WAVES 2015

Far field invisibility for a finite set of incident/scattering directions

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General setting

▶ We are interested in methods based on the propagation of waves to determine the shape, the physical properties of objects, in an exact or qualitative manner, from given measurements.

- General principle of the methods:
 - i) send waves in the medium;
 - ii) measure the scattered field;
 - iii) deduce information on the structure.



• Many techniques: Xray, ultrasound imaging, seismic tomography, ...

• Many applications: biomedical imaging, non destructive testing of materials, geophysics, ...

Model problem

Scattering in time-harmonic regime of an incident plane wave by a bounded penetrable inclusion \mathcal{D} (coefficients ρ) in \mathbb{R}^2 .

$$\rho = 1 \qquad \qquad \begin{array}{c} \mathcal{D} \\ \rho \neq 1 \end{array}$$

Find u such that

$$\begin{aligned}
-\Delta u &= k^2 \rho \, u & \text{in } \mathbb{R}^2, \\
u &= u_{\rm i} + u_{\rm s} & \text{in } \mathbb{R}^2, \\
\lim_{r \to +\infty} \sqrt{r} \left(\frac{\partial u_{\rm s}}{\partial r} - i k u_{\rm s} \right) = 0.
\end{aligned}$$

(1)

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$$\rho = 1 \qquad \begin{array}{c} & u_{i} := e^{ik\theta_{inc} \cdot x} \text{ (incident dir. } \theta_{inc} \in \mathbb{S}^{1}) \\ & & \mathcal{D} \\ & & \rho \neq 1 \end{array}$$

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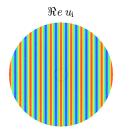
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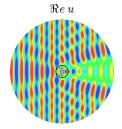
Scattering in time-harmonic regime of an incident plane wave by a bounded penetrable inclusion \mathcal{D} (coefficients ρ) in \mathbb{R}^2 .

DEFINITION: $\begin{aligned} u_{i} &= \text{incident field (data)} \\ u &= \text{total field (uniquely defined by (1))} \\ u_{s} &= \text{scattered field (uniquely defined by (1)).} \end{aligned}$

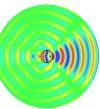
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• Numerical approximation of the solution to the previous problem:

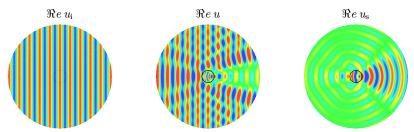




 $\Re e \; u_{\rm s}$



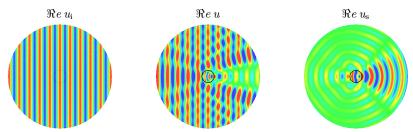
Numerical approximation of the solution to the previous problem:



► The scattered field of an incident plane wave of direction θ_{inc} behaves in each direction like a cylindrical wave at infinity:

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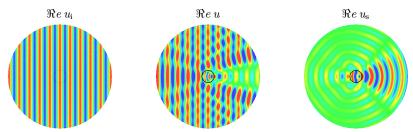


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The far field pattern is the quantity one can measure at infinity (the other terms are too small).

▶ The goal of imaging techniques is to find features of the inclusion from the knowledge of $u_s^{\infty}(\cdot, \cdot)$ on a subset of $\mathbb{S}^1 \times \mathbb{S}^1$.

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- In practice, one has a finite number of emitters and receivers.

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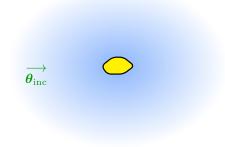
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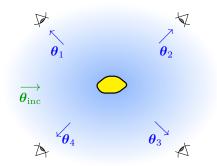
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- At least two reasons to study invisibility questions:
- 1) We can wish to hide objects (cloaking like in Andrew Norris's talk).
- 2) It allows to understand limits of imaging techniques.

► To simplify the presentation, only one incident direction θ_{inc} and N scattering directions $\theta_1, \ldots, \theta_N$ (given).

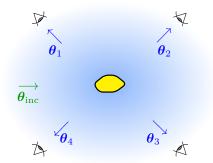


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 \rightarrow We measure $u_{s}^{\infty}(\boldsymbol{\theta}_{1}), \ldots, u_{s}^{\infty}(\boldsymbol{\theta}_{N}).$

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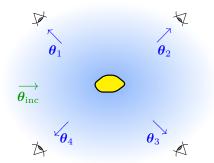




In this talk, we explain how to construct inclusions such that

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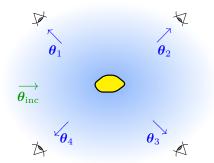




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- We assume that k and the support of the inclusion $\overline{\mathcal{D}}$ are given.

