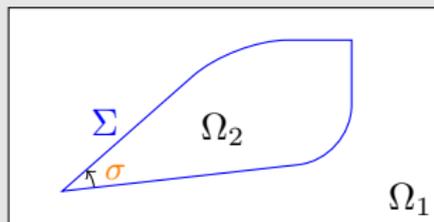
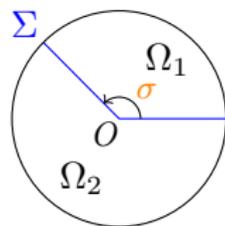
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- ▶ Interface with



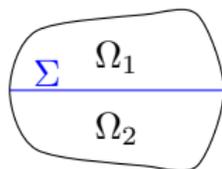
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rotation w.r.t θ
 rotation w.r.t θ
 $(2\pi - \sigma)/\sigma$
 $[\mathcal{R}_\sigma; -1/\mathcal{R}_\sigma]$

Choice of R_1, R_2 ?

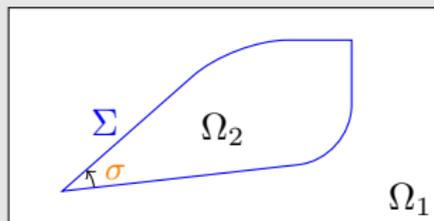
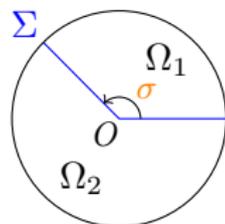
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rotation w.r.t θ
 rotation w.r.t θ
 $2\pi - \sigma)/\sigma$
 $[\mathcal{R}_\sigma; -1/\mathcal{R}_\sigma]$

- ▶ By **localization** techniques, we prove

PROPOSITION. (\mathcal{P}) is well-posed in the **Fredholm** sense for a **curvilinear polygonal interface** iff $\kappa_\mu \notin [-\mathcal{R}_\sigma; -1/\mathcal{R}_\sigma]$ where σ is the smallest angle.

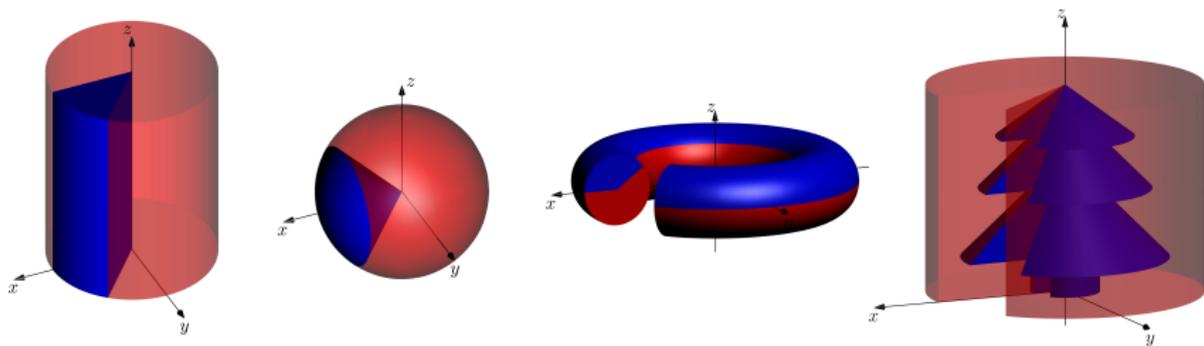
\Rightarrow When Σ is **smooth**, (\mathcal{P}) is well-posed in the Fredholm sense iff $\kappa_\mu \neq -1$.

Extensions for the scalar case

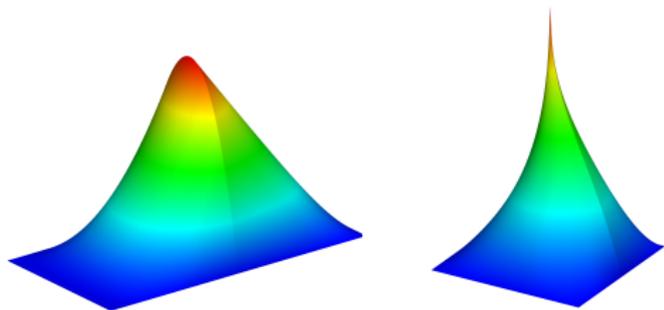
- ▶ The T-coercivity approach can be used to deal with non constant μ_1, μ_2 and with the **Neumann** problem.

Extensions for the scalar case

- ▶ The T-coercivity approach can be used to deal with non constant μ_1, μ_2 and with the **Neumann** problem.
- ▶ **3D geometries** can be handled in the same way.



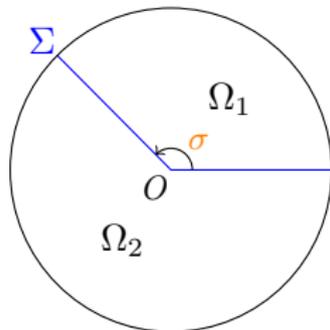
- ▶ The T-coercivity technique allows to justify convergence of standard **finite element** method for simple meshes (**Bonnet-Ben Dhia et al. 10**, **Nicaise, Venel 11**, **Chesnel, Ciarlet 12**).



Transition: from variational methods to Fourier/Mellin techniques



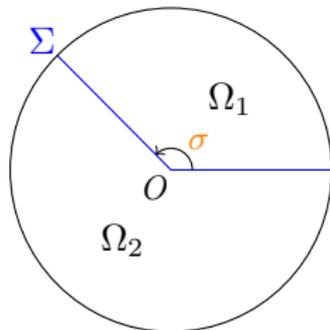
For the **corner** case, what happens when the **contrast** lies **inside** the **critical interval**, *i.e.* when $\kappa_\mu \in [-\mathcal{R}_\sigma; -1/\mathcal{R}_\sigma]$???



Transition: from variational methods to Fourier/Mellin techniques



For the **corner** case, what happens when the **contrast** lies **inside** the **critical interval**, *i.e.* when $\kappa_\mu \in [-\mathcal{R}_\sigma; -1/\mathcal{R}_\sigma]$???



Idea: we will study precisely the **regularity** of the “solutions” using the **Kondratiev’s** tools, *i.e.* the Fourier/Mellin transform (Dauge, Texier 97, Nazarov, Plamenevsky 94).

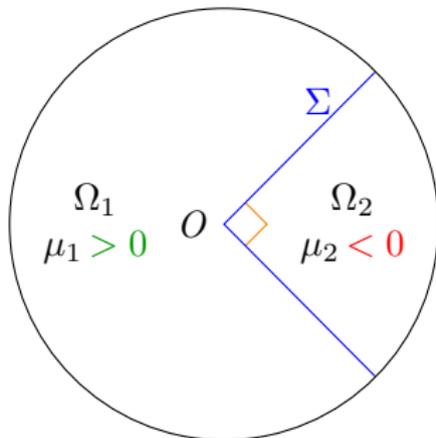
- 1 The coerciveness issue for the scalar case
- 2 A new functional framework in the critical interval
⇒ collaboration with **X. Claeys** (LJLL Paris VI).
- 3 Study of Maxwell's equations
- 4 The T-coercivity method for the Interior Transmission Problem

Problem considered in this section

- ▶ We recall the problem under consideration

$$(\mathcal{P}) \quad \left| \begin{array}{l} \text{Find } u \in H_0^1(\Omega) \text{ such that:} \\ -\operatorname{div}(\mu^{-1}\nabla u) = f \quad \text{in } \Omega. \end{array} \right.$$

- ▶ To simplify the presentation, we work on a particular configuration.

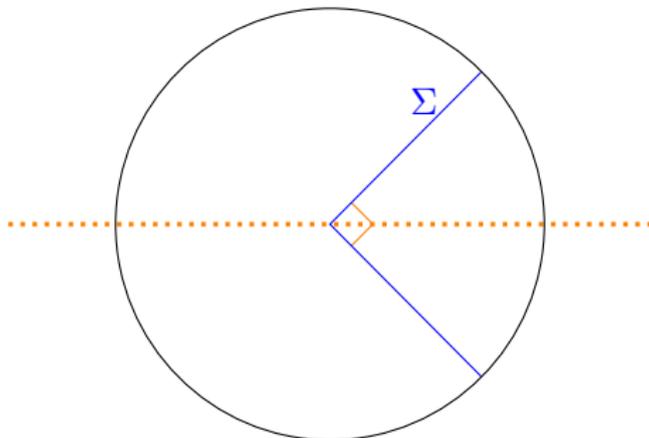


Problem considered in this section

- ▶ We recall the problem under consideration

$$(\mathcal{P}) \quad \left| \begin{array}{l} \text{Find } u \in H_0^1(\Omega) \text{ such that:} \\ -\operatorname{div}(\mu^{-1}\nabla u) = f \quad \text{in } \Omega. \end{array} \right.$$

- ▶ To simplify the presentation, we work on a particular configuration.

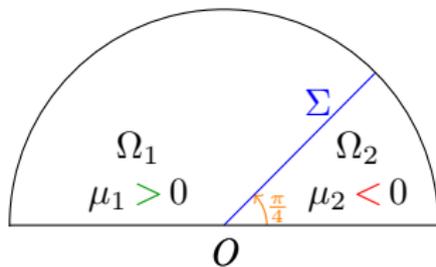


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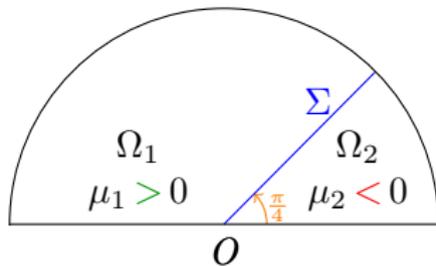


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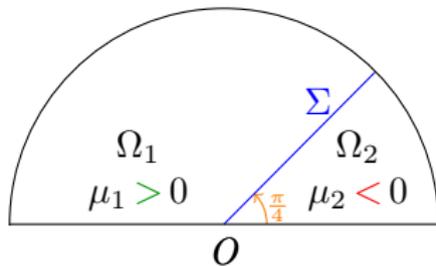
PROPOSITION. The problem (\mathcal{P}) is well-posed as soon as the **contrast** $\kappa_\mu = \mu_2/\mu_1$ satisfies $\kappa_\mu \notin [-3; -1]$.

