

## Computational Aesthetic Evaluation: Automated Fitness Functions for Evolutionary Art, Design and Music

**Philip Galanter**  
Texas A&M University  
College Station, Texas, USA  
galanter@viz.tamu.edu  
<http://philipgalanter.com>

<http://www.sigevo.org/gecco-2013/>



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## Who Am I ?



- Philip Galanter (<http://philipgalanter.com>)
- Dept. of Visualization, Texas A&M University
- Coding since the early 70's + electronic music
- BA in philosophy, MFA School of Visual Arts
- Teach grad studios in generative art & PComp
- Make generative, sound, installation art
- Art theory, complexity science, related curation

## Genesis of This Tutorial



Seminar retreat In September 2009 at the Schloss Dagstuhl  
*Computational Creativity: an interdisciplinary approach*  
Leibniz-Zentrum fuer Informatik, Germany  
(Margaret Boden, Mark D'Inverno, and Jon McCormack)

Galanter, P. (2012). *Computational Aesthetic Evaluation: Past and Future*. In J. McCormack & M. d'Inverno (Eds.), *Computers and Creativity*. (39 pages). Berlin: Springer.

## Ground Rules



- Broad survey of paths already taken, and trailheads worth future exploration.
- Modest depth
- Please hold your questions until the end.
- I'll be happy to stay after the tutorial.
- Everything shown is also in the tutorial notes.
- Also additional info such as citations.

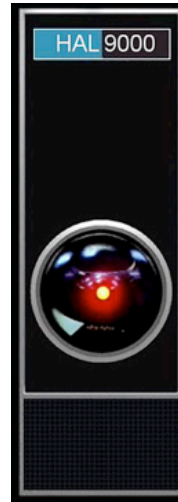
## Computational Aesthetic Evaluation?



Computer systems capable of making normative judgments related to questions of beauty and taste in the arts

- Type 1 - Simulate, predict, or cater to human notions of beauty and taste.
- Type 2 - Meta-aesthetic exploration of all possible emergent machine aesthetics in a way disconnected from human experience.

This is not about aesthetics with regard to art, nature, and culture, or about higher order semantic content or meaning in art.



## Computational Creativity?



Artistic creativity combines a generative impulse with a self-critical capacity that steers the overall process to a productive and satisfying end.

In computer art we have any number of generative systems:

- L-systems
- Cellular Automata
- Reaction-diffusion Systems
- Genetic Algorithms
- Artificial Life
- Diffusion Limited Aggregation
- Randomization
- Simulated Chaos
- Combinatorial Construction
- Data Mapping

## Computational Creativity?



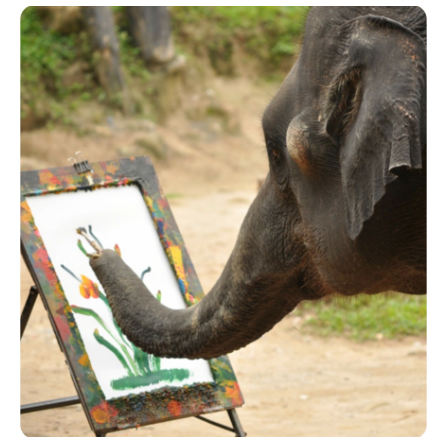
But we have essentially no computer methods of applying critical evaluation as artists do:

- When they exercise evaluation as they experience the work of other artists.
- As they execute countless micro-evaluations and aesthetic decisions for works-in-progress.
- As they evaluate the final product, gaining new insights for the making of the next piece.

## Computational Creativity?



- It's an almost entirely unsolved problem.
- How can we build digital systems that evaluate art, design, music, etc. with results consistent with human notions of beauty?
- It's also an exploration of meta-aesthetics. How do aesthetic responses to stimuli develop in other creatures and systems?



## CAE is really hard!



- Individual aesthetic responses likely form based on:
  - Genetic predisposition
  - Cultural assimilation
  - Individual specific experience and learning when they exercise evaluation as they experience the work of other artists.
- It evokes deep questions regarding:
  - Philosophy
  - Art Theory
  - Artificial Intelligence
  - Computability And Computational Complexity
  - Psychology, Neurology, Sociology
  - And More...

## CAE is really hard!



### The Bad News

This will not be a “how to” tutorial.

### The Good News

If you’ve ever dreamed of making fundamental discoveries and having your articles cited for decades to come...

Here is your opportunity!

## Tutorial Outline



- Formulaic, Geometric, and Design Aesthetic Theories
  - Birkhoff and the Aesthetic Measure
  - The Golden Ratio
  - Zipf’s Law
  - Fractal Dimension
  - Gestalt Principles
  - The Rule of Thirds
- Artificial Neural Networks and Connectionist Models

## Tutorial Outline



- Evolutionary Systems
  - Overview Of Generic Operation
  - Interactive Evolutionary Computation
  - Automated Fitness Functions
    - Performance Goals Where Form Follows Function
    - Error Relative To Exemplars
    - Complexity Measures
    - Multi-objective Fitness Functions And Pareto Optimization

## Tutorial Outline



- Biologically Inspired Emergent Fitness Functions
  - Coevolution
  - Curious Agents
  - Niche Construction By Agents
  - Agent Swarm Behavior
- Complexity Based Models Of Aesthetics
  - Information And Computational Complexity
  - Effective Complexity
- The Origins Of Art And The Art Instinct
  - Psychological Models Of Human Aesthetics
  - Arnheim – Gestalt And Aesthetics
  - Berlyne – Arousal Potential And Preferences
  - Martindale – Prototypicality And Neural Networks

## Tutorial Outline



- Findings In Empirical Studies
  - Empirical Studies Of Viewers
  - Empirical Studies Of Artists
  - Empirical Studies Of Objects
- Neuroaesthetics
- Conclusion
- Q&A

## A Brief History of CAE

Formulas, Biological Inspiration, and Complexity



## Birkhoff's Aesthetic Measure

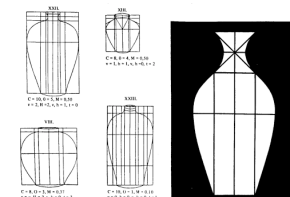


$$M = O / C$$

M = aesthetic effectiveness

O = degree of order

C = degree of complexity



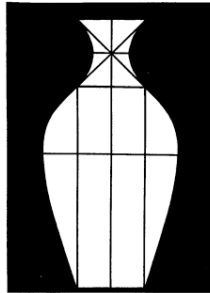
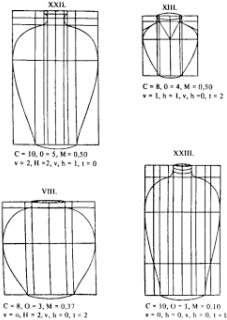
Birkhoff's psycho-neurological hypothesis:

C = as the degree to which unconscious psychological and physiological effort must be made in perceiving the object.

O = is the degree of unconscious tension released as the perception is realized. This release mostly comes from the consonance of perceived features such as "repetition, similarity, contrast, equality, symmetry, balance, and sequence."



## Birkhoff's Aesthetic Measure

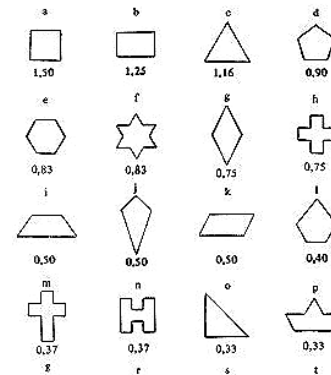


$$M = O / C$$

M = aesthetic effectiveness  
O = degree of order  
C = degree of complexity

"The well known aesthetic demand for 'unity in variety' is evidently closely connected with this formula." G. D. Birkhoff (1933)

## Birkhoff's Aesthetic Measure



$$M = O / C$$

C = number of extended lines  
O = V + E + R + HV - F  
V = vertical symmetry  
E = equilibrium  
R = rotational symmetry  
HV = relation to horizontal / vertical network  
F = unsatisfactory form

Birkhoff's measure relies on subjective judgements as well as "cheats" such as his "F" factor.

Empirical studies almost immediately called his work into question.

## Birkhoff's Aesthetic Measure



Douglas Wilson (1939)

"The results of this investigation do not support the hypothesis of Birkhoff that his a priori measure is a true measure of aesthetic value as far as polygons and geometrical figures are concerned."

Only the order matters, not the quantitative differences  
n = 95 student subjects responding to paired tests  
Low correlation measure Series A = .44 and Series B = .38

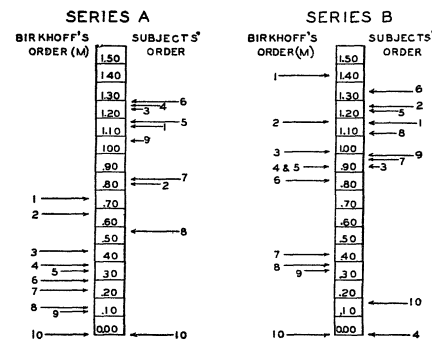


FIG 2 GRAPHIC REPRESENTATION OF ORDER OF STIMULI ACCORDING TO BIRKHOFF'S MEASURE "M," AND THAT OF SCALE VALUES OBTAINED FROM CLASS OF 95 SUBJECTS BY METHOD OF PAIRED COMPARISONS  
Note that Birkhoff's values have been corrected by eliminating all minus values and setting the lowest one at zero

## Birkhoff's Aesthetic Measure



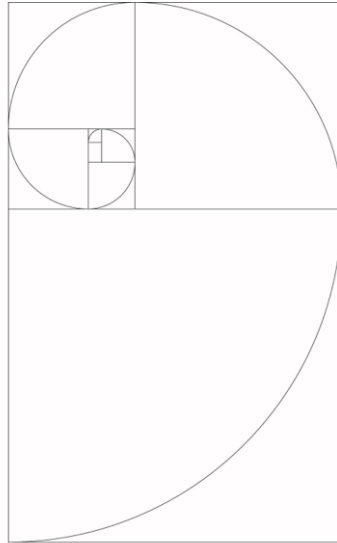
- Pythagoras - strings in simple integer ratios create harmonic tones. (1:2, 2:3, 3:4, etc.)
- Fibonacci sequences seem to appear in nature such as spiral patterns in plants. (1, 1, 2, 3, 5, 8, 13, ...)