▶ To simplify the presentation, only one incident direction θ_{inc} and N scattering directions $\theta_1, \ldots, \theta_N$ (given).

Find a real valued function $\rho \not\equiv 1$, with $\rho - 1$ supported in $\overline{\mathcal{D}}$, such that the solution to the problem

Find
$$u = u_{\rm s} + e^{ik\theta_{\rm inc}\cdot x}$$
 such that
 $-\Delta u = k^2 \rho u$ in \mathbb{R}^2 ,
 $\lim_{r \to +\infty} \sqrt{r} \left(\frac{\partial u_{\rm s}}{\partial r} - iku_{\rm s} \right) = 0$

verifies $u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_1) = \cdots = u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_N) = 0.$

$a_{n}^{\infty}(\boldsymbol{\theta}_{1}) = \cdots = a_{n}^{\infty}(\boldsymbol{\theta}_{N}) = 0.$

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2 The forbidden case





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3 Numerical experiments

Origin of the method

• We will work as in the proof of the implicit functions theorem.

• This idea was used in Nazarov 11 to construct waveguides for which there are embedded eigenvalues in the continuous spectrum.

• It has been adapted in Bonnet-Ben Dhia & Nazarov 13 to build invisible perturbations of waveguides (see also Bonnet-Ben Dhia, Nazarov & Taskinen 14 for an application to a water-wave problem).

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Connections with the ongoing work of Arens & Sylvester?

• Define $\sigma = \rho - 1$ and gather the measurements in the vector $F(\sigma) = (F_1(\sigma), \dots, F_{2N}(\sigma))^\top \in \mathbb{R}^{2N}.$

(*N* complex measurements $\Rightarrow 2N$ real measurements)

• Define $\sigma = \rho - 1$ and gather the measurements in the vector $F(\sigma) = (F_1(\sigma), \dots, F_{2N}(\sigma))^\top \in \mathbb{R}^{2N}.$

• No obstacle leads to null measurements $\Rightarrow F(0) = 0$.

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• We look for small perturbations of the reference medium: $\sigma = \varepsilon \mu$ where $\varepsilon > 0$ is a small parameter and where μ has be to determined.

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If G^{ε} is a contraction, the fixed-point equation has a unique solution $\vec{\tau}^{\text{sol}}$. Set $\sigma^{\text{sol}} := \varepsilon \mu^{\text{sol}}$. We have $F(\sigma^{\text{sol}}) = 0$ (invisible inclusion).

• For our problem, we have $(\sigma = \rho - 1)$

 $F(\sigma) = (\Re e \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_1), \dots, \Re e \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_N), \Im m \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_1), \dots, \Im m \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_N)).$

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• We denote u^{ε} , u_{s}^{ε} the functions satisfying

Find
$$u^{\varepsilon} = u_{s}^{\varepsilon} + e^{ik\boldsymbol{\theta}_{inc}\cdot\boldsymbol{x}}$$
, with u_{s}^{ε} outgoing, such that
 $-\Delta u^{\varepsilon} = k^{2}\rho^{\varepsilon} u^{\varepsilon}$ in \mathbb{R}^{2} .

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$$F(\sigma) = (\Re e \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_{1}), \dots, \Re e \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_{N}), \Im m \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_{1}), \dots, \Im m \, u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_{N})).$$

To compute $dF(0)(\mu)$, we take $\rho^{\varepsilon} = 1 + \varepsilon \mu$ with μ supported in $\overline{\mathcal{D}}$.

We denote u^{ε} , u^{ε}_{s} the functions satisfying

Find
$$u^{\varepsilon} = u_{s}^{\varepsilon} + e^{ik\boldsymbol{\theta}_{inc}\cdot\boldsymbol{x}}$$
, with u_{s}^{ε} outgoing, such that
 $-\Delta u^{\varepsilon} = k^{2}\rho^{\varepsilon} u^{\varepsilon}$ in \mathbb{R}^{2} .

•
$$u_{\rm s}^{\varepsilon \infty}(\boldsymbol{\theta}_n) = c \, k^2 \int_{\mathcal{D}} (\rho^{\varepsilon} - 1) \left(u_{\rm i} + u_{\rm s}^{\varepsilon} \right) e^{-ik\boldsymbol{\theta}_n \cdot \boldsymbol{x}} \, d\boldsymbol{x} \qquad \left(c = \frac{e^{i\pi/4}}{\sqrt{8\pi k}} \right).$$

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• We can prove that $u_{\rm s}^{\varepsilon} = O(\varepsilon)$.

