Summer school "EUR MINT 2025 - Control, Inverse Problems and Spectral Theory"

A few techniques to achieve invisibility in waveguides

Lecture 2: Invisible perturbations of the reference geometry

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Scattering in time-harmonic regime of a plane wave in the acoustic waveguide Ω coinciding with $\{(x, y) \in \mathbb{R} \times (0; 1)\}$ outside a compact region.



Find $u = u_i + u_s$ s. t. $\Delta u + k^2 u = 0 \text{ in } \Omega,$ $\partial_n u = 0 \text{ on } \partial\Omega,$ $u_s \text{ is outgoing.}$

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• For this problem, the modes are

 $\begin{array}{l} \mbox{Propagating} & \left| \begin{array}{l} w_n^{\pm}(x,y) = e^{\pm i\beta_n x}\cos(n\pi y), \ \beta_n = \sqrt{k^2 - n^2\pi^2}, \ n \in \llbracket 0, N-1 \rrbracket \\ \mbox{Evanescent} & \left| \begin{array}{l} w_n^{\pm}(x,y) = e^{\mp\beta_n x}\cos(n\pi y), \ \beta_n = \sqrt{n^2\pi^2 - k^2}, \ n \ge N. \end{array} \right. \end{array}$

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For $k \in (0; \pi)$, only 2 propagating modes $w^{\pm} = e^{\pm ikx}$.

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For
$$k \in (0; \pi)$$
, only 2 propagating modes $w^{\pm} = e^{\pm ikx}$. Set $u_i = w^+$.

Scattering in time-harmonic regime of a plane wave in the acoustic waveguide Ω coinciding with $\{(x, y) \in \mathbb{R} \times (0; 1)\}$ outside a compact region.



• We have

$$u = \begin{vmatrix} w_+ + R w_- + \dots & \text{for } x \le -L \\ T w_+ + \dots & \text{for } x \ge +L \end{vmatrix}$$
 The ... are exponent.

Scattering in time-harmonic regime of a plane wave in the acoustic waveguide Ω coinciding with $\{(x, y) \in \mathbb{R} \times (0; 1)\}$ outside a compact region.



DEFINITION: $R, T \in \mathbb{C}$ are the reflection and transmission coefficients.

• At infinity, one measures only R and/or T (other terms are too small).

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We explain how to use perturbative techniques to construct geometries such that R = 0 or T = 1.



1 A few notions of asymptotic analysis

2 Invisible smooth perturbations of the reference geometry



Non smooth invisible perturbations of the reference geometry

1 A few notions of asymptotic analysis

- Perturbation in the equation
- Smooth perturbation of the domain

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Perturbation of the Poisson's problem

• We study a first simple example with a perturbation in the equation. For Ω a bounded Lipschitz domain and $f \in L^2(\Omega)$, consider the problem

$$(\mathscr{P}_{\varepsilon}) \begin{vmatrix} -\Delta u_{\varepsilon} + \varepsilon u_{\varepsilon} &= f & \text{in } \Omega \\ u_{\varepsilon} &= 0 & \text{on } \partial\Omega. \end{cases}$$

For all $\varepsilon \ge 0$, $(\mathscr{P}_{\varepsilon})$ admits a unique solution u_{ε} in $\mathrm{H}_{0}^{1}(\Omega)$ (Lax-Milgram).

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GENERAL PROCEDURE:

Step I: we propose an expansion (ansatz) and identify the terms of this expansion.

Step II: we prove error estimates.

$$(\mathscr{P}_{\varepsilon}) \begin{vmatrix} -\Delta u_{\varepsilon} + \varepsilon u_{\varepsilon} &= f & \text{in } \Omega \\ u_{\varepsilon} &= 0 & \text{on } \partial \Omega. \end{vmatrix}$$

▶ Consider the ansatz

$$u_{\varepsilon} = u_0 + \varepsilon u_1 + \varepsilon^2 u_2 + \dots$$

where the terms u_0, u_1, u_2, \ldots have to be determined.

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• Inserting the expansion in $(\mathscr{P}_{\varepsilon})$, letting ε tends to zero and identifying the powers in ε , we get

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• Each of these problems admits a unique solution in $H_0^1(\Omega)$.

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 - 1) A stability estimate;
 - 2) A consistency result.