## The Golden Ratio



Related to the Fibonacci series, the Golden Ratio is also uniquely related to its own reciprocal. This results in a rectangular shape that reappears when a square is cut off.

$$\phi = 1 + (1/\phi) = \frac{1 + \sqrt{5}}{2} = 1.618...$$



## The Golden Ratio

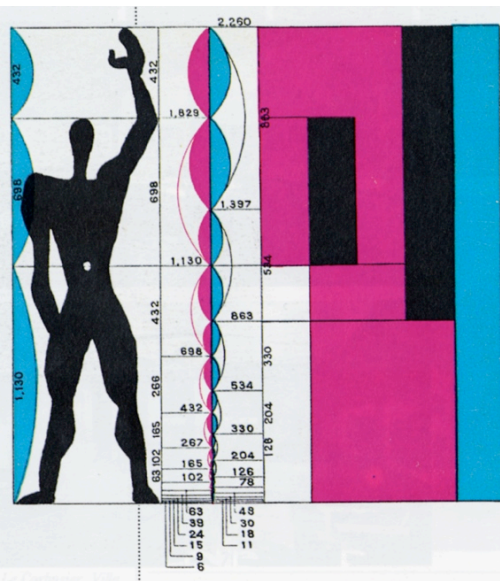


Psychologist Gustav Fechner is credited with conducting the first empirical studies of human aesthetic response in the 1860s. His experiments seemed to show that golden rectangles had the greatest appeal relative to other aspect ratios. But subsequent studies have cast strong doubt on those results.

Some have “discovered” the use of the Golden Ratio throughout history, but Livio (2003) has credibly debunked supposed Golden Ratio use in works by artists including:

- the Great Pyramids
- Leonardo da Vinci
- the Mona Lisa
- Mozart
- Mondrian
- Seurat

## The Golden Ratio



However, based on legend the Golden Ratio has been intentionally used by later artists. It has become a “self-fulfilling proportionality.”

For example Le Corbusier based his modular, a tool for design, on the Golden Ratio.

## Zipf's Law



Describes the relative frequency of types in large collections.

For example, given a large text:

- Tally every word counting each occurrence.
- List each word from the most to least frequent.
- The frequency  $P$  for a given word with rank  $i$  is:

$$P_i \approx \frac{1}{i^a}$$

where the exponent  $a$  is near 1.

## Zipf's Law



Manaris et al. (2005, 2003) note that this power law relationship has not only been verified in various bodies of musical composition, but also:

“colors in images, city sizes, incomes, music, earthquake magnitudes, thickness of sediment depositions, extinctions of species, traffic jams, and visits of websites, among others.”

Application in CAE has included:

- Manaris et al. (2003) classify specific musical compositions as to composer, style, and an aesthetic sense of “pleasantness.”
- Machado et al. (2007) have used Zipf's law in the creation of artificial art critics.
- Much earlier (1975) Voss and Clarke suggested using  $1/f$  distributions in generative music.

## Fractal Dimension



- Fractals are geometric objects that exhibit self-similarity at all scales.
- The fractal dimension measures the ability of the fractal to fill the space it is in.
- An object with a fractal dimension of 1 has the space filling capacity of a line.
- An object with a fractal dimension of 2 can fill the planar space it is in.
- An object with a fractal with a dimension of 1.3 would only partially fill the plane it is in.

## Fractal Dimension



Studies by Taylor (2006) have shown that late period “drip” paintings by Jackson Pollock are fractal-like.



The box counting method used to empirically measure the fractal dimension of Pollock paintings. Measured empirically the fractal dimension of his paintings increases over time from 1.12 in 1945 to 1.72 in 1952.

## Design Principles as Informal Formulas



## Unity in Variety



The old definition of beauty in the Roman school of painting was *il più nell' uno* - multitude in unity; and there is no doubt that such is the principle of beauty.

Samuel Taylor Coleridge (Dec. 27, 1831)

The standard of beauty is the entire circuit of natural forms, — the totality of nature; which the Italians expressed by defining beauty "*il più nell' uno*."

Ralph Waldo Emerson (1849)

This idea resonates with various cognitive theories of aesthetics where high degrees of stimulation being successfully abstracted is experienced as being pleasurable.

## Balance in Composition

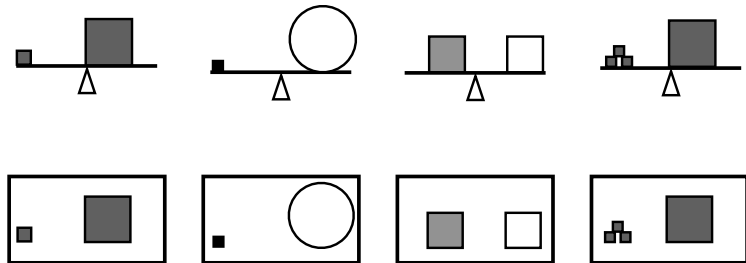


### ■ Weight

— value, filled versus outlined, size, quantity

### ■ Placement

— imagine placement relative to a fulcrum



## Gestalt



- Law of Prägnanz
- Perceptual grouping
- Grouping impacts balance

Our perceptual cognition seeks to abstract simplicity of structure.

## Scale, Proportion, Value, & Color Palette



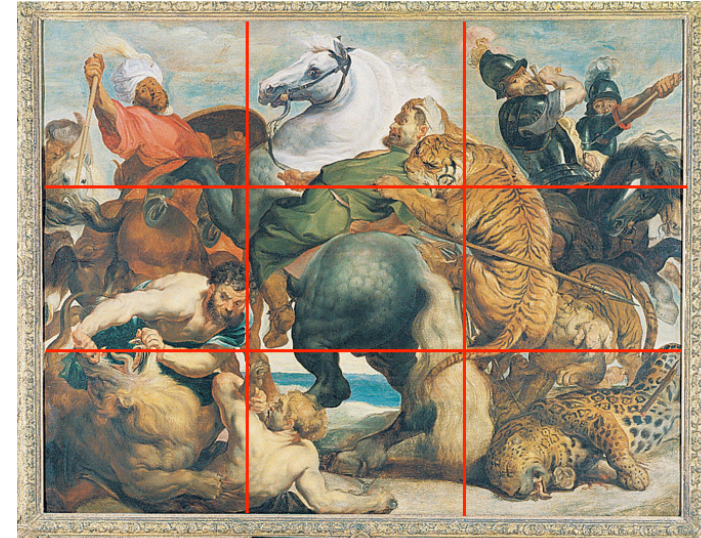
- Proportion - relative size within the image
- Scale - absolute size relative to the body
  - Often overlooked by those who work with virtual media
- Color harmony
- Color contributes to weight
- Value can be more important than color
  - higher resolution
  - broader range of signal strength



## The Rule of Thirds



## The Rule of Thirds



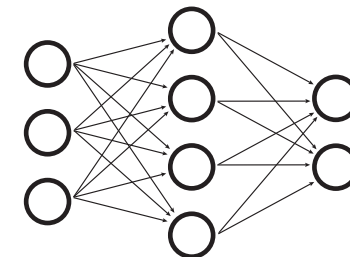
## Artificial Neural Networks



## Artificial Neural Networks

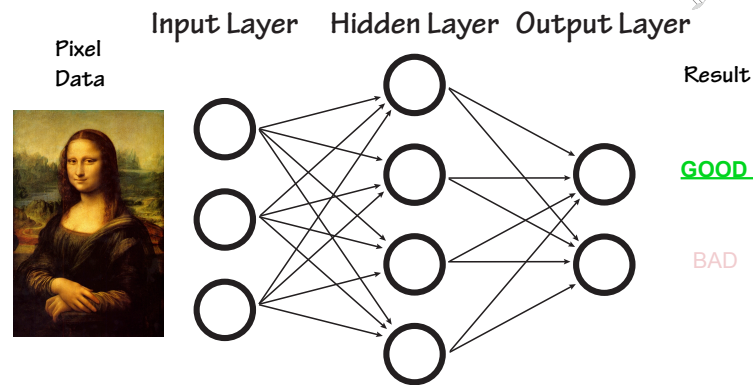


Input Layer   Hidden Layer   Output Layer



- Input nodes are exposed to input data. Each connection has a weight representing the strength of the connection.
- Each hidden node sums each input scaled by its weight. Each output node does the same applying weights.
- With each exposure to data the weights are adjusted either based on feedback from a training set or reoccurring input patterns (SOM or self-organizing map).
- In order to create nonlinear models the input summation commonly uses a sigmoid transfer function.