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$$u_{s}^{\varepsilon \infty}(\boldsymbol{\theta}_{n}) = \varepsilon c k^{2} \int_{\mathcal{D}} \mu u_{i} e^{-ik\boldsymbol{\theta}_{n}\cdot\boldsymbol{x}} d\boldsymbol{x} + O(\varepsilon^{2}).$$

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• We obtain the expansion (Born approx.), for small ε

$$u_{\rm s}^{\varepsilon \,\infty}(\boldsymbol{\theta}_n) = 0 + \varepsilon \, c \, k^2 \int_{\mathcal{D}} \mu \, e^{ik(\boldsymbol{\theta}_{\rm inc} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}} \, d\boldsymbol{x} \, + O(\varepsilon^2).$$

For our problem, we have
$$(\sigma = \rho - 1)$$

 $F(\sigma) = (\Re e \frac{u_{s}^{\infty}(\boldsymbol{\theta}_{1})}{ck^{2}}, \dots, \Re e \frac{u_{s}^{\infty}(\boldsymbol{\theta}_{N})}{ck^{2}}, \Im m \frac{u_{s}^{\infty}(\boldsymbol{\theta}_{1})}{ck^{2}}, \dots, \Im m \frac{u_{s}^{\infty}(\boldsymbol{\theta}_{N})}{ck^{2}}).$
To compute $dF(0)(u)$ we take $\rho^{\varepsilon} = 1 + \varepsilon u$ with u supported in $\overline{\mathcal{D}}$

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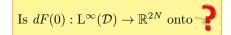
$$u_{\rm s}^{\varepsilon \,\infty}(\boldsymbol{\theta}_n) = 0 + \varepsilon \, c \, k^2 \int_{\mathcal{D}} \mu \, e^{ik(\boldsymbol{\theta}_{\rm inc} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}} \, d\boldsymbol{x} + O(\varepsilon^2).$$

$$dF(0)(\mu) = \left(\int_{\mathcal{D}} \mu \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_{1}) \cdot \boldsymbol{x}) \, d\boldsymbol{x}, \dots, \int_{\mathcal{D}} \mu \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_{N}) \cdot \boldsymbol{x}) \, d\boldsymbol{x}, \\ \int_{\mathcal{D}} \mu \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_{1}) \cdot \boldsymbol{x}) \, d\boldsymbol{x}, \dots, \int_{\mathcal{D}} \mu \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_{N}) \cdot \boldsymbol{x}) \, d\boldsymbol{x}\right)$$

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2/2

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Is
$$dF(0): L^{\infty}(\mathcal{D}) \to \mathbb{R}^{2N}$$
 onto $\mathbf{?}$

• Clearly, we need to avoid the configuration $\theta_{inc} - \theta_n = 0$.



 $\Leftrightarrow \quad \mathscr{M} := \{ \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}), \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \}_{n=1}^N \in \mathscr{C}^{\infty}(\overline{\mathcal{D}})^{2N} \text{ is a family} \\ \text{of linearly independent functions}$

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$$\begin{array}{l} \Longleftrightarrow \quad \exists \mu_{1,1}, \dots, \mu_{1,N}, \mu_{2,1}, \dots, \mu_{2,N} \in \operatorname{span}(\mathscr{M}) \; (\operatorname{Gram matrix}) \; \operatorname{such that} \\ \int_{\mathcal{D}} \mu_{1,m} \cos(k(\boldsymbol{\theta}_{\operatorname{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \; d\boldsymbol{x} = \delta^{mn}, \quad \int_{\mathcal{D}} \mu_{1,m} \sin(k(\boldsymbol{\theta}_{\operatorname{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \; d\boldsymbol{x} = 0 \\ \int_{\mathcal{D}} \mu_{2,m} \cos(k(\boldsymbol{\theta}_{\operatorname{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \; d\boldsymbol{x} = 0, \qquad \int_{\mathcal{D}} \mu_{2,m} \sin(k(\boldsymbol{\theta}_{\operatorname{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \; d\boldsymbol{x} = \delta^{mn} \end{array}$$

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 $\iff dF(0): \mathcal{L}^{\infty}(\mathcal{D}) \to \mathbb{R}^{2N} \text{ is onto.}$

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$$\Leftrightarrow$$
 $dF(0): L^{\infty}(\mathcal{D}) \to \mathbb{R}^{2N}$ is onto.