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1) Stability estimate. Green's formula gives

$$\int_{\Omega} |\nabla u_{\varepsilon}|^2 + \varepsilon |u_{\varepsilon}|^2 \, d\mathbf{x} = \int_{\Omega} f u_{\varepsilon} \, d\mathbf{x}.$$

From the Poincaré inequality

$$\|\varphi\|_{\mathrm{L}^{2}(\Omega)} \leq C_{P} \, \|\varphi\|_{\mathrm{H}^{1}_{0}(\Omega)} := \|\nabla\varphi\|_{\mathrm{L}^{2}(\Omega)}, \quad \forall \varphi \in \mathrm{H}^{1}_{0}(\Omega),$$

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"The solution of $(\mathscr{P}_{\varepsilon})$ is controlled uniformly (C_P is independent of ε , f) by the source term."

2) Consistency results. Set
$$\hat{u}_{\varepsilon} := \sum_{n=0}^{N} \varepsilon^{n} u_{n} \in \mathrm{H}_{0}^{1}(\Omega).$$

Inserting the error $u_{\varepsilon} - \hat{u}_{\varepsilon}$ in $(\mathscr{P}_{\varepsilon})$, we obtain the discrepancy

$$(-\Delta + \varepsilon)(u_{\varepsilon} - \hat{u}_{\varepsilon}) = f - (-\sum_{n=0}^{N} \varepsilon^n \Delta u_n + \sum_{n=1}^{N+1} \varepsilon^n u_{n-1}) = -\varepsilon^{N+1} u_N.$$

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ΔT

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Using this consistency result in the stability estimate (*), we find

$$\|u_{\varepsilon} - \hat{u}_{\varepsilon}\|_{\mathrm{H}^{1}_{0}(\Omega)} \leq C_{P} \, \varepsilon^{N+1} \|u_{N}\|_{\mathrm{L}^{2}(\Omega)}.$$

Noting that $||u_N||_{L^2(\Omega)} \leq C_P ||u_N||_{H^1_0(\Omega)} \leq C_P^3 ||u_{N-1}||_{H^1_0(\Omega)}$, finally we get:

PROPOSITION: We have the error estimate

 $\|u_{\varepsilon} - \hat{u}_{\varepsilon}\|_{\mathrm{H}^{1}_{0}(\Omega)} \leq C_{P}^{2N+2} \varepsilon^{N+1} \|f\|_{\mathrm{L}^{2}(\Omega)}.$

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2 Invisible smooth perturbations of the reference geometry

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Smooth perturbation of the domain

• We perturb slightly ($\varepsilon \ge 0$ is small) the geometry



Locally $\partial \Omega_{\varepsilon}$ coincides with the graph of $x \mapsto \varepsilon h(x)$, where $h \in \mathscr{C}_0^{\infty}(-1; 1)$ is a given profile function.

• We consider the Laplace problem in the perturbed domain

$$(\mathscr{P}_{\varepsilon}) \begin{vmatrix} -\Delta u_{\varepsilon} &= f & \text{in } \Omega_{\varepsilon} \\ u_{\varepsilon} &= 0 & \text{on } \partial \Omega_{\varepsilon}. \end{cases}$$

• For all $\varepsilon \geq 0$, $(\mathscr{P}_{\varepsilon})$ has a unique solution u_{ε} in $\mathrm{H}_{0}^{1}(\Omega_{\varepsilon})$ (Lax-Milgram).

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► For all $\varepsilon \ge 0$, $(\mathscr{P}_{\varepsilon})$ has a unique solution u_{ε} in $\mathrm{H}_{0}^{1}(\Omega_{\varepsilon})$ (Lax-Milgram). What is the dependence of u_{ε} with respect to ε ?

 \rightarrow This question has been extensively studied in shape optimization.

• Let \mathcal{O} be a fixed neighbourhood of the perturbation. To simplify, we assume that $f \in L^2(\Omega_{\varepsilon})$ is zero in \mathcal{O} . In Ω_0 , we consider the ansatz

$$u_{\varepsilon} = u_0 + \varepsilon u_1 + \dots$$

where the terms u_0 , u_1 have to be determined.