## Artificial Neural Networks



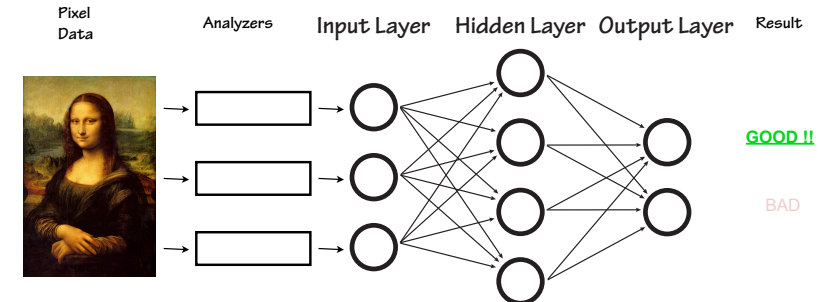
So what if we assign pixels to input nodes and output nodes to possible results?

**If only it was this easy!**

Millions of input nodes is computationally unworkable.

ANN use currently requires careful preprocessing & presentation of input data.

## Artificial Neural Networks



- One possibility is to process the pixel data with various analyzers, and then present the results to a neural network.
- This is potentially more robust to complex nonlinear relationships than statistical regression methods.

## Example - Photography Evaluation



- Datta et al. (2006, 2007)
- 3581 photos from a photography oriented social networking site.
- Each photo was rated by the membership.
- Image processing extracts 56 simple measures.
  - e.g. exposure, color distribution and saturation, adherence to the “rule of thirds,” size and aspect ratio, depth of field, etc.
- The ratings and extracted features were then processed using both regression analysis and classifier software.
- This resulted in a computational model using 15 key features.
- A software system was then able to classify photo quality as “high” and “low” in a way that correlated well with the human ratings.

## Artificial Neural Networks



- Among others Todd (1989) created sequential networks trained with scores, and then used to compose in a similar style.
  - Like similar attempts using higher-order Markov chains decades earlier, the system showed some short term coherence, but no real ability to create overall structure.
  - This is a generative system not really an aesthetic evaluation system. But it is an attempt to capture and model an aesthetic style



## Artificial Neural Networks



- Phon-Amnuaisuk (2007) Used self-organizing maps to extract structure from existing music, and then act as a critic for an evolutionary composition system.
- He found a lack of global structure and with Law (2008) created hierarchical SOMs for higher level abstraction. This approach shows some promise.

## Artificial Neural Networks

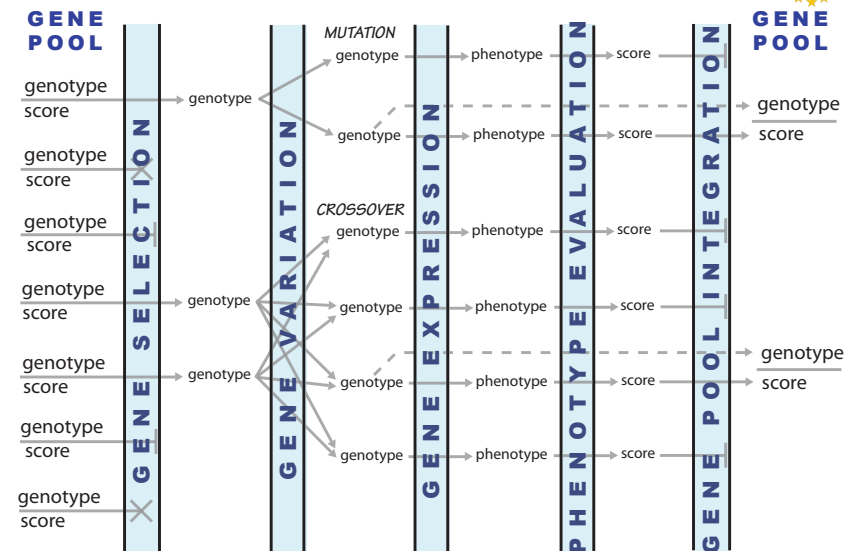


- Gedeon (2008) created an experimental system that created “Mondrian-like” images and based on learning from a training set (of 1000!) was capable of predicting a single viewer’s preferences for new

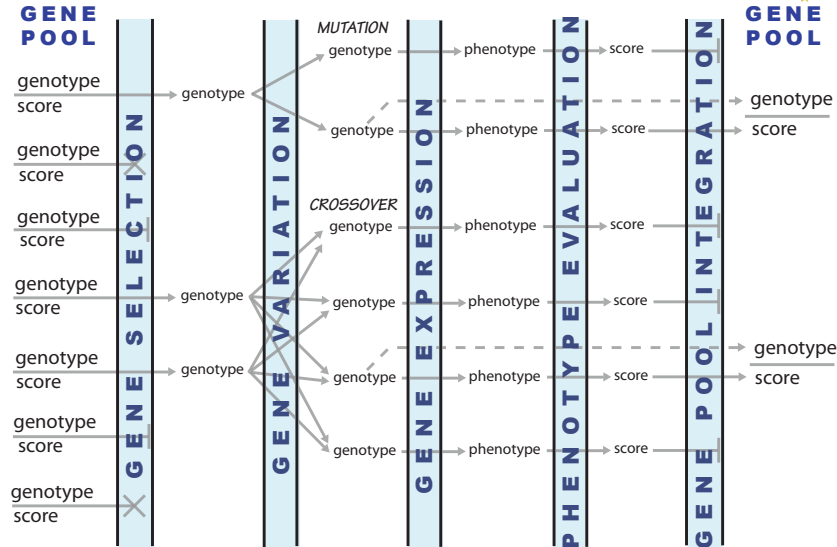
## Evolutionary Systems



## Evolutionary Systems



## Evolutionary Systems



## Generative Art Systems & Evolution



There are many generative art systems:

- L-systems
- cellular automata
- reaction-diffusion systems
- artificial life
- diffusion limited aggregation
- randomization
- simulated chaos
- combinatorial construction
- data mapping
- tiling and symmetry
- fractals

These can be parameterized as a genotype. Evolutionary techniques can then be used to explore all of these generative systems and more.

## Evolutionary Art Systems



Typical applications have objective fitness functions:

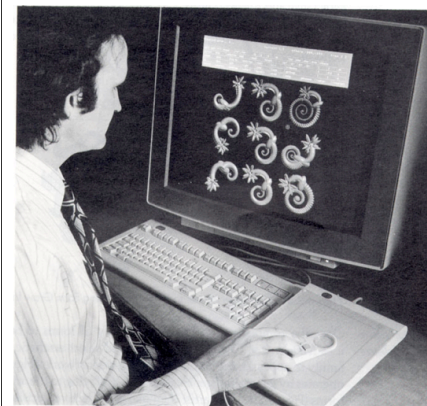
- automotive and aeronautic design
- circuit design
- routing optimization
- modeling markets for investment
- computer aided molecular modeling
- encryption and code breaking
- chemical process optimization

But what kind of fitness function can measure aesthetic fitness? There are two approaches:

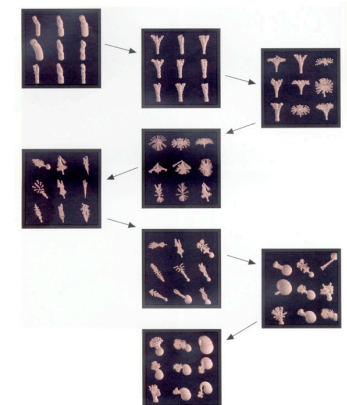
Interactive Evolutionary Computing (IEC)  
manual selection with small populations & few generations

Automated fitness function  
requires Computational Aesthetic Evaluation (CAE)

## IEC Example - William Latham

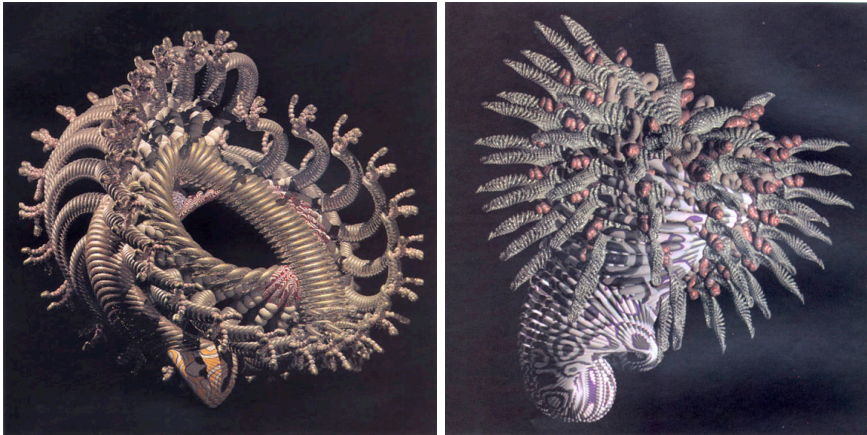


At each iteration the artist/operator selects phenotypes corresponding to recently mutated genotypes.



William Latham and Stephen Todd (1992) developed the Mutator system for evolving biomorphic forms.