2 We need to construct some $\mu_0 \in \ker dF(0)$, *i.e.* some μ_0 satisfying

$$\int_{\mathcal{D}} \mu_0 \cos(k(\boldsymbol{\theta}_{\mathrm{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \, d\boldsymbol{x} = 0, \quad \int_{\mathcal{D}} \mu_0 \sin(k(\boldsymbol{\theta}_{\mathrm{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \, d\boldsymbol{x} = 0.$$

 $\Leftrightarrow \quad \mathscr{M} := \{ \cos(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}), \sin(k(\boldsymbol{\theta}_{\text{inc}} - \boldsymbol{\theta}_n) \cdot \boldsymbol{x}) \}_{n=1}^N \in \mathscr{C}^{\infty}(\overline{\mathcal{D}})^{2N} \text{ is a family} \\ \text{of linearly independent functions}$

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$$\Rightarrow$$
 $dF(0): L^{\infty}(\mathcal{D}) \to \mathbb{R}^{2N}$ is onto

We take
$$\mu_{0} = \mu_{0}^{\#} - \sum_{m=1}^{N} \left(\int_{\mathcal{D}} \mu_{1,m} \, \mu_{0}^{\#} \, d\boldsymbol{x} \right) \, \mu_{1,m} - \sum_{m=1}^{N} \left(\int_{\mathcal{D}} \mu_{2,m} \, \mu_{0}^{\#} \, d\boldsymbol{x} \right) \, \mu_{2,m}$$

where $\mu_0^{\#} \notin \operatorname{span}\{\mu_{1,1}, \dots, \mu_{1,N}, \mu_{2,1}, \dots, \mu_{2,N}\}.$

Main result

PROPOSITION: Assume that $\theta_n \neq \theta_{\text{inc}}$ for n = 1, ..., N. For ε small enough, define $\rho^{\rm sol} = 1 + \varepsilon \mu^{\rm sol}$ with $\mu^{\text{sol}} = \mu_0 + \sum_{n=1}^{N} \tau_{1,m}^{\text{sol}} \mu_{1,m} + \sum_{n=1}^{N} \tau_{2,m}^{\text{sol}} \mu_{2,m}.$ Then the solution of the scattering problem Find $u^{\varepsilon} = u_{\rm s}^{\varepsilon} + e^{ik\theta_{\rm inc}\cdot x}$ such that $-\Delta u = k^2 \rho^{\rm sol} u \quad \text{in } \mathbb{R}^2,$ $\lim_{r \to +\infty} \sqrt{r} \left(\frac{\partial u_{\rm s}}{\partial r} - iku_{\rm s}\right) = 0$ verifies $u_{s}^{\infty}(\boldsymbol{\theta}_{1}) = \cdots = u_{s}^{\infty}(\boldsymbol{\theta}_{N}) = 0.$

COMMENTS:

- \rightarrow We need ε to be small enough to prove that G^{ε} is a contraction.
- \rightarrow We have $\mu^{\text{sol}} \neq 0$ (non trivial inclusion). To see it, compute $dF(0)(\mu^{\text{sol}})$.

Main result

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- → Existence of invisible inclusions may appear not so surprising since there are 2N measurements and $\rho \in L^{\infty}(\mathcal{D})$.

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- \rightarrow We need ε to be small enough to prove that G^{ε} is a contraction.
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- → Existence of invisible inclusions may appear not so surprising since there are 2N measurements and $\rho \in L^{\infty}(\mathcal{D})$. Let us see the case $\theta_n = \theta_{\text{inc}}$... 13 / 23





3 Numerical experiments

► In the previous approach, we needed to assume $\theta_n \neq \theta_{inc}$, n = 1, ..., N. What if $\theta_n = \theta_{inc}$?



The case $\theta_{inc} = \theta_n$

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- ► There holds

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ight) \overline{u_{\mathrm{i}}} \, d\boldsymbol{x}.$$

• This allows to prove the formula (optical theorem of A. Norris's talk)

$$\Im m\left(c^{-1}\,u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta}_{\mathrm{inc}})\right) = k \int_{\mathbb{S}^1} |u_{\mathrm{s}}^{\infty}(\boldsymbol{\theta})|^2 \; d\boldsymbol{\theta}.$$

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Imposing invisibility in the direction θ_{inc} requires to impose invisibility in all directions $\theta \in \mathbb{S}^1$!