• Observing that at the limit $\varepsilon \to 0$, Ω_{ε} converges to Ω_0 , we get

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For the boundary conditions, for $(x, y) \in I$, we can write $0 = u_{\varepsilon}(x, \varepsilon h(x)) = u_{\varepsilon}(x, 0) + \varepsilon h(x)\partial_{y}u_{\varepsilon}(x, 0) + \dots$ $= u_{0}(x, 0) + \varepsilon u_{1}(x, 0) + \varepsilon h(x)\partial_{y}u_{0}(x, 0) + \dots$

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 \rightarrow Let us see how to justify this formal calculus.

Error estimates

1/3

To establish error estimates, we consider a change of variables to work in a fixed geometry.

For all $\varepsilon \in [0; \varepsilon_0]$, there is a smooth diffeomorphism

$$\Phi_{\varepsilon}: \quad \Omega_0 \qquad \rightarrow \quad \Omega_{\varepsilon}$$

$$\mathbf{x} = (\mathbf{x}_1, \mathbf{x}_2) \quad \mapsto \quad x = \Phi_{\varepsilon}(\mathbf{x}) = \mathbf{x} + \varepsilon \phi(\mathbf{x}).$$


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- We can take ϕ supported in \mathcal{O} , of the form

$$\phi(\mathbf{x}) = (\phi_1(\mathbf{x}), \phi_2(\mathbf{x})) = (0, h(\mathbf{x}_1)\rho(\mathbf{x}_2))$$

where ρ is smooth, compactly supported and equal to one in a vicinity of 0.

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• Observe that we have $\Phi_{\varepsilon}|_{\Omega_0 \setminus \overline{\mathcal{O}}} = \mathrm{Id}$.

• Set
$$U_{\varepsilon} = u_{\varepsilon} \circ \Phi_{\varepsilon}$$
, $V = v \circ \Phi_{\varepsilon}$, $F = f \circ \Phi_{\varepsilon}$. We have

$$\int_{\Omega_{\varepsilon} = \Phi_{\varepsilon}(\Omega_{0})} \nabla u_{\varepsilon} \cdot \nabla v \, dx = \int_{\Omega_{\varepsilon} = \Phi_{\varepsilon}(\Omega_{0})} fv \, dx$$

$$\Leftrightarrow \int_{\Omega_{0}} (\operatorname{Id} + \varepsilon (D\phi)^{\top})^{-1} \nabla U_{\varepsilon} \cdot (\operatorname{Id} + \varepsilon (D\phi)^{\top})^{-1} \nabla V J_{\Phi_{\varepsilon}} \, dx = \int_{\Omega_{0}} FV J_{\Phi_{\varepsilon}} \, dx.$$

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$$\left| \begin{array}{c} D\phi = \begin{pmatrix} \partial_{\mathbf{x}_1}\phi_1 & \partial_{\mathbf{x}_2}\phi_1 \\ \partial_{\mathbf{x}_1}\phi_2 & \partial_{\mathbf{x}_2}\phi_2 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ \rho\partial_{\mathbf{x}_1}h & h\partial_{\mathbf{x}_2}\rho \end{pmatrix} \\ J_{\Phi_{\varepsilon}} = \det(\mathrm{Id} + \varepsilon D\phi) = 1 + \varepsilon h\partial_{\mathbf{x}_2}\rho. \end{array} \right|$$

$$\begin{aligned} \bullet \quad & \text{Set } U_{\varepsilon} = u_{\varepsilon} \circ \Phi_{\varepsilon}, \, V = v \circ \Phi_{\varepsilon}, \, F = f \circ \Phi_{\varepsilon}. \text{ We have} \\ & \int_{\Omega_{\varepsilon} = \Phi_{\varepsilon}(\Omega_{0})} \nabla u_{\varepsilon} \cdot \nabla v \, dx = \int_{\Omega_{\varepsilon} = \Phi_{\varepsilon}(\Omega_{0})} f v \, dx \\ & \Leftrightarrow \quad \int (\text{Id} + \varepsilon (D\phi)^{\top})^{-1} \nabla U_{\varepsilon} \cdot (\text{Id} + \varepsilon (D\phi)^{\top})^{-1} \nabla V \, J_{\Phi_{\varepsilon}} \, dx = \int F V \, J_{\Phi_{\varepsilon}} \, dx \end{aligned}$$