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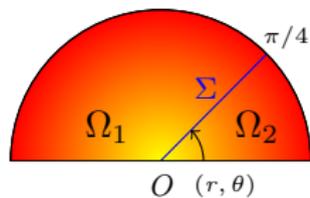
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PROPOSITION. The problem (\mathcal{P}) is well-posed as soon as the **contrast** $\kappa_\mu = \mu_2/\mu_1$ satisfies $\kappa_\mu \notin [-3; -1]$.

What happens when $\kappa_\mu \in [-3; -1]$?

Analogy with a waveguide problem

- Bounded sector Ω

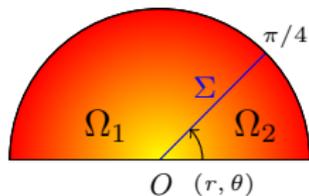


- Equation:

$$\underbrace{-\operatorname{div}(\mu^{-1} \nabla u)}_{-r^{-2}(\mu^{-1}(r\partial_r)^2 + \partial_\theta \mu^{-1} \partial_\theta)u} = f$$

Analogy with a waveguide problem

- Bounded sector Ω



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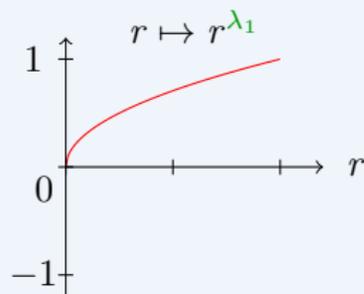
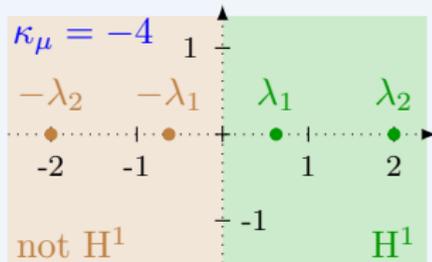
- **Singularities** in the sector

$$s(r, \theta) = r^\lambda \varphi(\theta)$$

Analogy with a waveguide problem

We compute the singularities $s(r, \theta) = r^\lambda \varphi(\theta)$ and we observe two cases:

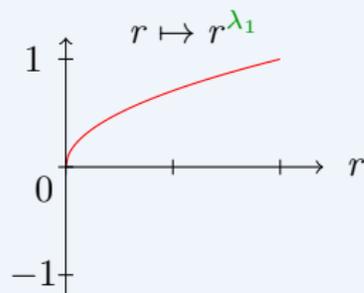
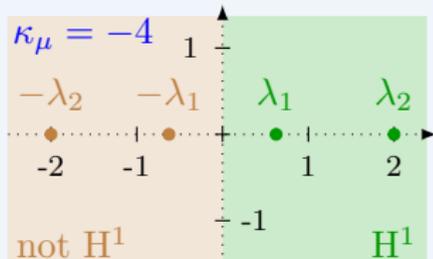
► **Outside the critical interval**



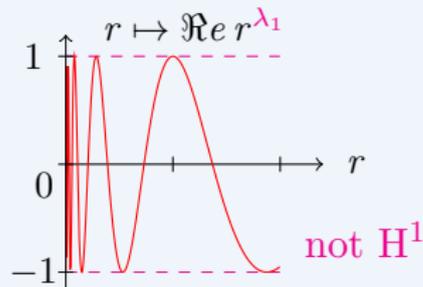
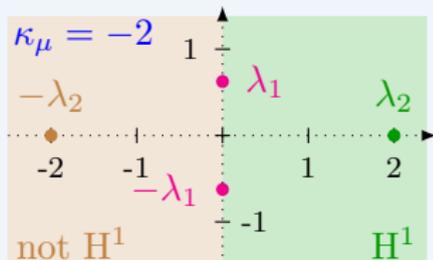
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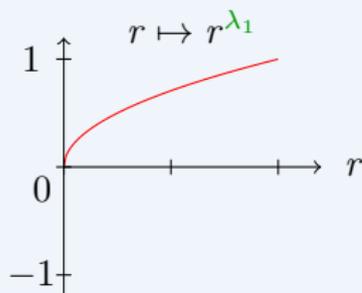
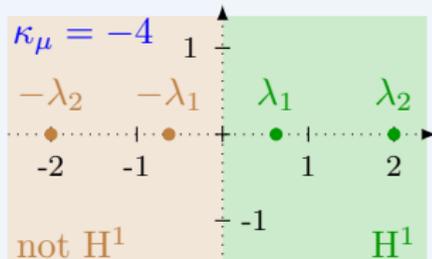
Inside the critical interval



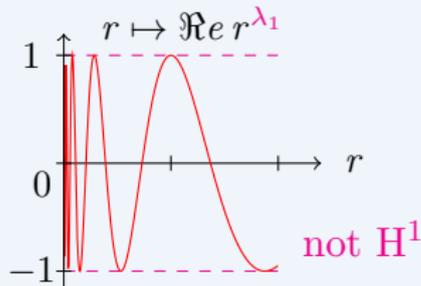
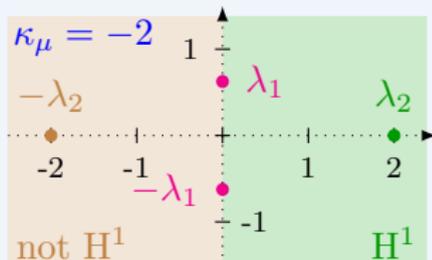
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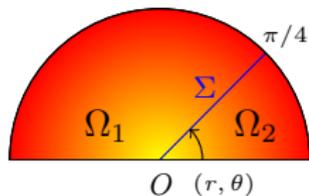
Inside the critical interval



How to deal with the **propagative singularities** inside the critical interval?

Analogy with a waveguide problem

- Bounded sector Ω



- Equation:

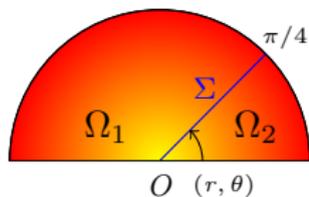
$$\underbrace{-\operatorname{div}(\mu^{-1} \nabla u)}_{-r^{-2}(\mu^{-1}(r\partial_r)^2 + \partial_\theta \mu^{-1} \partial_\theta)u} = f$$

- **Singularities** in the sector

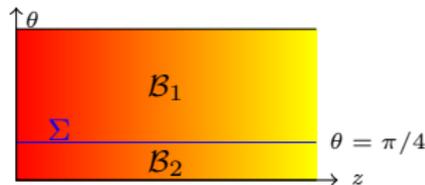
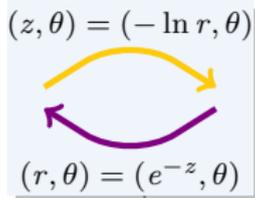
$$s(r, \theta) = r^\lambda \varphi(\theta)$$

Analogy with a waveguide problem

- Bounded sector Ω



- Half-strip \mathcal{B}



- Equation:

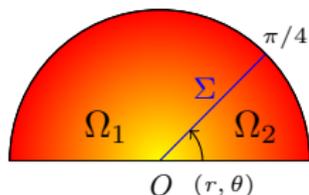
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Analogy with a waveguide problem

- Bounded sector Ω



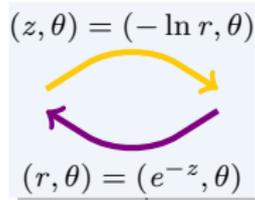
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- Half-strip \mathcal{B}

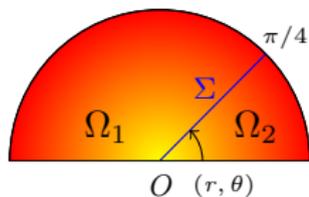


- Equation:

$$\underbrace{-\operatorname{div}(\mu^{-1} \nabla u)}_{-(\mu^{-1} \partial_z^2 + \partial_\theta \mu^{-1} \partial_\theta)u} = e^{-2z} f$$

Analogy with a waveguide problem

- Bounded sector Ω



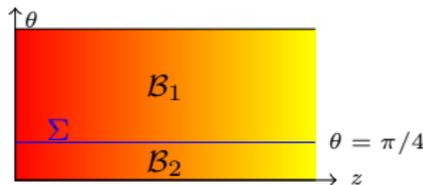
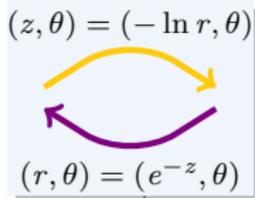
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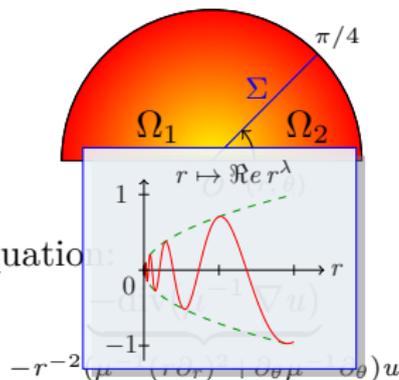
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- Modes** in the strip

$$m(z, \theta) = e^{-\lambda z} \varphi(\theta)$$

Analogy with a waveguide problem

- Bounded sector Ω



- Equation:

$= f$

- Singularities** in the sector

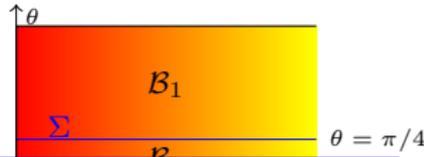
$$s(r, \theta) = r^\lambda \varphi(\theta)$$

$$s \in H^1(\Omega)$$

- Half-strip \mathcal{B}

$$(z, \theta) = (-\ln r, \theta)$$

$$(r, \theta) = (e^{-z}, \theta)$$



- Equation:

- Modes** in the strip

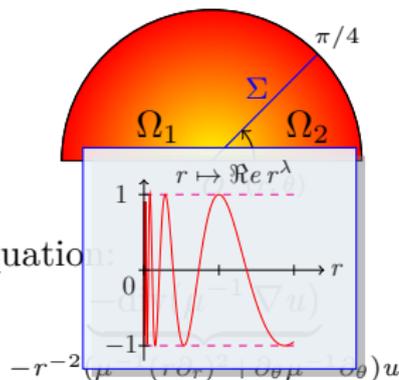
$$m(z, \theta) = e^{-\lambda z} \varphi(\theta)$$

m is evanescent

$$\Re \lambda > 0$$

Analogy with a waveguide problem

- Bounded sector Ω



- Equation:

$= f$

- Singularities** in the sector

$$s(r, \theta) = r^\lambda \varphi(\theta)$$

$$= r^a (\cos b \ln r + i \sin b \ln r) \varphi(\theta)$$

$$(\Re \lambda = a, \Im \lambda = b)$$

$$s \in H^1(\Omega)$$

$$s \notin H^1(\Omega)$$

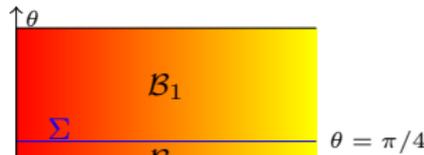
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$$\Re \lambda = 0$$

- Half-strip \mathcal{B}

$$(z, \theta) = (-\ln r, \theta)$$

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- Equation:

- Modes** in the strip

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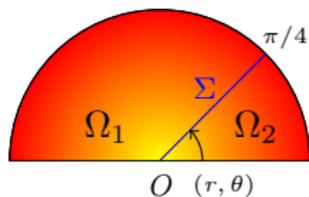
$$= e^{-az} (\cos bz - i \sin bz) \varphi(\theta)$$

$$m \text{ is evanescent}$$

$$m \text{ is propagative}$$

Analogy with a waveguide problem

- Bounded sector Ω



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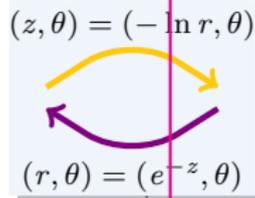
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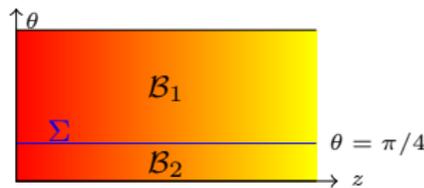
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- Half-strip \mathcal{B}



- Equation:

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- Modes** in the strip

$$m(z, \theta) = e^{-\lambda z} \varphi(\theta) = \cancel{e^{-az}} (\cos bz - i \sin bz) \varphi(\theta)$$

$(\Re \lambda = a, \Im \lambda = b)$

$$\Re \lambda > 0$$

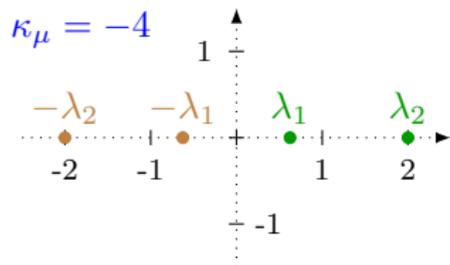
$$\Re \lambda = 0$$

m is **evanescent**

m is **propagative**

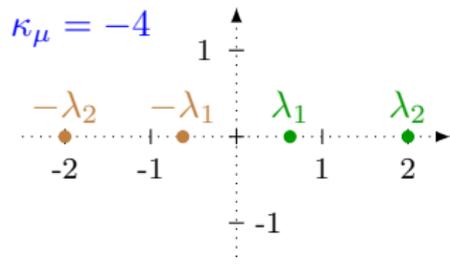
- This encourages us to use **modal decomposition** in the half-strip.

Modal analysis in the waveguide

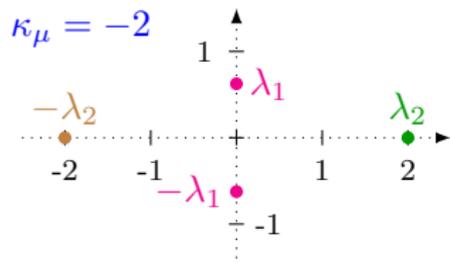


- **Outside the critical interval**. All the modes are exponentially growing or decaying.
- We look for an exponentially decaying solution. H^1 framework

Modal analysis in the waveguide

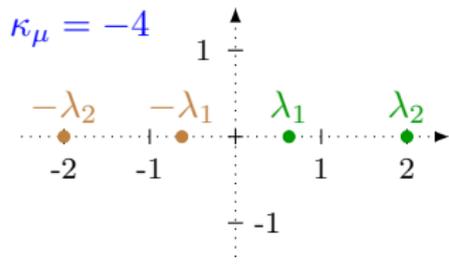


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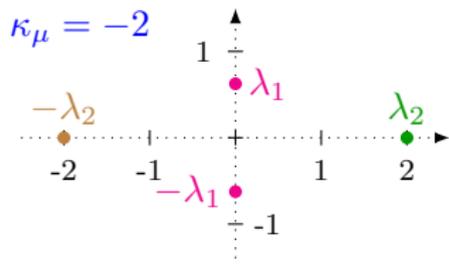
- ▶ **Inside the critical interval**. There are exactly two propagative modes.

Modal analysis in the waveguide



► **Outside the critical interval**. All the modes are exponentially growing or decaying.

→ We look for an exponentially decaying solution. H^1 framework



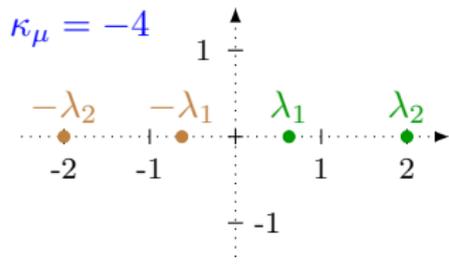
► **Inside the critical interval**. There are exactly two propagative modes.

→ The decomposition on the outgoing modes leads to look for a solution of the form

$$u = \underbrace{c_1 \varphi_1 e^{\lambda_1 z}}_{\text{propagative part}} + \underbrace{u_e}_{\text{evanescent part}}$$

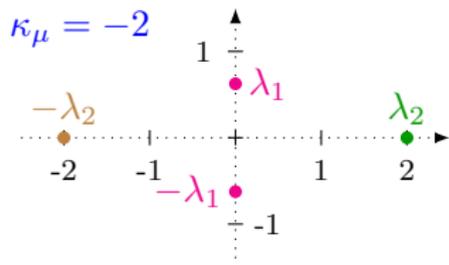
non H^1 framework

Modal analysis in the waveguide



► **Outside the critical interval**. All the modes are exponentially growing or decaying.

→ We look for an exponentially decaying solution. H^1 framework



► **Inside the critical interval**. There are exactly two propagative modes.

→ The decomposition on the outgoing modes leads to look for a solution of the form

$$u = \underbrace{c_1 \varphi_1 e^{\lambda_1 z}}_{\text{propagative part}} + \underbrace{u_e}_{\text{evanescent part}}$$

non H^1 framework

 ... but the modal decomposition is not easy to justify because two sign-changing appear in the **transverse problem**: $\partial_\theta \sigma \partial_\theta \varphi = -\sigma \lambda^2 \varphi$.

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

$$W_{-\beta} = \{v \mid e^{\beta z} v \in H_0^1(\mathcal{B})\} \quad \text{space of exponentially decaying functions}$$

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

$W_{-\beta} = \{v \mid e^{\beta z} v \in H_0^1(\mathcal{B})\}$ space of exponentially decaying functions

$W_{\beta} = \{v \mid e^{-\beta z} v \in H_0^1(\mathcal{B})\}$ space of exponentially growing functions

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

$$\begin{aligned} W_{-\beta} &= \{v \mid e^{\beta z} v \in H_0^1(\mathcal{B})\} && \text{space of exponentially decaying functions} \\ W^+ &= \text{span}(\zeta \varphi_1 e^{\lambda_1 z}) \oplus W_{-\beta} && \text{propagative part} + \text{evanescent part} \\ W_{\beta} &= \{v \mid e^{-\beta z} v \in H_0^1(\mathcal{B})\} && \text{space of exponentially growing functions} \end{aligned}$$

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THEOREM. Let $\kappa_{\mu} \in (-3; -1)$ and $0 < \beta < 2$. The operator $A^+ : \text{div}(\mu^{-1} \nabla \cdot)$ from W^+ to W_{β}^* is an **isomorphism**.

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

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IDEAS OF THE PROOF:

- 1 $A_{-\beta} : \text{div}(\mu^{-1} \nabla \cdot)$ from $W_{-\beta}$ to W_{β}^* is **injective** but not **surjective**.

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

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The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

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- 3 The intermediate operator $A^+ : W^+ \rightarrow W_\beta^*$ is **injective** (energy integral) and **surjective** (residue theorem).