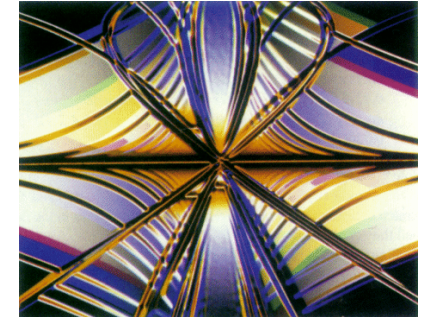
## IEC Example - William Latham



## IEC Example - Karl Sims



Karl Sims (1991) published a SIGGRAPH paper explaining his IEC system using evolving expressions.



```
(round (log (+ y (color-grad  
(round (+ (abs (round (log (+  
y (color-grad (round (+ y (log  
(invert y) 15.5)) x) 3.1 1.86  
#(0.95 0.7 0.59) 1.35)) 0.19)  
x)) (log (invert y) 15.5)) x)  
3.1 1.9 #(0.95 0.7 0.35)  
1.35)) 0.19) x)
```

## The Fitness Bottleneck



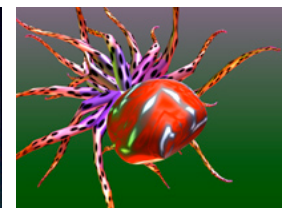
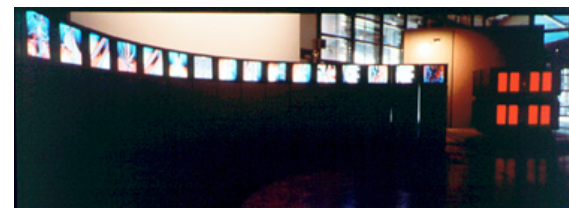
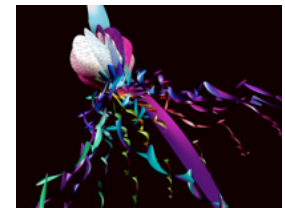
From the earliest efforts interactive assignment of fitness scores has dominated evolutionary art practice.

There was also early recognition that the human artist/operator creates what Todd and Werner (1998) called a “fitness bottleneck.” IEC systems typically allow only dozens of generations rather than hundreds or thousands, and are restricted to much smaller gene pools.

## Crowd Sourced Evaluation

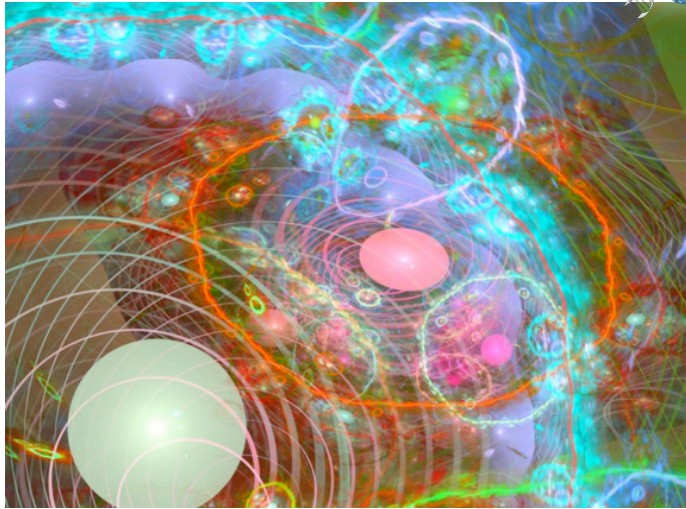


In Galapagos Karl Sims (1997) allows the audience to express a preference via sense pads where they stand.





## Crowd Sourced Evaluation



Scott Draves' (2005) Electric Sheep system allows his genetic screen saver users around the world to approve or disapprove of phenotypes via the Internet.

## Crowd Sourced Evaluation



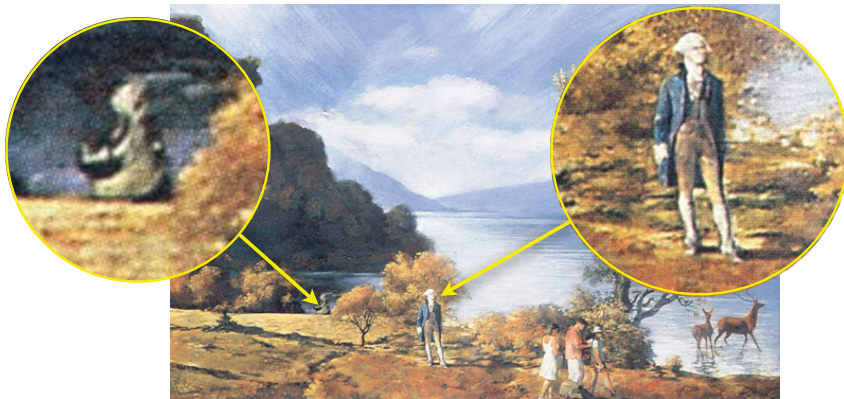
Komar and Melamid's "America's Most Wanted" (1997)



## Crowd Sourced Evaluation



Komar and Melamid's "America's Most Wanted" (1997)



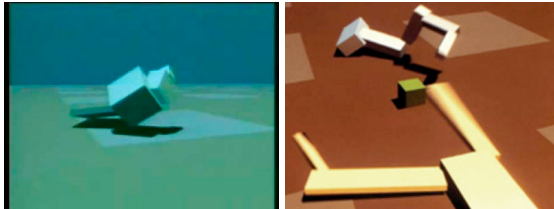
Corresponding to the public's like for historical figures and exotic animals they included these features. But also the popular blue lake, family, moderate vegetation, game animals.

## Automated Fitness Functions



- Performance Goals
  - Form Follows Function
- Error Relative to Exemplars
- Complexity Measures
- Weighted Multi-Objective Fitness
- Pareto Optimality
- Emergent Aesthetics
  - Coevolution
  - Curious Agents
  - Agent Swarms
  - Niche Construction

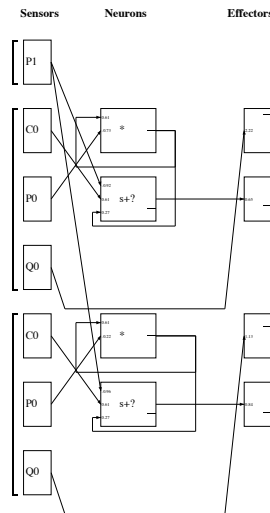
## Performance Goals



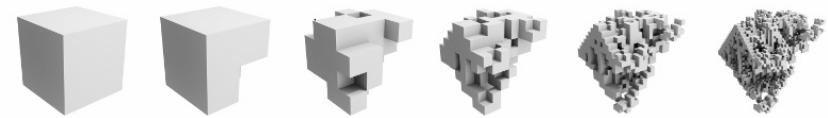
Karl Sims (1994) was able to evolve and animate virtual creatures based on performance goals.

The genotype describes a system of sensors, neurons, effectors, and .

A fitness function rewarding walking, jumping, swimming, and game playing is used.

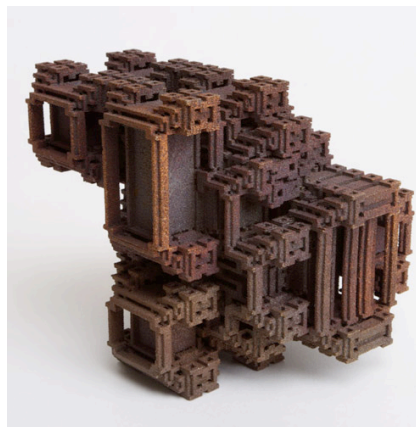


## Performance Goals



Driessens and Verstappen (2007) created an evolutionary subtractive sculpture system. Each sculpture is started as a single cube or cell. Cells are iteratively subdivided into 8 smaller sub-cells. The genotype is cellular automata-like rule sets determining whether or not a given subcell is removed. The fitness function is the number of pieces produced. The goal is a result yielding one large single piece.

## Performance Goals



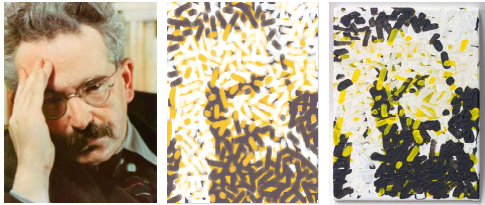
## Error Relative to Exemplars



- With the invention of photography pure representation became of diminishing importance in visual art.
- A difference or error measure comparing a phenotype to a real-world example is not typically useful as an aesthetic fitness function.
- However, intermediate results as an evolved image approaches an exemplar can be of interest as a kind of abstract art.



## Error Relative to Exemplars



- Aguilar and Lipson (2008) constructed a physical painting machine driven by an evolutionary system.
- The fitness function compared simulated brush strokes against a photograph.



## Error Relative to Exemplars



Alsing (2008) helped to popularize the error minimization approach to mimetic rendering with a project that evolved a version of the “Mona Lisa” using 50 overlapping semi-transparent polygons.

## Error Relative to Exemplars

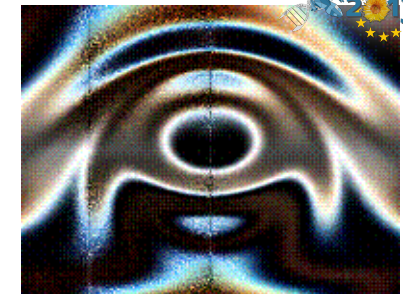


- The use of relative error can work well when programming music synthesizers to mimic other sounds.
- Comparisons with recordings of traditional acoustic instruments can be used as a fitness function.
- And while the evolutionary system converges on an optimal mimesis interesting timbres can be discovered along the way
- Magnus (2006) and Fornari (2007) independently recombining short sound files using an existent sound file as a target, but using evolving intermediate results.
- Hazan et al. (2006) used evolutionary methods to develop regression trees for expressive musical performance. Using jazz standards as a training set, the resulting regression trees could transform arbitrary flat performances into expressive ones.