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Here
$$\begin{aligned} D\phi &= \begin{pmatrix} \partial_{\mathbf{x}_1}\phi_1 & \partial_{\mathbf{x}_2}\phi_1 \\ \partial_{\mathbf{x}_1}\phi_2 & \partial_{\mathbf{x}_2}\phi_2 \end{pmatrix} = \begin{pmatrix} 0 & 0 \\ \rho\partial_{\mathbf{x}_1}h & h\partial_{\mathbf{x}_2}\rho \end{pmatrix} \\ J_{\Phi_{\varepsilon}} &= \det(\mathrm{Id} + \varepsilon D\phi) = 1 + \varepsilon h\partial_{\mathbf{x}_2}\rho. \end{aligned}$$

Thus we obtain the problem

Find $U_{\varepsilon} \in \mathrm{H}^{1}_{0}(\Omega_{0})$ such that $-\mathrm{div}(\sigma_{\varepsilon} \nabla U_{\varepsilon}) = F J_{\Phi_{\varepsilon}}$ in Ω_{0}

with
$$\begin{vmatrix} \sigma_{\varepsilon} := J_{\Phi_{\varepsilon}} (\mathrm{Id} + \varepsilon (D\phi))^{-1} (\mathrm{Id} + \varepsilon (D\phi)^{\top})^{-1} = \mathrm{Id} + \varepsilon \sigma_{1} + \varepsilon^{2} \sigma_{2} + \dots \\ F J_{\Phi_{\varepsilon}} = F + \varepsilon h \partial_{x_{2}} \rho F. \end{vmatrix}$$

3/3



Now the geometry is fixed and we have a pertubation in the equation.

• Considering the expansion

$$U_{\varepsilon} = U_0 + \varepsilon U_1 + \varepsilon^2 U_2 + \dots,$$

we can prove the following error estimate with C independent of $\varepsilon \in (0; \varepsilon_0]$

$$\|U_{\varepsilon} - \sum_{n=0}^{N} \varepsilon^{n} U_{n}\|_{\mathrm{H}^{1}_{0}(\Omega_{0})} \leq C \varepsilon^{N+1} \|f\|_{\mathrm{L}^{2}(\Omega_{0})}.$$

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we can prove the following error estimate with C independent of $\varepsilon \in (0; \varepsilon_0]$

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Comments

▶ This is only to give a flavour. Much more refined results exist in the literature concerning shape optimization.

- M. Pierre and A. Henrot. Shape Variation and Optimization. A Geometrical Analysis. EMS, 2018.
- M.C. Delfour and J.P. Zolésio. Shapes and geometries: metrics, analysis, differential calculus, and optimization. Society for Industrial and Applied Mathematics, 2011.

In particular:

- For this Dirichlet problem, smoothness assumptions of the geometry can be considerably relaxed and result exist when Ω_0 is only measurable.

- Higher order terms can be computed but then smoothness on f is required.

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For $h \in \mathscr{C}_0^{\infty}(\mathbb{R})$, denote $R(h) \in \mathbb{C}$ the reflection coef. in the geometry:



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> Note that R(0) = 0(no obstacle leads to null measurements).



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 G^{ε} is a contraction \Rightarrow the fixed-point equation has a unique solution $\vec{\tau}^{\text{sol}}$. Set $h^{\text{sol}} := \varepsilon \mu^{\text{sol}}$. We have $R(h^{\text{sol}}) = 0$ (non reflecting perturbation).

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- **Error estimates** allow one to prove that G^{ε} is a contraction of any closed ball for ε small enough.

⇒ Thus we can create geometries Ω_{ε} where $R_{\varepsilon} = 0$ for the Dirichlet pb.

Comments

• We can check that $h^{\text{sol}} = \varepsilon \mu^{\text{sol}} \neq 0$ (work by contradiction).

► The invisible perturbation coincides with the graph of the function $\varepsilon(\mu_0 + \tau_1^{sol}\mu_1 + \tau_2^{sol}\mu_2)$

where $\mu_0 \in \ker dR(0)$ (remind that $dR(0) : \mathscr{C}_0^{\infty}(\mathbb{R}) \to \mathbb{C}$).

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• We can show that $|\tau_1^{\text{sol}}| + |\tau_2^{\text{sol}}| = O(\varepsilon)$. Therefore we can choose the principal form of the non reflecting perturbation.

Numerical implementation

• We can solve the fixed point equation

$$\vec{\tau} = G^{\varepsilon}(\vec{\tau})$$

using an iterative procedure.