The new functional framework

Consider $0 < \beta < 2$, ζ a cut-off function (equal to 1 in $+\infty$) and define

$$\begin{aligned} \mathbf{W}_{-\beta} &= \{v \mid e^{\beta z} v \in \mathbf{H}_0^1(\mathcal{B})\} && \text{space of exponentially decaying functions} \\ \mathbf{W}^+ &= \text{span}(\zeta \varphi_1 e^{\lambda_1 z}) \oplus \mathbf{W}_{-\beta} && \text{propagative part} + \text{evanescent part} \\ \mathbf{W}_\beta &= \{v \mid e^{-\beta z} v \in \mathbf{H}_0^1(\mathcal{B})\} && \text{space of exponentially growing functions} \end{aligned}$$

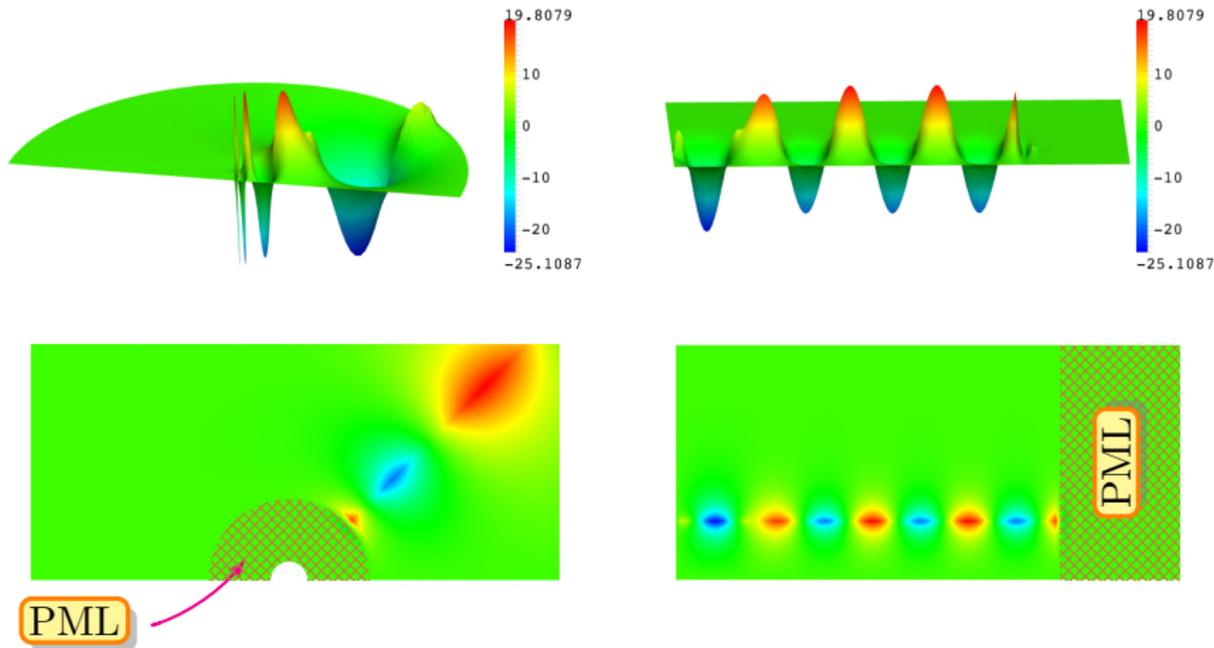
THEOREM. Let $\kappa_\mu \in (-3; -1)$ and $0 < \beta < 2$. The operator $A^+ : \text{div}(\mu^{-1} \nabla \cdot)$ from \mathbf{W}^+ to \mathbf{W}_β^* is an **isomorphism**.

IDEAS OF THE PROOF:

- 1 $A_{-\beta} : \text{div}(\mu^{-1} \nabla \cdot)$ from $\mathbf{W}_{-\beta}$ to \mathbf{W}_β^* is **injective** but not **surjective**.
- 2 $A_\beta : \text{div}(\mu^{-1} \nabla \cdot)$ from \mathbf{W}_β to $\mathbf{W}_{-\beta}^*$ is **surjective** but **not injective**.
- 3 The intermediate operator $A^+ : \mathbf{W}^+ \rightarrow \mathbf{W}_\beta^*$ is **injective** (energy integral) and **surjective** (residue theorem).
- 4 Limiting absorption principle to select the **outgoing mode**.

A funny use of PMLs

- ▶ We use a **PML** (*Perfectly Matched Layer*) to bound the domain \mathcal{B} + **finite elements** in the truncated strip



Contrast $\kappa_\mu = -1.001 \in (-3; -1)$.

A black hole phenomenon

- ▶ The same phenomenon occurs for the **Helmholtz equation**.

$$(\mathbf{x}, t) \mapsto \Re e(u(\mathbf{x})e^{-i\omega t}) \quad \text{for } \kappa_\mu = -1.3 \in (-3; -1)$$

(...)

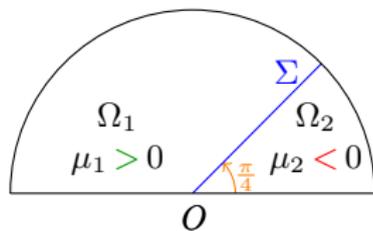
(...)

- ▶ Analogous phenomena occur in **cuspidal domains** in the theory of water-waves and in elasticity (**Cardone, Nazarov, Taskinen**).
- ▶ On going work for a **general domain** (**C. Carvalho**).

Summary of the results for the scalar problem

Problem

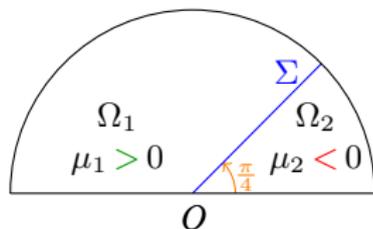
$$(\mathcal{P}) \quad \left| \begin{array}{l} \text{Find } u \in H_0^1(\Omega) \text{ s.t.:} \\ -\operatorname{div}(\mu^{-1}\nabla u) = f \quad \text{in } \Omega. \end{array} \right.$$



Summary of the results for the scalar problem

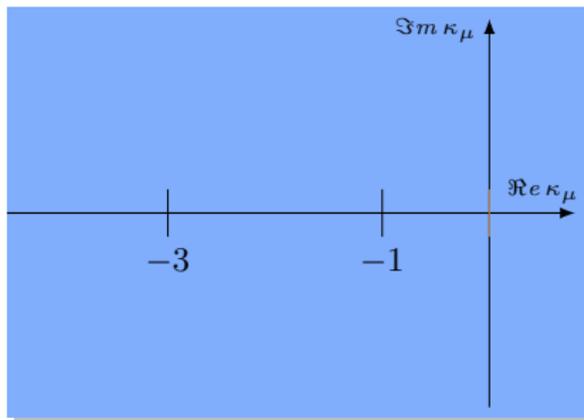
Problem

(\mathcal{P}) Find $u \in H_0^1(\Omega)$ s.t.:

$$-\operatorname{div}(\mu^{-1}\nabla u) = f \quad \text{in } \Omega.$$


Results

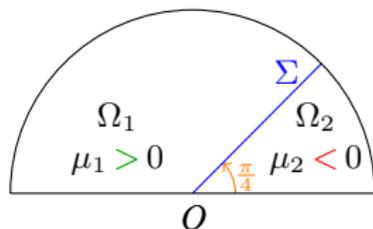
For $\kappa_\mu \in \mathbb{C} \setminus \mathbb{R}_-$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (Lax-Milgram)



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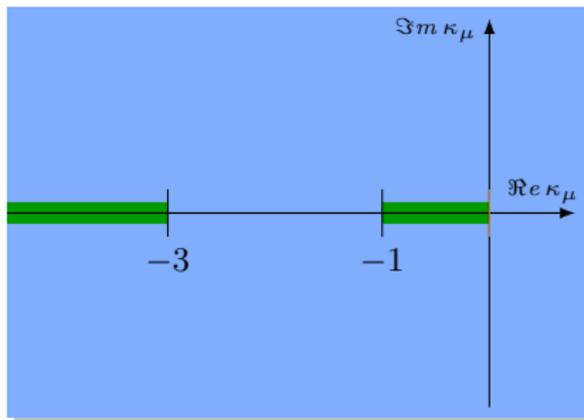
(\mathcal{P}) Find $u \in H_0^1(\Omega)$ s.t.:
 $-\operatorname{div}(\mu^{-1}\nabla u) = f$ in Ω .