## Complexity Measures



Machado and Cardoso's (2002, 2003) NEvAr system uses computational a evaluation methods with Sims-like evolving expressions. Their fitness function is related to Birkhoff's aesthetic measure:



“...the aesthetic value is, to some extent, linked with the complexity of the image and with the mental work necessary to its perception.”

- Unity in Variety
- According to the authors “...pleasure experienced when finding a compact percept (i.e., internal representation) of a complex visual stimulus...”.



## Complexity Measures



- Resistance to jpeg compression is a proxy for the “complexity of the visual stimulus” (CV).
- Resistance to fractal compression is a proxy for the “complexity of the percept” (CP), i.e. perceptual effort.

$$\text{aesthetic value} = \frac{CV^a}{(CP(t_1) \times CP(t_0))^b} \times \frac{1}{\left(\frac{CP(t_1) - CP(t_0)}{CP(t_1)}\right)^c}$$

“The left side of the formula rewards those images which have high CV and low CP estimates at the same time, while the right side rewards those images with a stable CP across time.”

## Multi-Objective Fitness



Aesthetic judgments are typically multidimensional. For example, evaluating a traditional painting might generate a set of scores regarding color, balance, value, and so on. A typical multi-objective fitness function might involve a weighted sum of factors.

$$\text{Fitness} = (w_0 * \text{color}) + (w_1 * \text{balance}) + (w_2 * \text{value})$$

Can each score in the set be independently measured?

How are the weights determined?

Why assume there are no non-linear relationships?

Preservation in the gene pool of otherwise weak individuals with a particular strength in one aspect?

## Pareto Optimality



- Pareto Optimality is a method of comparing score sets without a weighted summation.
- Set A is said to **dominate** set B if
  - each score in A is at least as good as in B, and
  - at least one score in A is better than B
- A set of scores is said to be **rank 1** or **Pareto Optimal** if it isn’t dominated by any other set.
- The sets of scores that are rank 1 constitute the **Pareto Set** or the **Pareto Front**.
- For crossover, selecting rank 1 genotypes or ignoring dominated genotypes can help to combine differing strengths of parents into a single individual.

## Emergent Aesthetics



Dorin (2005)

“the ‘eco-systemic’ approach permits simultaneous, multidirectional and automatic exploration of a space of virtual agent traits without any need for a pre-specified fitness function. Instead, the fitness function is implicit in the design of the agents, their virtual environment, and its physics and chemistry.”

## Emergent Aesthetics - Coevolution



- In evolution there is no absolute “correct answer.”
- An adaptation’s value is relative to its environment.
- Part of that environment is other living things.
- Coevolution is a sort of “arms race” of adaptation.
- But it can also be a process of ongoing.

### Todd and Werner (1998)

- (Virtual) composers produce songs.
- Female critics judge the songs for mate selection based on a probability table of note transitions.
- Males are rewarded for surprising females.
- Transition tables coevolve and slowly vary with each new generation of females.

## Emergent Aesthetics - Coevolution



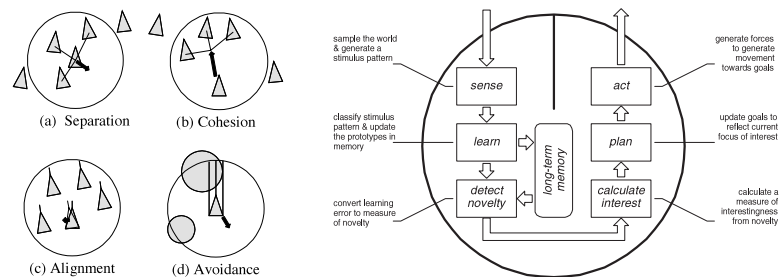
“One of the biggest problems with our coevolutionary approach is that, by removing the human influence from the critics (aside from those in the initial generation of folk-song derived transition tables), the system can rapidly evolve its own unconstrained aesthetics. After a few generations of coevolving songs and preferences, the female critics may be pleased only by musical sequences that the human user would find worthless.”

## Emergent Aesthetics - Curious Agents



### Saunders & Gero (2004)

- Reynolds established flocking via local behavior of agents.
- Helbing and Molnár developed the related social force model to simulate crowd behavior and compare with empirical results.
- Saunders and Gero add a new force they call “curiosity.” Agents move towards potentially interesting (novel) areas.

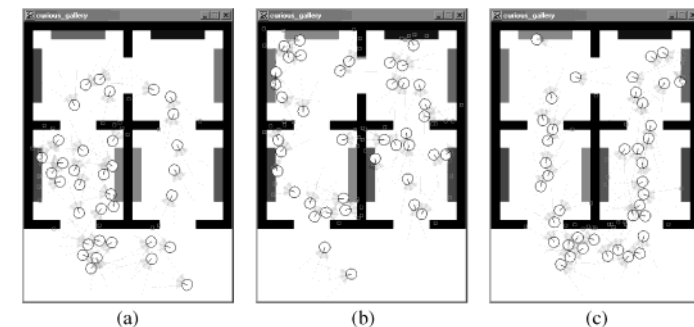


## Emergent Aesthetics - Curious Agents



### Saunders & Gero (2004)

Curious agents in a gallery of monochrome paintings



- (a) Poorly arranged gallery for single visit agents
- (b) Well arranged gallery for single visit agents
- (c) Well arranged gallery for multiple visit agents

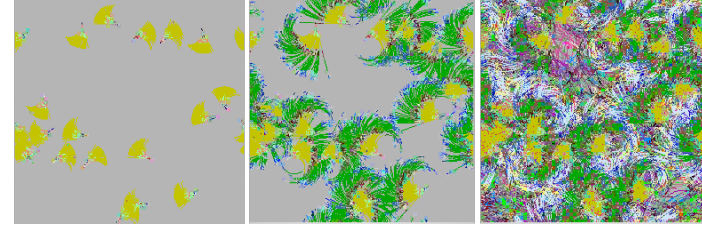
## Emergent Aesthetics - Agent Swarms



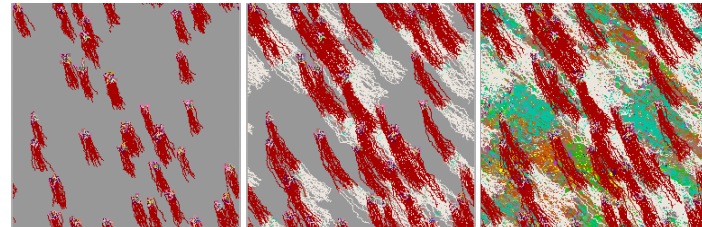
Urbano (2006)

- Various artists have applied fixed aesthetics using flocking agents (a la Reynolds) that lay down virtual paint.
- Urbano's "Gaugants" have one-to-one transactions.
- Each forms consent or dissidence regarding paint color.
- The dynamics are somewhat reminiscent of scenarios studied in game theory (e.g. the Prisoner's Dilemma).
- Although there is no overt evaluation there is an emergent aesthetic based on negotiations among the agents.

## Emergent Aesthetics - Agent Swarms



2000 agents mutating and globally negotiating color



2000 agents globally negotiating color and direction

## Emergent Aesthetics - Niche Construction



- Niche construction as agent / environment coevolution.
- Agents have a preferred environment.
- Agents can alter their environment to preference.
- As a more preferred environment is created those with the strongest preference are most encouraged.
- This creates a feedback loop creating an ever deepening evolutionary niche.

## Emergent Aesthetics - Niche Construction



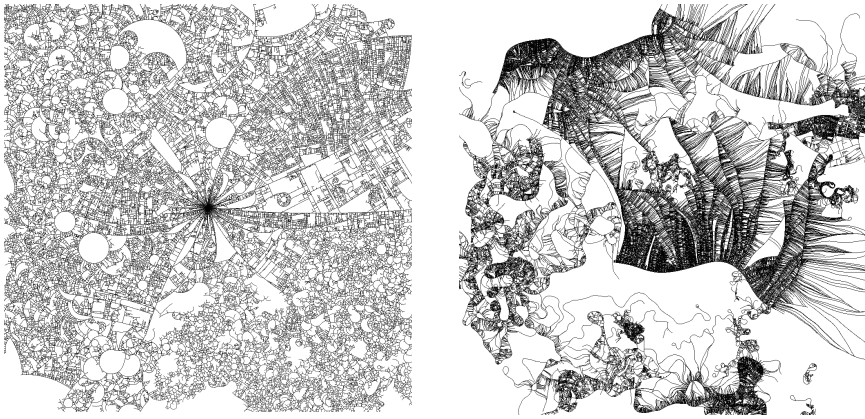
McCormack and Bown (2009)

- Drawing agents move leaving marks and spawning offspring.
- They stop when they intersect already existing marks.
- They sense the local density of already existing marks.
- Each agent also has a genetic density preference.
- Initially agents that prefer low density will succeed.
- Agents will then encounter higher densities of marks.
- High density agents will draw more and reproduce.
- This reinforcing feedback deepens the niche and preference.