• Pick $\varepsilon > 0$, choose μ_0, μ_1, μ_2 once for all. Set $\vec{\tau}^{0} = (0,0)$ and for $p \in \mathbb{N}$

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We have to mesh a new domain Ω_p^{ε} at each step $p \ge 0$.

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An example of non reflecting perturbation obtained after 24 iterations ($\varepsilon = 0.2$).



The algorithm converges though ε is not that small!

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 \rightarrow for ε small, necessarily one has $T_{\varepsilon} = 1$.

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- Let us consider the quantity T 1. Working as before, we obtain

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$$dT(0)(\mu) = \frac{i}{2\beta_1} \int_{-L}^{L} \mu(x) \partial_y w^+(x,1) \partial_y w^-(x,1) dx$$

$$= \frac{i\pi^2}{\beta_1} \int_{-L}^{L} \mu(x) dx.$$

▶ Unfortunately, $dT(0) : \mathscr{C}_0^{\infty}(\mathbb{R}) \to \mathbb{C}$ is not onto... But this was expected due to conservation of energy !

▶ We note that we can impose $\Im m T_{\varepsilon} = 0$. With $R_{\varepsilon} = 0$ (three parameters to tune) and conservation of energy, this implies $T_{\varepsilon} = 1$ or $T_{\varepsilon} = -1$.

 \rightarrow for ε small, necessarily one has $T_{\varepsilon} = 1$.

We can create geometries Ω_{ε} where $T_{\varepsilon} = 1$ for the Dirichlet pb.

Numerics



• An example of perfectly invisible perturbation.

▶ The scattered field is exponentially decaying both at $\pm \infty$ and this time there is no phase shift for the transmitted field.

1 A few notions of asymptotic analysis

2 Invisible smooth perturbations of the reference geometry

- General scheme
- Dirichlet problem
- Neumann problem

3 Non smooth invisible perturbations of the reference geometry



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$$\left| \begin{array}{c} \varepsilon h(\underline{x}) \\ \Omega_{\varepsilon} \end{array} \right| \left| \begin{array}{c} \Delta u_{\varepsilon} + k^{2} u_{\varepsilon} &= 0 \quad \text{in } \Omega_{\varepsilon} \\ \partial_{n_{\varepsilon}} u_{\varepsilon} &= 0 \quad \text{on } \partial \Omega_{\varepsilon} \\ u_{\varepsilon} - w^{+} \text{ is outgoing} \end{array} \right|$$

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On the top wall, we have

$$n_{\varepsilon} = \frac{1}{\sqrt{1 + \varepsilon^2 (h'(x))^2}} \begin{pmatrix} -\varepsilon h'(x) \\ 1 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \end{pmatrix} + \varepsilon \begin{pmatrix} -h'(x) \\ 0 \end{pmatrix} + \dots$$
$$\nabla u_{\varepsilon}(x, \varepsilon h(x)) = \nabla u_{\varepsilon}(x, 0) + \varepsilon h(x) \begin{pmatrix} \partial^2_{xy} u_{\varepsilon}(x, 0) \\ \partial^2_{yy} u_{\varepsilon}(x, 0) \end{pmatrix} + \dots$$

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so that we get $0 = n_{\varepsilon} \cdot \nabla u_{\varepsilon}(x, \varepsilon h(x)) = \frac{\partial_y u_0}{\partial_y u_0} +$

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• We have $u_0 = w_+$ and u_1 is uniquely defined.

• Set $\Sigma_{\pm L} = \{\pm L\} \times (-1; 0)$ for L large enough. From the known formula $2ikR(\varepsilon\mu) = \int_{\Sigma_{\pm L}} \partial_n u_{\varepsilon} w^{\pm} - u_{\varepsilon} \partial_n w^{\pm} d\sigma, \quad \text{where } \partial_n = \pm \partial_x \text{ at } x = \pm L,$

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Integrating by parts, finally we get the final result:

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Thus we can construct geometries Ω_{ε} where $R_{\varepsilon} = 0$ for the Neumann pb.

Numerics for the Neumann problem

An example of non reflecting perturbation obtained after 15 iterations ($\varepsilon = 0.4$).



Again, the algorithm converges though ε is not that small.

1/2

Numerics for the Neumann problem

2/2





Numerics for the Neumann problem

2/2





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T = 1 for the Neumann problem?



T = 1 for the Neumann problem?





dT(0) is null \Rightarrow the approach fails to impose T = 1.