Results

For $\kappa_\mu \in \mathbb{C} \setminus \mathbb{R}_-$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**Lax-Milgram**)

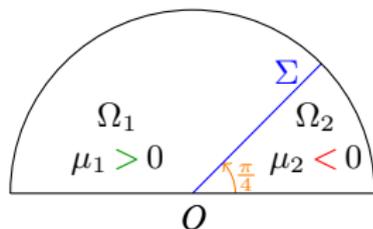
For $\kappa_\mu \in \mathbb{R}_-^* \setminus [-3; -1]$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**T-coercivity**)



Summary of the results for the scalar problem

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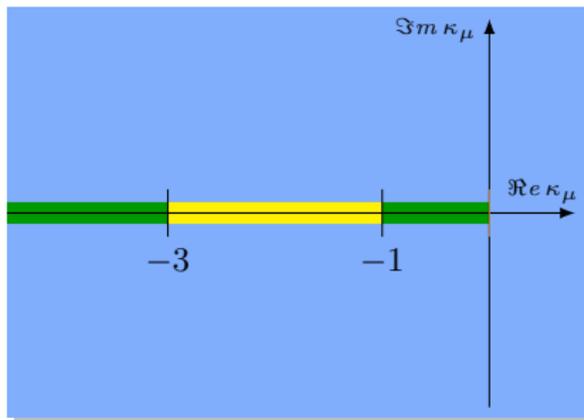


Results

For $\kappa_\mu \in \mathbb{C} \setminus \mathbb{R}_-$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**Lax-Milgram**)

For $\kappa_\mu \in \mathbb{R}_-^* \setminus [-3; -1]$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**T-coercivity**)

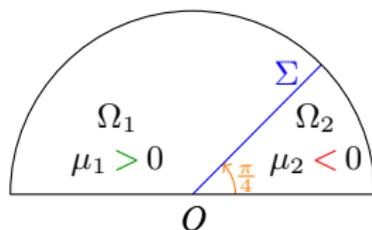
For $\kappa_\mu \in (-3; -1)$, (\mathcal{P}) is not well-posed in the Fredholm sense in $H_0^1(\Omega)$ but well-posed in \mathbf{V}^+ (PMLs)



Summary of the results for the scalar problem

Problem

(\mathcal{P}) Find $u \in H_0^1(\Omega)$ s.t.:
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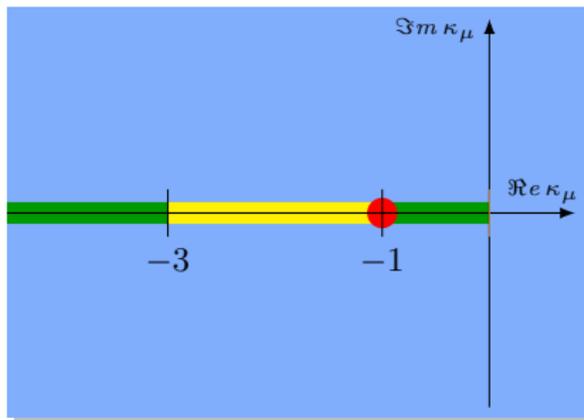
Results

For $\kappa_\mu \in \mathbb{C} \setminus \mathbb{R}_-$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**Lax-Milgram**)

For $\kappa_\mu \in \mathbb{R}_-^* \setminus [-3; -1]$, (\mathcal{P}) well-posed in $H_0^1(\Omega)$ (**T-coercivity**)

For $\kappa_\mu \in (-3; -1)$, (\mathcal{P}) is not well-posed in the Fredholm sense in $H_0^1(\Omega)$ but well-posed in **V⁺** (PMLs)

For $\kappa_\mu = -1$, (\mathcal{P}) ill-posed in $H_0^1(\Omega)$



- 1 The coerciveness issue for the scalar case
- 2 A new functional framework in the critical interval
- 3 Study of Maxwell's equations**
- 4 The T-coercivity method for the Interior Transmission Problem

T-coercivity in the vector case 1/3

Let us consider the problem for the **magnetic** field \mathbf{H} :

$$\left| \begin{array}{l} \text{Find } \mathbf{H} \in \mathbf{V}_T(\mu; \Omega) \text{ such that for all } \mathbf{H}' \in \mathbf{V}_T(\mu; \Omega) : \\ \underbrace{\int_{\Omega} \varepsilon^{-1} \mathbf{curl} \mathbf{H} \cdot \mathbf{curl} \mathbf{H}'}_{a(\mathbf{H}, \mathbf{H}')} - \omega^2 \underbrace{\int_{\Omega} \mu \mathbf{H} \cdot \mathbf{H}'}_{c(\mathbf{H}, \mathbf{H}')} = \underbrace{\int_{\Omega} \mathbf{F} \cdot \mathbf{H}'}_{l(\mathbf{H}')}, \end{array} \right.$$

with $\mathbf{V}_T(\mu; \Omega) := \{\mathbf{u} \in \mathbf{H}(\mathbf{curl}; \Omega) \mid \operatorname{div}(\mu \mathbf{u}) = 0, \mu \mathbf{u} \cdot \mathbf{n} = 0 \text{ on } \partial\Omega\}$.

\mathbb{T} -coercivity in the vector case 1/3

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$$\begin{cases} (R_1\mathbf{H}_1) \times \mathbf{n} & = \mathbf{H}_2 \times \mathbf{n} & \text{on } \Sigma \\ \mu_1(R_1\mathbf{H}_1) \cdot \mathbf{n} & = \mu_2\mathbf{H}_2 \cdot \mathbf{n} & \text{on } \Sigma \end{cases}$$

T-coercivity in the vector case 1/3

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Not possible!

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♠ **Impossible** because $\operatorname{div}(\varepsilon \mathbf{curl} \mathbf{H}) \neq 0$.

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♠ **Impossible** because $\operatorname{div}(\varepsilon \mathbf{curl} \mathbf{H}) \neq 0$.  Idea: add a **gradient...**

T-coercivity in the vector case 3/3

Maxwell approach

Consider $\mathbf{H} \in \mathbf{V}_T(\mu; \Omega)$.

T-coercivity in the vector case 3/3

Maxwell approach

Consider $\mathbf{H} \in \mathbf{V}_T(\mu; \Omega)$.

- 1 Introduce $\varphi \in H_0^1(\Omega)$ s.t. $\operatorname{div}(\varepsilon(\operatorname{curl} \mathbf{H} - \nabla \varphi)) = 0$.

T-coercivity in the vector case 3/3

Maxwell approach

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Ok

if $(\varphi, \varphi') \mapsto \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi'$ is **T-coercive** on $H_0^1(\Omega)$. $(\mathcal{A}_\varepsilon)$

T-coercivity in the vector case 3/3

Maxwell approach

Consider $\mathbf{H} \in \mathbf{V}_T(\mu; \Omega)$.

- 1 Introduce $\varphi \in H_0^1(\Omega)$ s.t. $\operatorname{div}(\varepsilon(\operatorname{curl} \mathbf{H} - \nabla \varphi)) = 0$.

✓ Ok if $(\varphi, \varphi') \mapsto \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi'$ is **T-coercive** on $H_0^1(\Omega)$. (\mathcal{A}_ε)

- 2 Introduce $\mathbf{u} \in \mathbf{V}_T(1; \Omega)$ (Amrouche et al. 98) the function satisfying

$$\operatorname{curl} \mathbf{u} = \varepsilon (\operatorname{curl} \mathbf{H} - \nabla \varphi) \quad \text{in } \Omega.$$

T-coercivity in the vector case 3/3

Maxwell approach

Consider $\mathbf{H} \in \mathbf{V}_T(\mu; \Omega)$.

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T-coercivity in the vector case 3/3

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👉 Use the results of the previous section to check ($\mathcal{A}_{\varepsilon}$) and (\mathcal{A}_{μ}).

T-coercivity in the vector case 3/3

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- Using this idea, we prove that the embedding of $\mathbf{V}_T(\mu; \Omega)$ in $\mathbf{L}^2(\Omega)$ is **compact** when (\mathcal{A}_μ) is true (extension of **Weber 80**'s result).

\mathbb{T} -coercivity in the vector case 3/3

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T-coercivity in the vector case 3/3

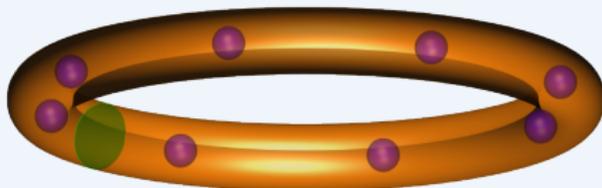
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Refinements are necessary when:

- ▶ The geometry is **non trivial** (Ω non simply connected and/or $\partial\Omega$ non connected).



T-coercivity in the vector case 3/3

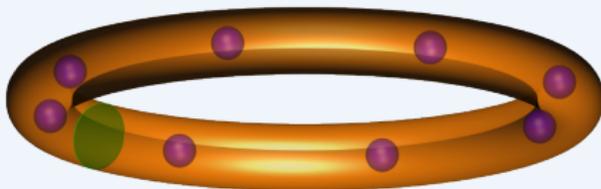
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Refinements are necessary when:

- ▶ The geometry is **non trivial** (Ω non simply connected and/or $\partial\Omega$ non connected).



- ▶ The scalar problems are **Fredholm** with a **non trivial kernel**.

The result for the magnetic field

Consider $\mathbf{F} \in \mathbf{L}^2(\Omega)$ such that $\operatorname{div} \mathbf{F} \in \mathbf{L}^2(\Omega)$.

THEOREM. Suppose

$$(\varphi, \varphi') \mapsto \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' \text{ is } \mathbf{T}\text{-coercive} \text{ on } H_0^1(\Omega); \quad (\mathcal{A}_\varepsilon)$$

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Then, the problem for the **magnetic field**

$$\left| \begin{array}{ll} \text{Find } \mathbf{H} \in \mathbf{H}(\operatorname{curl}; \Omega) \text{ such that:} & \\ \mathbf{curl}(\varepsilon^{-1} \mathbf{curl} \mathbf{H}) - \omega^2 \mu \mathbf{H} = \mathbf{F} & \text{in } \Omega \\ \varepsilon^{-1} \mathbf{curl} \mathbf{H} \times \mathbf{n} = 0 & \text{on } \partial\Omega \\ \mu \mathbf{H} \cdot \mathbf{n} = 0 & \text{on } \partial\Omega. \end{array} \right.$$

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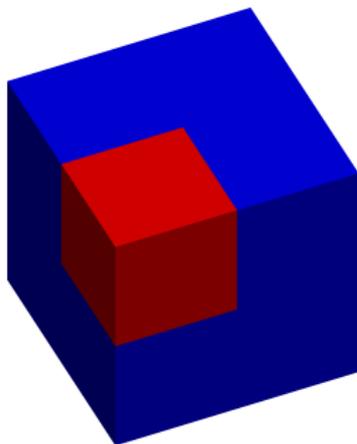
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is **well-posed** for all $\omega \in \mathbb{C} \setminus \mathcal{S}$ where \mathcal{S} is a discrete (or empty) set of \mathbb{C} .