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Imposing invisibility in the direction θ_{inc} requires to impose invisibility in all directions $\theta \in \mathbb{S}^1$!

By Rellich's lemma, this implies $u_{\rm s} \equiv 0$ in $\mathbb{R}^2 \setminus \overline{\mathcal{D}} \Rightarrow$ we are back to the continuous ITEP (with a strong assumption on the incident field).

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ight) \overline{u_{\mathrm{i}}} \, d\boldsymbol{x}.$$

- No solution if \mathcal{D} has corners and under certain assumptions on ρ .
- Corners always scatter, E. Blåsten, L. Päivärinta, J. Sylvester, 2014
- Corners and edges always scatter, J. Elschner, G. Hu, 2015
- And if \mathcal{D} is smooth? \Rightarrow The problem seems open.



Imposing invisibility in the direction θ_{inc} requires to impose invisibility in all directions $\theta \in \mathbb{S}^1$!

By Rellich's lemma, this implies $u_s \equiv 0$ in $\mathbb{R}^2 \setminus \overline{\mathcal{D}} \Rightarrow$ we are back to the continuous ITEP (with a strong assumption on the incident field).







Data and algorithm

• We can solve the fixed point problem using an iterative procedure: we set $\vec{\tau}^{0} = (0, \dots, 0)^{\top}$ then define

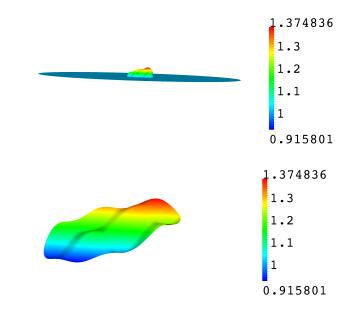
$$\vec{\tau}^{\,n+1} = G^{\varepsilon}(\vec{\tau}^{\,n}).$$

▶ At each step, we solve a scattering problem. We use a P2 finite element method set on the ball B_8 . On ∂B_8 , a truncated Dirichlet-to-Neumann map with 13 harmonics serves as a transparent boundary condition.

▶ For the numerical experiments, we take $D = B_1$, M = 3 (3 directions of observation) and

$$\begin{array}{ll}
\theta_{\rm inc} = (\cos(\psi_{\rm inc}), \sin(\psi_{\rm inc})), & \psi_{\rm inc} = 0^{\circ} \\
\theta_1 = (\cos(\psi_1), \sin(\psi_1)), & \psi_1 = 90^{\circ} \\
\theta_2 = (\cos(\psi_2), \sin(\psi_2)), & \psi_2 = 180^{\circ} \\
\theta_3 = (\cos(\psi_3), \sin(\psi_3)), & \psi_3 = 225^{\circ}
\end{array}$$

Results: coefficient ρ at the end of the process



Results: scattered field

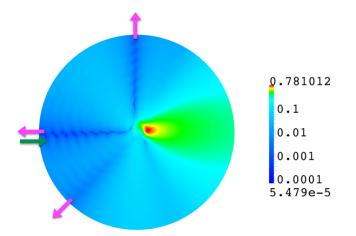


Figure: $|u_s|$ at the end of the fixed point procedure in logarithmic scale. As desired, we see it is very small far from \mathcal{D} in the directions corresponding to the angles 90°, 180° and 225°. The domain is equal to B₈.

Results: far field pattern

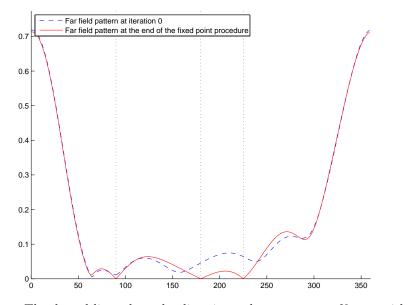


Figure: The dotted lines show the directions where we want u_s^{∞} to vanish.





3 Numerical experiments



What we did

- We explained how to construct invisible inclusions in a setting with a finite number of incident/scattering directions.
- We need to avoid the case $\boldsymbol{\theta}_{\text{inc}} = \boldsymbol{\theta}_n$.
- \rightarrow The approach also works when there are several incident directions.

Future work

- 1) Can we reiterate the process to construct larger invisible perturbations of the reference medium?
- 2) Can we construct invisible inclusions for other models (Maxwell, elasticity,...)?
- 3) Can we hide flies (small Dirichlet obstacles)? Work in progress...
- 4) Similar questions in waveguides (finite number of propagative waves ⇔ finite number of directions). How to achieve transmission invisibility?

Thank you for your attention!!!