## Emergent Aesthetics - Niche Construction



McCormack and Bown (2009)



## Emergent Aesthetics



Galanter (2012)

Results to date lead to a conclusion regarding Type 2 computational aesthetic evaluation:

“If the goal is the creation of robust systems for meta-aesthetic exploration these evolutionary system extensions seem to be quite beneficial.

However, if the goal is to evolve results that appeal to our human sense of aesthetics there is no reason to think that will happen.”

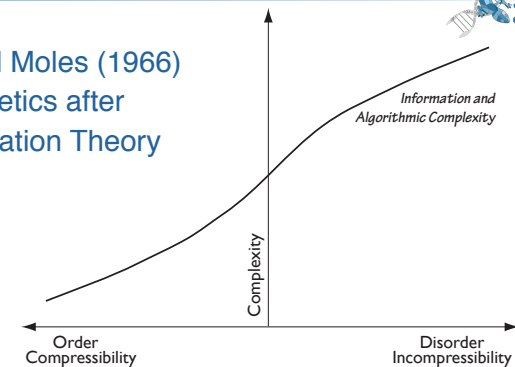
## Complexity-based Models of Aesthetics



## Complexity Measures



Bense (1965) and Moles (1966)  
Information Aesthetics after  
Shannon's Information Theory

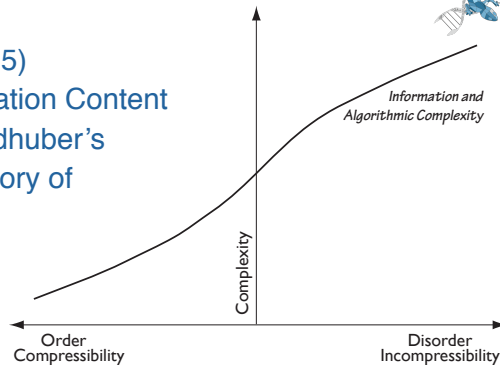


- Shannon's information theory describes the information capacity of a channel.
- The more disordered the signal, the less compressible it is, the more information it carries.
- Bense and Moles adapted these ideas in Information Aesthetics.

## Complexity Measures



Kolmogorov's (1965)  
Algorithmic Information Content  
adapted by Schmidhuber's  
(2012) Formal Theory of  
Creativity

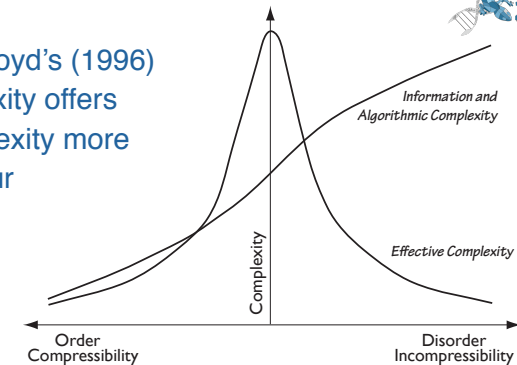


- Kolmogorov has a similar notion of algorithmic complexity. Again relative incompressibility (this time of the code used to implement the algorithm in question) is equated with complexity.
- This is adapted in Schmidhuber's Formal Theory of Creativity.

## Complexity Measures

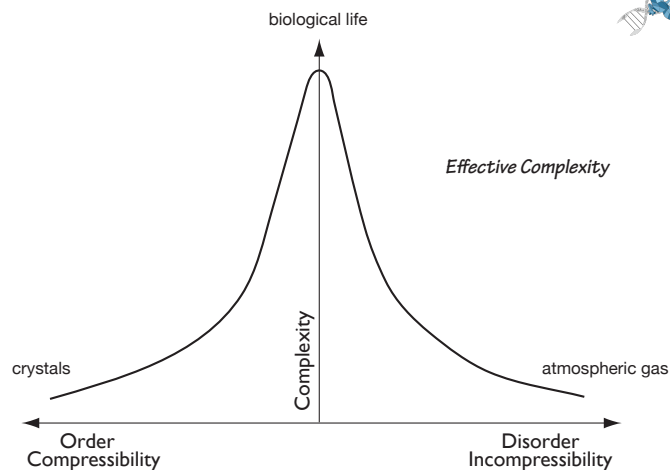


Gell-Mann and Lloyd's (1996)  
Effective Complexity offers  
a notion of complexity more  
consistent with our  
experience.



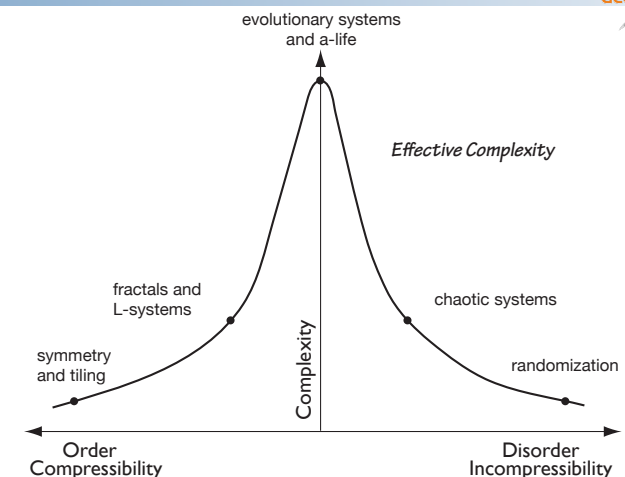
- According to Gell-Mann and Lloyd complexity is a balance of order and disorder.

## Complexity Measures



We find the balance of order and disorder in biological life more complex than either highly ordered or disordered systems.

## Complexity Measures



Effective complexity gives us a way to order our generative art systems, and it may be a more effective way to apply notions of complexity in aesthetic evaluation.



# The Future of CAE

Psychological Models, Empirical Studies,  
and Neuroaesthetics



## Emergent Aesthetics



Greenfield (2008)

“...it was difficult to find an evaluation scheme that made artistic sense. Much of the problem with the latter arises as a consequence of the fact that there is very little data available to suggest algorithms for evaluating aesthetic fitness. ...It would be desirable to have better cognitive science arguments for justifying measurements of aesthetic content.”

## Brain Complexity



- The human brain has about  $10^{15}$  connections.
- Individual neurons are informationally more complex than bits (analog, nonlinear summation, irregular synapses, etc.)
- Glial cells make up 90% of the brain and new studies suggest they actively participate in processing
- Digital circuits have a  $10^7$  advantage in switching speed, but that isn't enough to compensate.
- But much simpler brains exercise a kind of aesthetic judgement in mate selection.
- Watanabe (2009) demonstrated that pigeons could be trained to reliably categorize paintings made by children as “good” and “bad.”
- His prior studies (2001) had demonstrated that pigeons could learn to discriminate between artists, e.g. Monet vs. Picasso.

## The Origins of Art and the Art Instinct





## The Art Instinct



- Stephen Jay Gould claimed that art is a “spandrel,” a nonadaptive side effect leveraging excess cognitive resources.
- Steven Pinker (1994) has put forward the theory there is a “language instinct”, and that it developed when fluency became a mate selection marker.
- Dutton (2009) speculates there is an “art instinct” that similarly developed when the creation of aesthetic objects became a mate selection marker.
- Such a behavior provides evidence of an excess of material means.

## The Art Instinct



Note that art:

- often requires rare or expensive materials.
- requires time for learning and making.
- requires intelligence and creativity.
- typically has a lack of utility.
- sometimes has an ephemeral nature.

Dutton also speculates about the near universal preference for landscape pictures rich with survival cues from the African savannah:

- open green spaces with trees.
- ample bodies of water near by.
- an unimpeded view of the horizon.
- animal life.
- a diversity of flowering and fruiting plants.

## The Art Instinct



Alexander Melamid

“...this blue landscape is more serious than we first believed...almost everyone you talk to...and we’ve already talked to hundreds of people...they have this blue landscape in their head...maybe the blue landscape is genetically imprinted in us, that it’s the paradise within, that we came from the blue landscape and we want it... China, Kenya, Iceland, and so on...the results are strikingly similar”

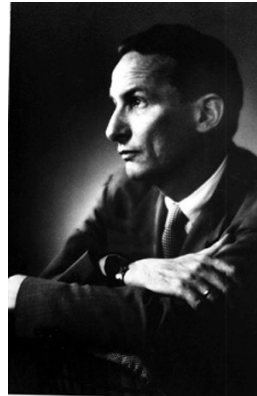
## Psychological Models of Human Aesthetics



## Rudolf Arnheim "Art and Visual Perception"



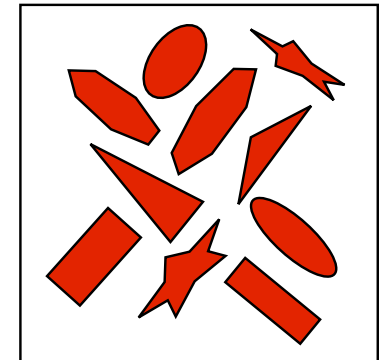
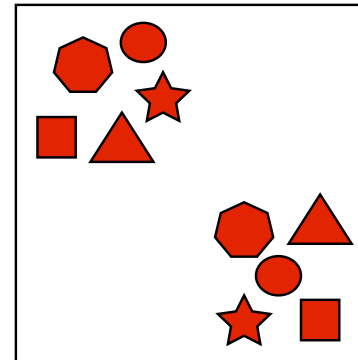
- Established Gestalt principles in aesthetics
- Perception is active cognition, not passive
- Law of Prägnanz - The brain orders experience into wholes that maximize clarity of structure
- Vague on the neurological specifics, but embraced the physical nature of his "forces and fields" in the brain



## Gestalt - Grouping



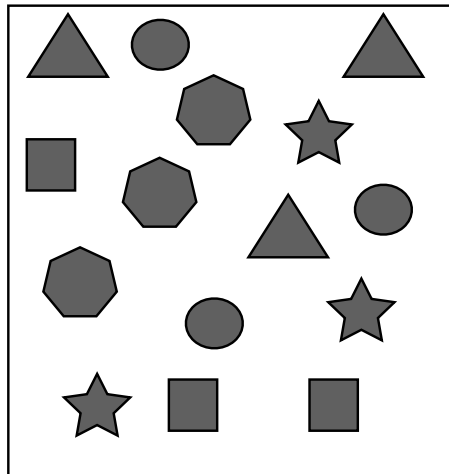
Creating sets of objects based on location, orientation, shape, etc.