- This result (with the **same assumptions**) is also true for the problem for the **electric field**.

Application to the Fichera's corner



PROPOSITION. Suppose

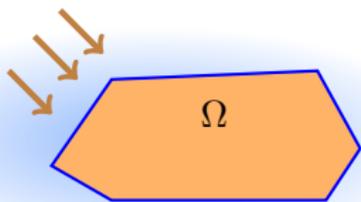
$$\kappa_\varepsilon \notin \left[-7; -\frac{1}{7}\right] \quad \text{and} \quad \kappa_\mu \notin \left[-7; -\frac{1}{7}\right]. \quad *$$

Then, the problems for the **electric** and **magnetic** fields are **well-posed** for all $\omega \in \mathbb{C} \setminus \mathcal{S}$ where \mathcal{S} is a discrete (or empty) set of \mathbb{C} .

* Note that 7 is the ratio of the **blue volume** over the **red volume**...

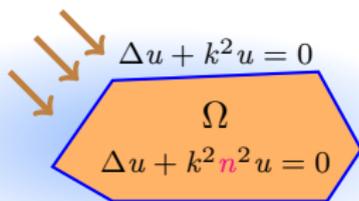
- 1 The coerciveness issue for the scalar case
- 2 A new functional framework in the critical interval
- 3 Study of Maxwell's equations
- 4 The T-coercivity method for the Interior Transmission Problem

The ITEP in three words



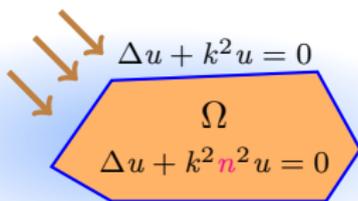
- ▶ We want to determine the **support** of an **inclusion** Ω embedded in a **reference medium** (\mathbb{R}^2) using the **Linear Sampling Method**.

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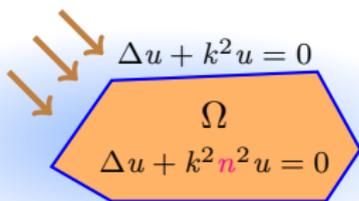


► We want to determine the **support** of an **inclusion** Ω embedded in a **reference medium** (\mathbb{R}^2) using the **Linear Sampling Method**.

► We can use the method when k is not an eigenvalue of the **Interior Transmission Eigenvalue Problem**:

$$\left| \begin{array}{l} \text{Find } (k, v) \in \mathbb{C} \times H_0^2(\Omega) \setminus \{0\} \text{ such that:} \\ \int_{\Omega} \frac{1}{1 - n^2} (\Delta v + k^2 n^2 v) (\Delta v' + k^2 v') = 0, \quad \forall v' \in H_0^2(\Omega). \end{array} \right.$$

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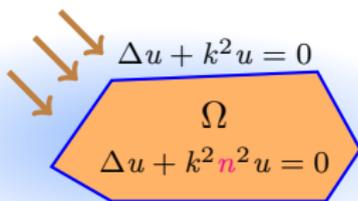
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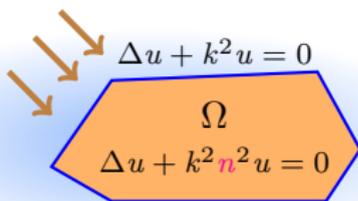
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► This problem has been widely studied since 1986-1988 (**Bellis, Cakoni, Colton, Gintides, Guzina, Haddar, Kirsch, Kress, Monk, Päivärinta, Rynne, Sleeman, Sylvester...**) when $n > 1$ on Ω or $n < 1$ on Ω .

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TRANSMISSION PROBLEM WITH A
SIGN-CHANGING COEFFICIENT

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What happens when $1 - n^2$ changes sign?

A bilaplacian with a sign-changing coefficient

- We define $\sigma = (1 - n^2)^{-1}$ and we focus on the **principal part**:

$$(\mathcal{F}_V) \quad \left| \begin{array}{l} \text{Find } v \in H_0^2(\Omega) \text{ such that:} \\ \int_{\Omega} \underbrace{\sigma \Delta v \Delta v'}_{a(v, v')} = \underbrace{\langle f, v' \rangle_{\Omega}}_{l(v')}, \quad \forall v' \in H_0^2(\Omega). \end{array} \right.$$

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Message: The operators $\Delta(\sigma \Delta \cdot) : H_0^2(\Omega) \rightarrow H^{-2}(\Omega)$ and $\operatorname{div}(\sigma \nabla \cdot) : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$ have **very different** properties.

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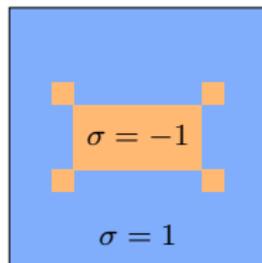
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THEOREM. The problem (\mathcal{F}_V) is **well-posed** in the Fredholm sense as soon as σ **does not change sign in a neighbourhood** of $\partial\Omega$.

Fredholm



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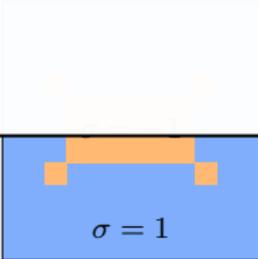
$$(\mathcal{F}_v) \quad \int_{\Omega} \sigma \Delta v = (\sigma \Delta v, \Delta v)_{\Omega}, \quad v \in H_0^2(\Omega).$$

We would like to build $\mathbf{T} : H_0^2(\Omega) \rightarrow H_0^2(\Omega)$ such that $\Delta(\mathbf{T}v) = \sigma^{-1} \Delta v$

Message: The operators $\Delta(\sigma \Delta \cdot) : H_0^2(\Omega) \rightarrow H^{-2}(\Omega)$ and $\operatorname{div}(\sigma \nabla \cdot) : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$ have very different properties.

THEOREM. The problem (\mathcal{F}_v) is well-posed in the Fredholm sense as soon as σ does not change sign in a neighbourhood of $\partial\Omega$.

Fredholm



$\sigma = 1$

A bilaplacian with a sign-changing coefficient

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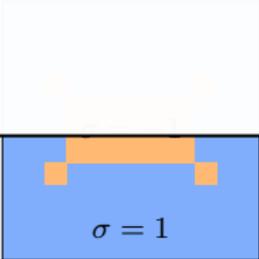
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Not simple!

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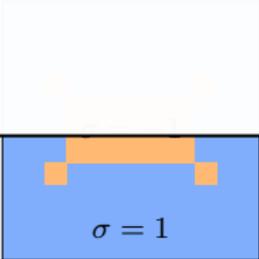
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- ① Let $w \in H_0^1(\Omega)$ such that $\Delta w = \sigma^{-1} \Delta v.$

THEOREM. The problem (\mathcal{P}_γ) is well-posed in the Fredholm sense as soon as σ does not change sign in a neighbourhood of $\partial\Omega$.



The diagram shows a light blue rectangular domain Ω . Inside, there is a smaller orange rectangle. Below the orange rectangle, a blue box contains the text $\sigma = 1$.

$$\sigma = 1$$

A bilaplacian with a sign-changing coefficient

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① Let $w \in H_0^1(\Omega)$ such that $\Delta w = \sigma^{-1} \Delta v.$

② Let $\zeta \in \mathcal{C}_0^\infty(\Omega)$. Define $\mathbf{T}v = \zeta w + (1 - \zeta)v \in H_0^2(\Omega).$

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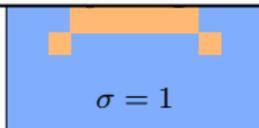
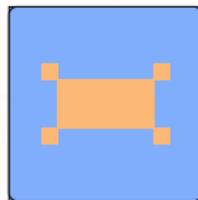
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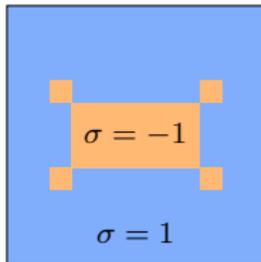
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Fredholm



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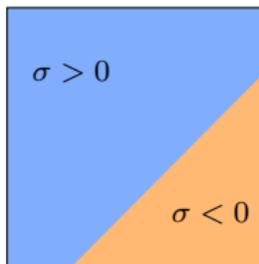
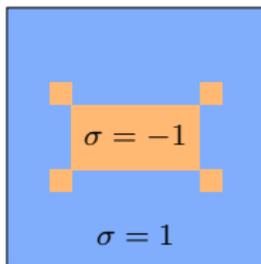
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... but (\mathcal{F}_V) can be **ill-posed** (not Fredholm) when σ changes sign “on $\partial\Omega$ ”
 \Rightarrow work with **J. Firozaly**.

Fredholm



Not always
Fredholm

- 1 The coerciveness issue for the scalar case
- 2 A new functional framework in the critical interval
- 3 Study of Maxwell's equations
- 4 The T-coercivity method for the Interior Transmission Problem

Conclusions

Scalar problem outside the critical interval

$$\operatorname{div}(\mu^{-1}\nabla\cdot) : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$$

- ♠ Concerning the **approximation** of the solution, in practice, usual methods converge. Only **partial proofs** are available.
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$$\operatorname{div}(\mu^{-1}\nabla\cdot) : V^+(\Omega) \rightarrow V_\beta(\Omega)^*$$

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Interior Transmission Eigenvalue Problem

$$\Delta(\sigma\Delta\cdot) : H_0^2(\Omega) \rightarrow H^{-2}(\Omega)$$

- ♠ Can we find a **criterion** on σ and on the geometry to ensure that $\Delta(\sigma\Delta\cdot)$ is Fredholm? **Many questions** remain open for the **ITEP**...