2 Invisible smooth perturbations of the reference geometry

3 Non smooth invisible perturbations of the reference geometry

- An example of singularly perturbed problem
- Invisible clouds of small obstacles
- Perfect invisibility for the Neumann problem
• For a > 0, $a \neq 1$, consider the 1D problem

$$(\mathscr{P}_{\varepsilon}) \quad \left| \begin{array}{l} \varepsilon u_{\varepsilon}''(x) + u_{\varepsilon}'(x) - a = 0 \text{ in } \Omega := (0; 1) \\ u_{\varepsilon}(0) = 0, \qquad u_{\varepsilon}(1) = 1. \end{array} \right.$$

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$$u_{\varepsilon}(x) = u_0 + \varepsilon u_1(x) + \dots$$
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The expansion (*) does not provide a good representation of u_{ε} .

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• What happens is that the function u_{ε} has a rapid variation near the origin when $\varepsilon \to 0$:



• Our expansion fails to provide a good representation of u_{ε} due to this boundary layer phenomenon. We say that $(\mathscr{P}_{\varepsilon})$ is a singularly perturbed problem.

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• To approximate correctly u_{ε} near the origin, we will have to incorporate terms which depend on the rapid variable x/ε .



2 Invisible smooth perturbations of the reference geometry

3 Non smooth invisible perturbations of the reference geometry

- An example of singularly perturbed problem
- Invisible clouds of small obstacles
- Perfect invisibility for the Neumann problem

Can one hide a small Dirichlet obstacle centered at M_1

▶ Set $\mathcal{O}_1^{\varepsilon} := M_1 + \varepsilon \mathcal{O}$ where $M_1 \in \mathbb{R} \times \omega$ and \mathcal{O} is a bounded Lipschitz domain. We consider the problem

$$\mathcal{O}_{1}^{\varepsilon} \qquad \qquad (\mathscr{P}_{\varepsilon}) \begin{vmatrix} \Delta u_{\varepsilon} + k^{2}u_{\varepsilon} &= 0 & \text{in } \Omega_{\varepsilon} := \Omega \setminus \overline{\mathcal{O}_{1}^{\varepsilon}} \\ u_{\varepsilon} &= 0 & \text{on } \partial \Omega_{\varepsilon} \\ u_{\varepsilon} - w^{+} \text{ is outgoing.} \end{vmatrix}$$

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• We obtain

$$R_{\varepsilon} = 0 + \varepsilon \left(4i\pi \operatorname{cap}(\mathcal{O})w^{+}(M_{1})^{2}\right) + O(\varepsilon^{2})$$

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Non zero terms!
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 \Rightarrow One single small obstacle cannot be non reflecting.

 \blacktriangleright To simplify, we remove the index $_1$ of the obstacle. Consider the ansatz

$$u_{\varepsilon} = \frac{u_0 + \zeta(x) v_0(\varepsilon^{-1}(\mathbf{x} - M))}{\varepsilon(u_1 + \zeta(x) v_1(\varepsilon^{-1}(\mathbf{x} - M)))} + \dots$$

where $\zeta \in \mathscr{C}_0^{\infty}(\Omega_0)$ is equal to one in a neighbourhood of M.

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► v_0 serves to impose Dirichlet BC on $\partial \mathcal{O}^{\varepsilon}$ at order ε^0 . For $x \in \partial \mathcal{O}^{\varepsilon}$, $u_0(x) = u_0(M) + (x - M) \cdot \nabla u_0(M) + \dots$ (note that x - M is of order ε). Therefore we impose $v_0 = -u_0(M)$ on $\partial \mathcal{O}$.

► Introduce the fast variable $\xi = \varepsilon^{-1}(\mathbf{x} - M)$. In a vicinity of M, we have $(\Delta_x + k^2 \text{Id}) \left(v_0(\varepsilon^{-1}(\mathbf{x} - M)) + \varepsilon v_1(\varepsilon^{-1}(\mathbf{x} - M)) + \dots \right)$ $= \varepsilon^{-2} \left[\Delta_{\xi} v_0(\xi) \right] + \varepsilon^{-1} \Delta_{\xi} v_1(\xi) + \dots$

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- Therefore we impose $\Delta_{\xi} v_0 = 0$ in $\mathbb{R}^3 \setminus \overline{\mathcal{O}}$ and so we take

 $v_0(\xi) = -u_0(M) W(\xi)$.

where W is the capacity potential for \mathcal{O} (W is harmonic in $\mathbb{R}^3 \setminus \overline{\mathcal{O}}$, vanishes at infinity and verifies W = 1 on $\partial \mathcal{O}$).