## Gestalt - Grouping



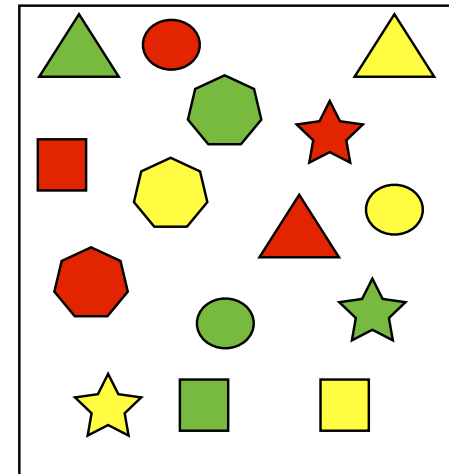
Creating sets of objects based on location, orientation, shape, etc.



## Gestalt - Grouping



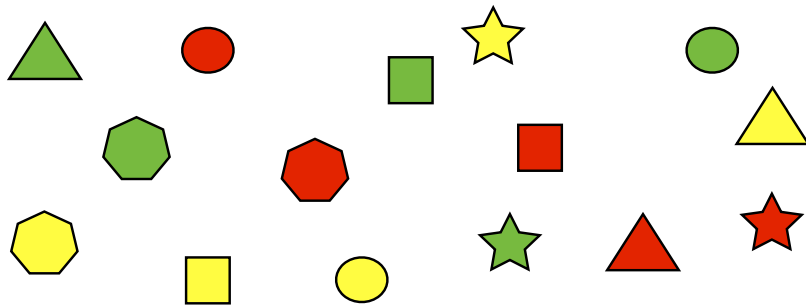
Creating sets of objects based on location, orientation, shape, etc.



## Gestalt - Containment



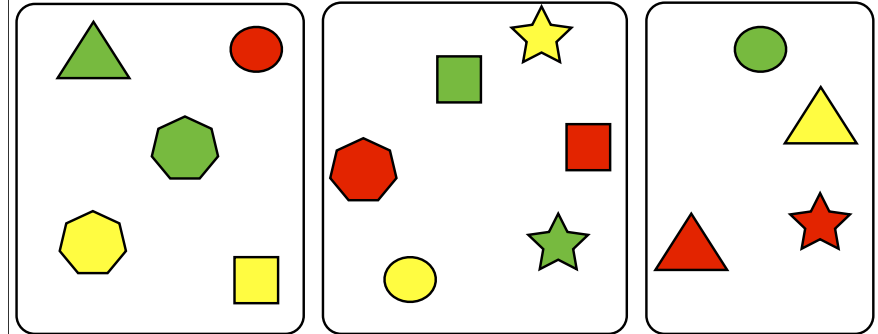
Creating sets of objects based on borders



## Gestalt - Containment



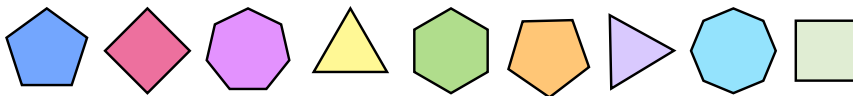
Creating sets of objects based on borders



## Gestalt - Repetition



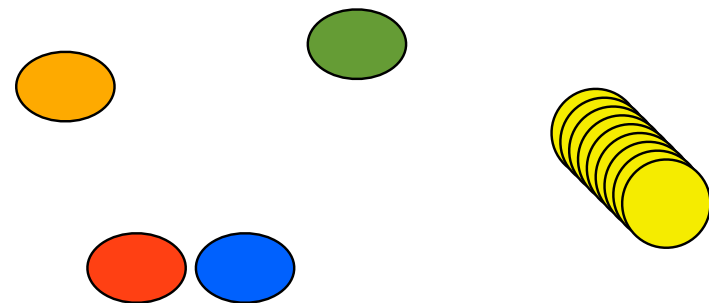
Creating sets of objects based on serial instantiation of a concept



## Gestalt - Proximity and Fusion



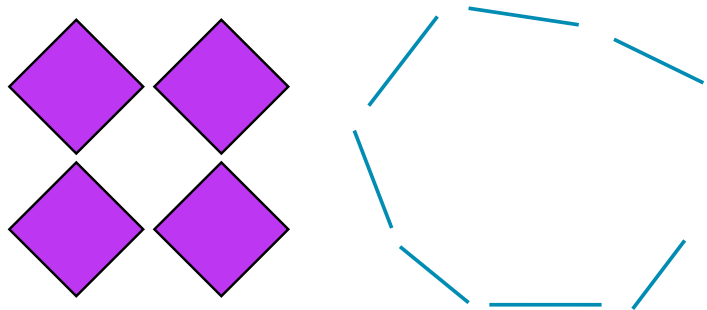
The red and blue ovals group together, the yellow circles fuse



## Gestalt - Closure



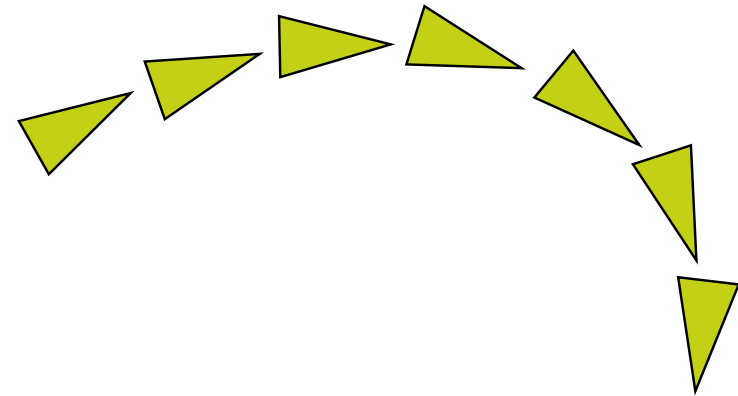
The creation of apparent shapes despite missing information



## Gestalt - Repetition



Implied motion guides the eye and fuses objects



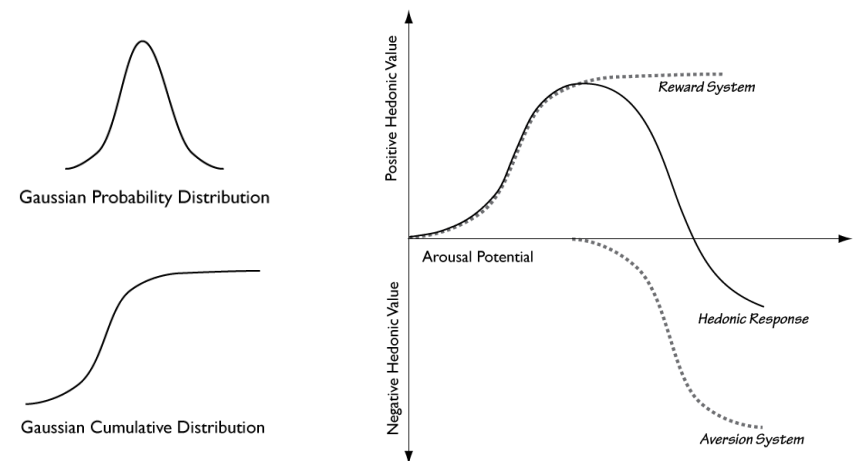
## Daniel Berlyne “Arousal Potential”



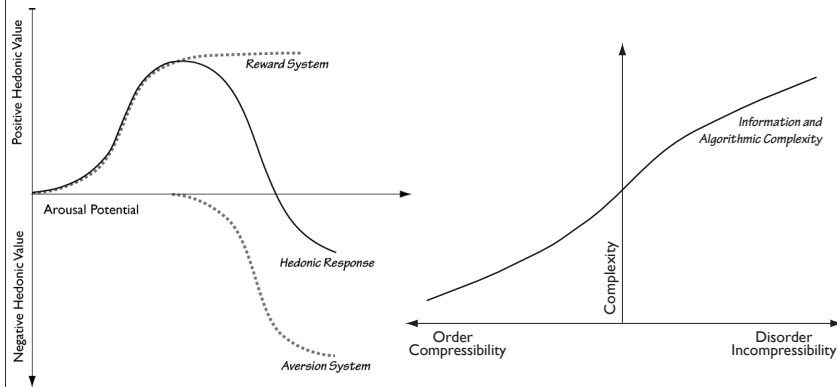
- Arousal potential is the capacity a stimulus has to arouse the nervous system. Berlyne noted three types:
  - Psychophysical properties (e.g. loud sounds)
  - Ecological (e.g. pain or predator sightings)
  - Collative (e.g. surprise, complexity, ambiguity)