Open questions



- ♠ Our new model in the critical interval raises a lot of questions, related to the physics of plasmonics and metamaterials.

Can we observe this black-hole effect in practice? For a rounded corner, “the solution” seems unstable with respect to the rounding parameter...

- ♠ The case $\kappa_\sigma = -1$ (the most interesting for applications) is not understood yet: singularities appear all over the interface.

⇒ Is there a functional framework in which (\mathcal{P}) is well-posed?

- ♠ More generally, can we reconsider the homogenization process to take into account interfacial phenomena?

⇒ *METAMATH project (ANR) directed by S. Fliss and PhD thesis of V. Vinoles.*

- ♠ What happens in time-domain regime? Is the limiting amplitude principle still valid?

⇒ PhD thesis of M. Cassier.

Thank you for your attention!!!

Summary of the results for the 2D cavity

Problem

(\mathcal{P}) Find $u \in H_0^1(\Omega)$ s.t.:
 $-\operatorname{div}(\mu^{-1}\nabla u) = f$ in Ω .

Ω_1	Σ	Ω_2
$\mu_1 > 0$		$\mu_2 < 0$
-a		b

PROPOSITION. The operator $A = \operatorname{div}(\mu^{-1}\nabla \cdot) : H_0^1(\Omega) \rightarrow H^{-1}(\Omega)$ is an **isomorphism** if and only if $\kappa_\mu \in \mathbb{C}^* \setminus \mathcal{S}$ with $\mathcal{S} = \{-\tanh(n\pi a)/\tanh(n\pi b), n \in \mathbb{N}^*\} \cup \{-1\}$. For $\kappa_\mu = -\tanh(n\pi a)/\tanh(n\pi b)$, we have $\ker A = \operatorname{span} \varphi_n$ with

$$\varphi_n(x, y) = \begin{cases} \sinh(n\pi(x+a)) \sin(n\pi y) & \text{on } \Omega_1 \\ -\frac{\sinh(n\pi a)}{\sinh(n\pi b)} \sinh(n\pi(x-b)) \sin(n\pi y) & \text{on } \Omega_2 \end{cases}.$$

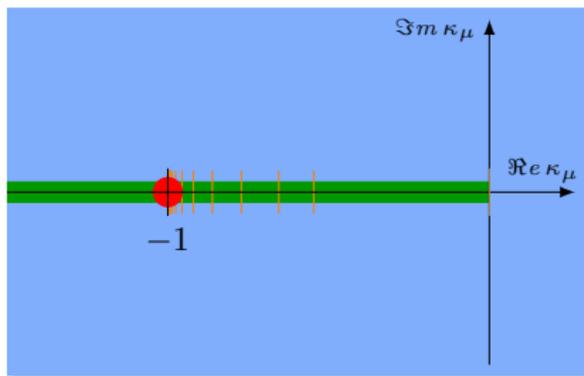
Results

■ For $\kappa_\mu \in \mathbb{C} \setminus \mathbb{R}_-$, (\mathcal{P}) well-posed (Lax-Milgram)

■ For $\kappa_\mu \in \mathbb{R}_+^* \setminus \mathcal{S}$, (\mathcal{P}) well-posed

■ For $\kappa_\mu \in \mathcal{S} \setminus \{-1\}$, (\mathcal{P}) is well-posed in the Fredholm sense with a **one dimension kernel**

● $\kappa_\mu = -1$, (\mathcal{P}) ill-posed in $H_0^1(\Omega)$



The blinking eigenvalue

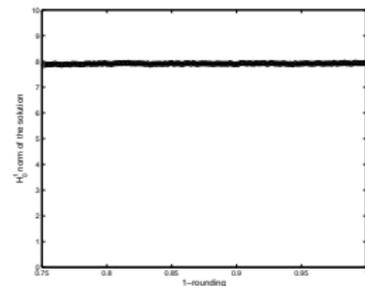
- We approximate by a FEM “the solution” of the problem

$$\text{Find } u_\delta \in H_0^1(\Omega_\delta) \text{ s.t.:} \\ -\operatorname{div}(\mu_\delta^{-1} \nabla u_\delta) = f \quad \text{in } \Omega_\delta. \quad \cdot$$

$$\kappa_\mu = -0.9999 \quad (\text{outside the critical interval})$$

(...)

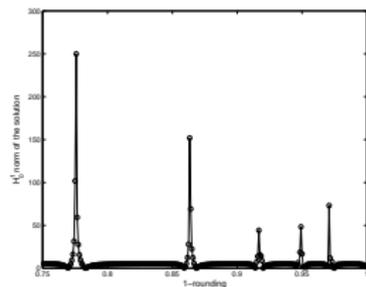
(...)



$$\kappa_\mu = -1.0001 \quad (\text{inside the critical interval})$$

(...)

(...)



The result for the electric field

Consider $\mathbf{F} \in \mathbf{L}^2(\Omega)$ such that $\operatorname{div} \mathbf{F} \in \mathbf{L}^2(\Omega)$.

THEOREM. Suppose

$$(\varphi, \varphi') \mapsto \int_{\Omega} \varepsilon \nabla \varphi \cdot \nabla \varphi' \text{ is } \mathbf{T}\text{-coercive on } H_0^1(\Omega); \quad (\mathcal{A}_\varepsilon)$$

$$(\varphi, \varphi') \mapsto \int_{\Omega} \mu \nabla \varphi \cdot \nabla \varphi' \text{ is } \mathbf{T}\text{-coercive on } H^1(\Omega)/\mathbb{R}. \quad (\mathcal{A}_\mu)$$

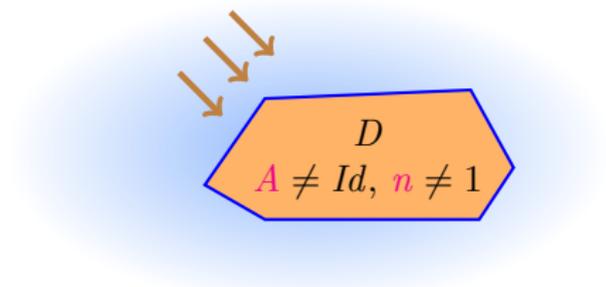
Then, the problem for the **electric field**

$$\left| \begin{array}{l} \text{Find } \mathbf{E} \in \mathbf{H}(\operatorname{curl}; \Omega) \text{ such that:} \\ \operatorname{curl}(\mu^{-1} \operatorname{curl} \mathbf{E}) - \omega^2 \varepsilon \mathbf{E} = \mathbf{F} \quad \text{in } \Omega \\ \mathbf{E} \times \mathbf{n} = 0 \quad \text{on } \partial\Omega. \end{array} \right.$$

is **well-posed** for all $\omega \in \mathbb{C} \setminus \mathcal{S}$ where \mathcal{S} is a discrete (or empty) set of \mathbb{C} .

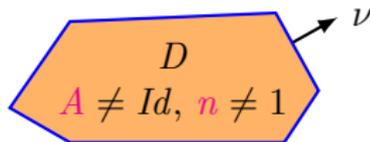
What is the ITEP?

- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.



What is the ITEP?

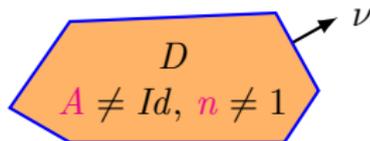
- ▶ Scattering in **time-harmonic** regime by an **inclusion** D (coefficients A and n) in \mathbb{R}^2 : we look for an incident wave that **does not scatter**.
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$$\operatorname{div}(A \nabla u) + k^2 n u = 0 \quad \text{in } D$$



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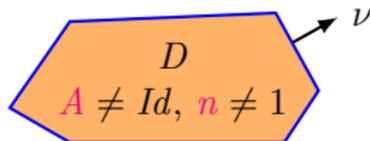
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$$\begin{cases} \operatorname{div}(A\nabla u) + k^2 n u & = 0 & \text{in } D \\ \Delta w + k^2 w & = 0 & \text{in } D \end{cases}$$



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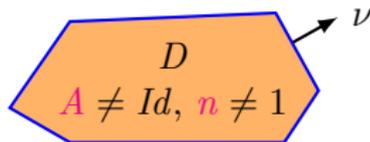
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$$\begin{aligned} \operatorname{div}(A\nabla u) + k^2 n u &= 0 && \text{in } D \\ \Delta w + k^2 w &= 0 && \text{in } D \\ u - w &= 0 && \text{on } \partial D \\ \nu \cdot A\nabla u - \nu \cdot \nabla w &= 0 && \text{on } \partial D. \end{aligned}$$



TRANSMISSION CONDITIONS ON ∂D

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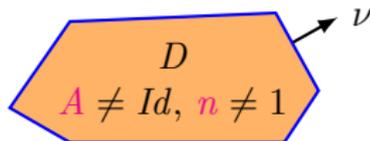
Find $(u, w) \in H^1(D) \times H^1(D)$ such that:

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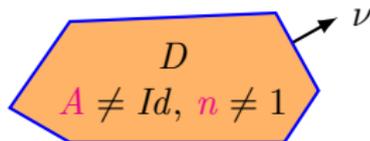
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DEFINITION. Values of $k \in \mathbb{C}$ for which this problem has a nontrivial solution (u, w) are called **transmission eigenvalues**.

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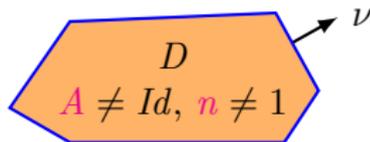
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- ▶ One of the goals is to prove that the set of transmission eigenvalues is at most **discrete**.