- ► Introduce the fast variable $\xi = \varepsilon^{-1}(\mathbf{x} M)$. In a vicinity of M, we have $(\Delta_x + k^2 \text{Id}) \left(v_0(\varepsilon^{-1}(\mathbf{x} - M)) + \varepsilon v_1(\varepsilon^{-1}(\mathbf{x} - M)) + \dots \right)$ $= \varepsilon^{-2} \left[\Delta_{\xi} v_0(\xi) \right] + \varepsilon^{-1} \Delta_{\xi} v_1(\xi) + \dots$
- Therefore we impose $\Delta_{\xi} v_0 = 0$ in $\mathbb{R}^3 \setminus \overline{\mathcal{O}}$ and so we take

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where W is the capacity potential for \mathcal{O} (W is harmonic in $\mathbb{R}^3 \setminus \overline{\mathcal{O}}$, vanishes at infinity and verifies W = 1 on $\partial \mathcal{O}$).

• As $|\xi| \to +\infty$, we have

$$W(\xi) = \frac{\operatorname{cap}(\mathcal{O})}{|\xi|} + \vec{q} \cdot \nabla \Phi(\xi) + O(|\xi|^{-3}),$$

where $\Phi := \xi \mapsto -1/(4\pi |\xi|)$ is the Green function of the Laplacian in \mathbb{R}^3 , $\operatorname{cap}(\mathcal{O}) > 0, \ \vec{q} \in \mathbb{R}^3$.

Now, we turn to the terms of order ε in the expansion of u^{ε}

$$u_{\varepsilon} = \frac{u_0 + \zeta(x) v_0(\varepsilon^{-1}(\mathbf{x} - M))}{\varepsilon(u_1 + \zeta(x) v_1(\varepsilon^{-1}(\mathbf{x} - M)))} + \dots$$

▶ By inserting $u_0 + \zeta(x) v_0(\varepsilon^{-1}(x - M))$ into $(\mathscr{P}_{\varepsilon})$ and replacing v_0 by its main contribution at infinity, we find that u_1 must solve

$$-\Delta u_1 - k^2 u_1 = -\left([\Delta_x, \zeta] + k^2 \zeta \operatorname{Id} \right) \left(w^+(M) \frac{\operatorname{cap}(\mathcal{O})}{|\mathbf{x} - M|} \right) \quad \text{in } \Omega_0$$
$$u_1 = 0 \qquad \qquad \text{on } \partial \Omega_0.$$

where $[\Delta_x, \zeta]\varphi := \Delta_x(\zeta\varphi) - \zeta\Delta_x\varphi = 2\nabla\varphi \cdot \nabla\zeta + \varphi\Delta\zeta$ (commutator).

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 \rightarrow This uniquely defines u_1 .

Asymptotic of the scattering coefficients

• We consider the ansatz

$$R_{\varepsilon} = R_0 + \varepsilon R_1 + \dots$$
 $T_{\varepsilon} = T_0 + \varepsilon T_1 + \dots$

• Set $\Sigma_{\pm L} = \{\pm L\} \times \omega$ for L large enough. From the known formula

$$2ikR_{\varepsilon} = \int_{\Sigma_{\pm L}} \partial_n u_{\varepsilon} w^+ - u_{\varepsilon} \partial_n w^+ d\sigma, \qquad 2ikT_{\varepsilon} = \int_{\Sigma_{\pm L}} \partial_n u_{\varepsilon} w^- - u_{\varepsilon} \partial_n w^- d\sigma,$$

where $\partial_n = \pm \partial_x$ at $x = \pm L$,

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$$2ikR_1 = \int_{\Sigma_{\pm L}} \partial_n u_1 w^+ - u_1 \partial_n w^+ d\sigma, \qquad 2ikT_1 = \int_{\Sigma_{\pm L}} \partial_n u_1 w^- - u_1 \partial_n w^- d\sigma.$$

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Integrating by parts, finally we get the final result:

PROPOSITION: We have

$$R_{\varepsilon} = 0 + \varepsilon \left[(4i\pi \operatorname{cap}(\mathcal{O})w^{+}(M_{1})^{2}) + O(\varepsilon^{2}) \right]$$

$$T_{\varepsilon} = 1 + \varepsilon \left[(4i\pi \operatorname{cap}(\mathcal{O})|w^{+}(M_{1})|^{2}) + O(\varepsilon^{2}) \right].$$



One small obstacle cannot be non reflecting. Let us try with **TWO**, located at M_1 , M_2 .