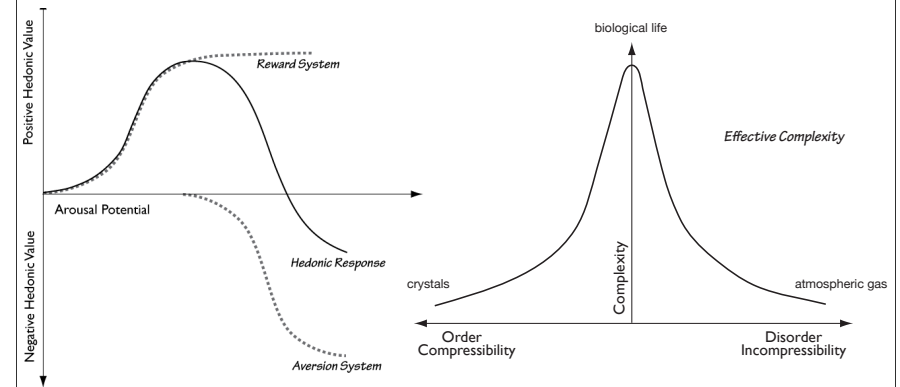
## Daniel Berlyne “Arousal Potential”



## Daniel Berlyne “Arousal Potential”



## Daniel Berlyne “Arousal Potential”



## Colin Martindale “Prototypicality”



- Conducted a series of confirmatory experiments that, in fact, produced data contradicting Berlyne’s model.
- Developed a neural network theory that better predicted and explained the experimental data.
- Tends to speak about aesthetic preferences more than aesthetic pleasure.



## Colin Martindale “Prototypicality”



- The nervous system is arranged hierarchically.
- Low level neural processing tends to be ignored.
- Higher levels of cognition, deeper semantic nodes, dominate.
- Nodes are excitatory upward and inhibitory laterally.
- So similar nodes are physically closer than others.
- This creates semantic fields that exhibit prototypicality.

The nervous system is more strongly activated when presented with a stimulus that is typical of its class.

## Colin Martindale “Prototypicality”



Problems with prototypicality:

- It doesn't seem to fully address our attraction to novelty. (Meaning novelty other than incremental peak-shift phenomena).
- More generally it seems to ignore the careful balance of order and disorder, of expectation and surprise, in the arts.
- The linkage to aesthetic pleasure seems tenuous.

## Empirical Studies of Human Aesthetics



## Empirical Studies of Human Aesthetics



Ernest Rutherford (likely paraphrased):

“In science there is only physics.  
Everything else is stamp collecting.”

## Studies of Viewers and Settings



- Subjects first asked to think about the distant future are more likely to accept unconventional works as art than those who first think about their near future.
- The same music will be evaluated more positively if preceded by bad music, and less positively if preceded by good music.
- The presence or lack of title labels has no effect on the aesthetic evaluation of paintings. Similarly the amount of viewing time has no effect.



## Studies of Viewers and Emotions



- Not all emotions lend themselves to musical expression. Those that do tend to be general, mood based, and don't require causal understanding.
- Subjects with high scores when evaluated for right-wing authoritarianism are more likely to be angered and disgusted by controversial art photography.
- The most genuine musically induced emotions are thrills, a sense of being moved, and especially aesthetic awe.

## Studies of Viewers and Neurology



- It was concluded that descriptive symmetry judgment and evaluative aesthetic judgment processes differ dramatically and recruit, at least in part, different neural machinery.
- The right visual field preference was found to apply only to abstract art.
- A model where the perceived color of an area is influenced by the surrounding colors is proposed. It is based on double opponent cells responding preferentially to one of the opponent colors, blue, yellow, red, and green.

## Studies of Viewers and Types



- Open participants prefer more forms of art. This difference increases as the art became more abstract. Those with attitudes more tolerant of political liberalism and drug use prefer abstract art the most.
- Altruists reject aggressive images, and there is attraction for such images in aggressive types. The latter, however, have a greater liking for incongruous images that more indirectly and symbolically correspond to destructive drives.

## Studies of Artists



- Artists and non-artists were presented with 22 work-in-process images leading to Matisse's 1935 painting Large Reclining Nude. Non-artists judged the painting as getting worse over time with increasing abstraction. Art students showed a jagged trajectory with several peaks suggesting an interactive hypothesis-testing process
- Balance influences the way adults trained in the visual arts create visual displays.
- Image making is consistent with personality test results.

## Studies of Objects



- The selection of a color palette, and the spatial control of color within a composition, results in the colorimetric barycenter of a painting being close to the geometric center in both representational and abstract paintings.
- Stimuli like horizontal and vertical lines, which are preferentially processed by the visual system, are also aesthetically more powerful.
- Removing color from portraits increased pleasantness and beauty and reduced tension. Removing color from landscapes reduced their perceived beauty.

## Studies of Objects



- In film awards winning best song has no relation to film success, but winning best score is positively associated with the film success as measured by best-picture nominations and awards.
- There is some support for the idea that meaning attributed to single musical intervals may be a universal human trait. Specifically, Norwegian participants reported emotions that were remarkably consistent with the emotions reported for the very different musical tradition of medieval classical Indian raga music.

## Neuroaesthetics and Connectionist Computing



## Neuroaesthetics



- Neuroaesthetics is a nascent bottom up scientific study of aesthetic perception that begins at the level of the neuron and neurology.
- It is made possible in part thanks to brain imaging technologies such as:
  - fMRI (functional magnetic resonance imaging)
  - PET (positron emission tomography scanning)
  - fNIR (functional near-infrared imaging)

## Neuroaesthetics example



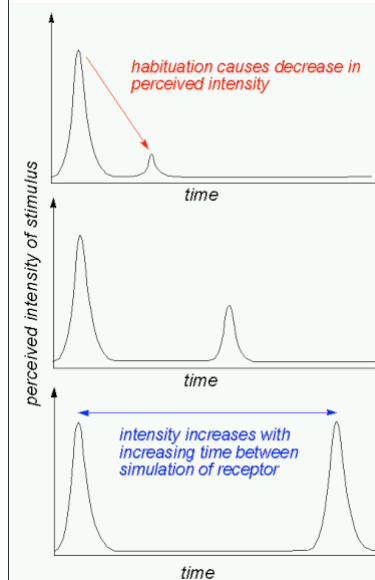
### Peak Shift

for a given stimulus a “super-stimulus” will generate an exaggerated response.

In the Herring Gull the red spot on the beak of the parent acts as a stimulus causing the chicks to peck at it, and that in turn stimulates feeding behavior by the adult.

Oddly, the herring gull chicks will also peck at any red dots, such as those painted on a stick, and a greater number of red dots will stimulate a stronger pecking response.

## Neuroaesthetics example



### Habituation

repeated exposure to the same stimulus, especially without recovery time, lessens the perceived intensity.

The combined effects of peak shift and habituation have been suggested as a neurological engine behind the tendency in art to move to increasingly extreme styles over time.

## Heirarchical Temporal Memory



- HTM is essentially a neural network design invented by Jeff Hawkins inspired by his model of neocortex function.
- The model suggests a hierarchical associative memory system that exploits the passage of time by creating local prediction feedback loops for constant training.
- It's a single mechanism for all manner of higher brain function including perception, language, creativity.
- Lower levels aggregate inputs and pass the results up to higher levels of abstraction.
- Neurologists know that the neocortex consists of a repeating structure of six layers of cells.

## Evolvable Hardware



- Evolvable hardware exploits firmware as genotype using devices such as field programmable gate arrays (FPGAs).
- The system behavior is the phenotype, and given an appropriate fitness function such a system can exhibit emergent learning.
- Glette et al (2007) described a proposed evolvable hardware system simulated in software. Used as a pattern recognition system for facial recognition it achieved an experimental accuracy of 96.25%.

## Conclusion



## Concluding Summary



- To build truly creative systems we not only need generative systems, we also need systems capable of critical judgement.
- We don't know yet how to build robust CAE systems although there have been some notable niche applications of merit.
- Emergent machine aesthetics are interesting in their own right, but to date emergent aesthetics have not been effective in simulating predicting or catering to human notions of beauty and taste.

## Concluding Summary



- It seems unlikely that simple formulaic or geometric theories will yield robust CAE.
- Traditional design theory might be of help if we can build computer vision systems capable of high level semantic abstraction.
- Would-be creative evolutionary systems suffer from the lack of CAE as a lack of automated fitness functions.

## Concluding Summary



- CAE systems that seem to be mathematical or algorithmic are typically built on a foundation of neurological assumptions or models. We need better cognitive models of aesthetics.
- While "complexity" is often cited as an important variable in CAE, there are differing views as to how complexity should be conceptualized, defined, and operationally measured.

## Concluding Summary



- Solving the CAE puzzle seems to be a long way off, but the solution may turn out to be the result of breakthroughs in cognitive science, connectionist computing, and hardware design.

## Computational Aesthetic Evaluation: Automated Fitness Functions for Evolutionary Art, Design and Music

**Philip Galanter**  
Texas A&M University  
College Station, Texas, USA  
[galanter@viz.tamu.edu](mailto:galanter@viz.tamu.edu)  
<http://philipgalanter.com>

<http://www.sigevo.org/gecco-2013/>



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