Variational formulation for the ITEP

► k is a **transmission eigenvalue** if and only if there exists $(u, w) \in X \setminus \{0\}$ such that, for all $(u', w') \in X$,

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- ▶ We want to highlight an



Idea: **Analogy** with the transmission problem between a dielectric and a double negative metamaterial...

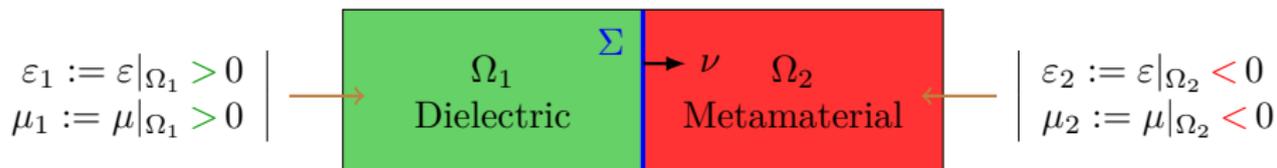
Dielectric/Metamaterial Transmission Eigenvalue Problem (DMTEP)

- ▶ **Time-harmonic** problem in electromagnetism (at a given frequency) set in a heterogeneous bounded domain Ω of \mathbb{R}^2 :



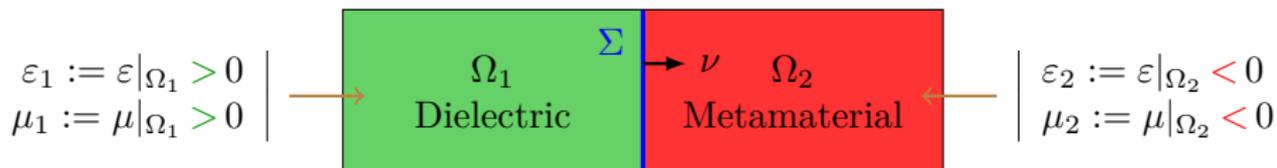
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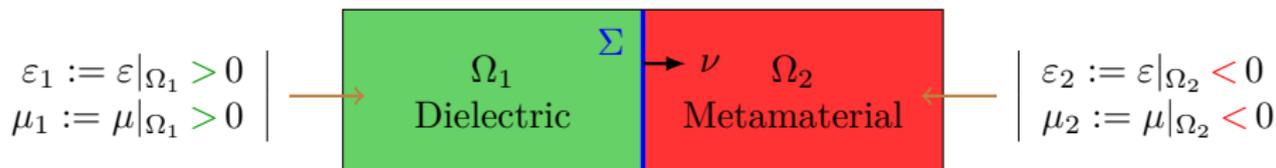


- ▶ Eigenvalue problem for E_z in 2D:

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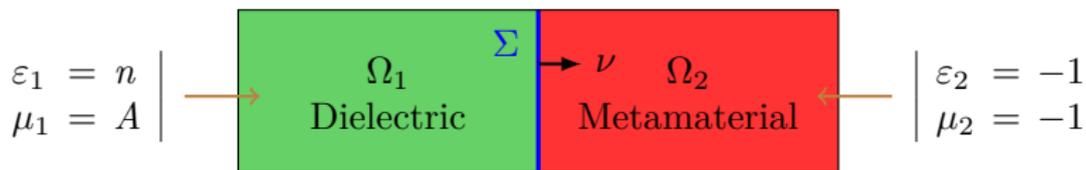
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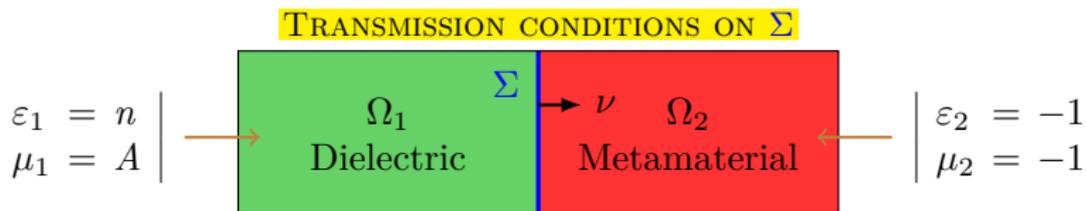
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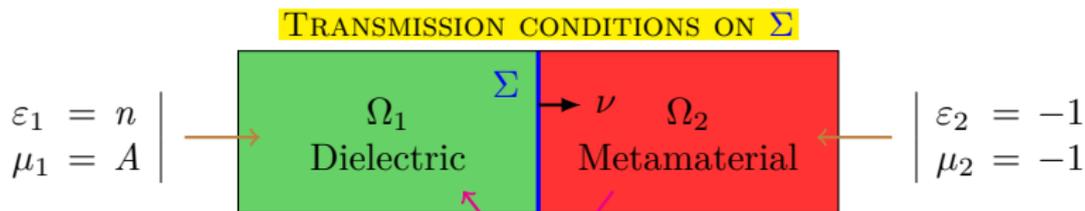
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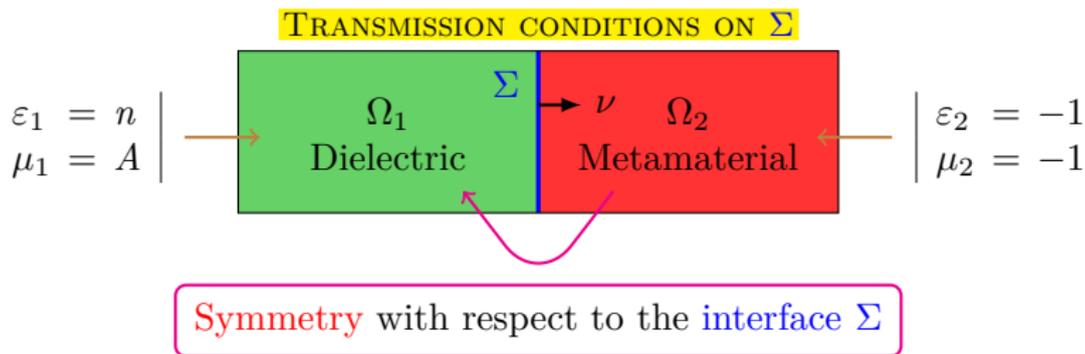
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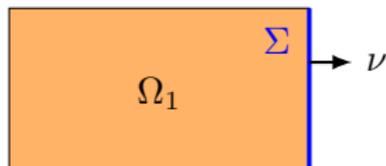
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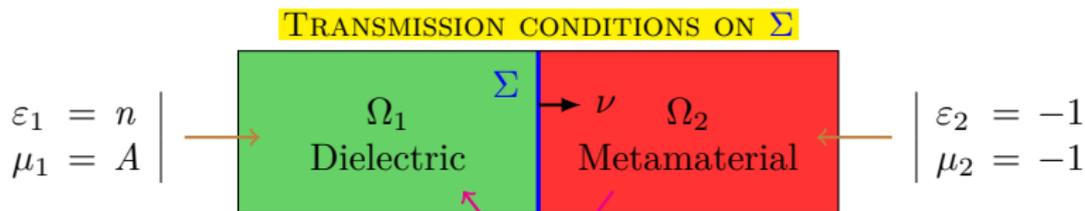


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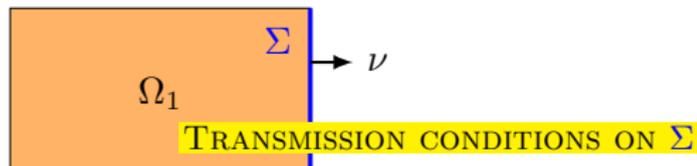
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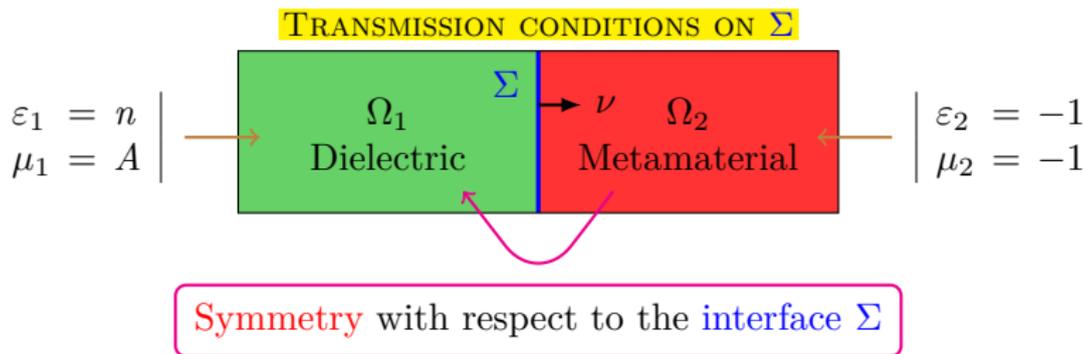
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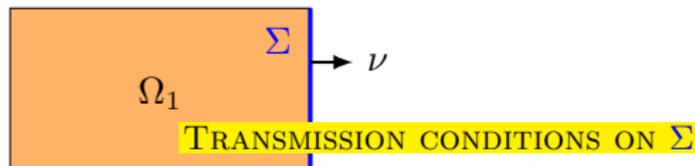


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- ▶ The **interface** Σ in the **DMTEP** plays the role of the **boundary** $\partial\Omega$ in the **ITEP**.

Study of the ITEP

- ▶ Define on $X \times X$ the sesquilinear form

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- ▶ This result can be extended to situations where $A - Id$ and $n - 1$ **change sign** in Ω working with $\mathbf{T}(u, w) = (u - 2\chi w, -w)$.