We obtain $R_{\varepsilon} = 0 + \varepsilon \left(4i\pi \operatorname{cap}(\mathcal{O})\sum_{n=1}^{2} w^{+}(M_{n})^{2}\right) + O(\varepsilon^{2})$ $T_{\varepsilon} = 1 + \varepsilon \left(4i\pi \operatorname{cap}(\mathcal{O})\sum_{n=1}^{2} |w^{+}(M_{n})|^{2}\right) + O(\varepsilon^{2}).$



► One small obstacle cannot be non reflecting. Let us try with TWO, located at M₁, M₂.

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We can find M_1 , M_2 such that $R_{\varepsilon} = O(\varepsilon^2)$. Then moving $\mathcal{O}_1^{\varepsilon}$ from M_1 to $M_1 + \varepsilon \tau$, and choosing a good $\tau \in \mathbb{R}^3$ (fixed point), we can get $R_{\varepsilon} = 0$.



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Comments:

- \rightarrow Hard part is to justify the asymptotics for the fixed point problem.
- \rightarrow We cannot impose $T_{\varepsilon} = 1$ with this strategy.
- \rightarrow When there are more propagating waves, we need more obstacles.



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Comments:

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- \rightarrow When there are more propagating waves, we need more obstacles.



Acting as a team, obstacles can become invisible!



2 Invisible smooth perturbations of the reference geometry

3 Non smooth invisible perturbations of the reference geometry

- An example of singularly perturbed problem
- Invisible clouds of small obstacles
- Perfect invisibility for the Neumann problem




• We obtain $R_{\varepsilon} = 0 + \varepsilon \left(ik \sum_{n=1}^{3} (w^{+}(M_{n}))^{2} \tan(kh_{n}) \right) + O(\varepsilon^{2})$ $T_{\varepsilon} = 1 + \varepsilon \left(i/2 \sum_{n=1}^{3} \tan(kh_{n}) \right) + O(\varepsilon^{2})$



$$T_{\varepsilon} = 1 + \varepsilon \left(i/2 \sum_{n=1}^{3} \tan(kh_n) \right) + O(\varepsilon^2)$$

1) We can find M_n , h_n such that $R_{\varepsilon} = O(\varepsilon^2)$ and $T_{\varepsilon} = 1 + O(\varepsilon^2)$.





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- 1) We can find M_n , h_n such that $R_{\varepsilon} = O(\varepsilon^2)$ and $T_{\varepsilon} = 1 + O(\varepsilon^2)$.
- 2) Then changing h_n into $h_n + \tau_n$, and choosing a good $\tau = (\tau_1, \tau_2, \tau_3) \in \mathbb{R}^3$ (fixed point), we can get $R_{\varepsilon} = 0$ and $\Im m T_{\varepsilon} = 0$.
- 3) Energy conservation $+ [T_{\varepsilon} = 1 + O(\varepsilon)] \Rightarrow T_{\varepsilon} = 1$.

Numerical results

• Perturbed waveguide ($\Re e\left(u_{\varepsilon}(x, y)e^{-i\omega t}\right)$)

• Reference waveguide ($\Re e(u_i(x,y)e^{-i\omega t})$)

Comments

▶ We could also have hidden gardens of flowers!



1 A few notions of asymptotic analysis

2 Invisible smooth perturbations of the reference geometry

3 Non smooth invisible perturbations of the reference geometry

Conclusion of lecture 2

What we did

1) Perturbation in the PDE. Recall the standard scheme

Step I: ansatz and identification of the terms of the ansatz;Step II: error estimates (stability estimate + consistency result).

- 2) Smooth perturbation of the geometry. Use a change of variable to show error estimates in a fixed geometry.
- 3) Construction of smooth and non smooth invisible defects in waveguides.



Use the first term in the asymptotic whose dependence wrt the perturbation is explicit and linear to cancel the whole expansion by solving a fixed point problem.

Next lecture

We will explain how to use resonant phenomena to construct large invisible defects.

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Part III

►

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