VLR: A Memory-Based Optimization Heuristic

Hansang Yun, Myoung Hoon Ha, and Robert Ian McKay

School of Computer Science and Engineering, Seoul National University Seoul, 151-744, Republic of Korea

Abstract. We suggest a novel memory-based metaheuristic optimization algorithm, VLR, which uses a list of already-visited areas to more effectively search for an optimal solution. We chose the Max-cut problem to test its optimization performance, comparing it with state-of-the-art methods. VLR dominates the previous best-performing heuristics. We also undertake preliminary analysis of the algorithm's parameter space, noting that a larger memory improves performance. VLR was designed as a general-purpose optimization algorithm, so its performance on other problems will be investigated in future.

Keywords: Optimization, Metaheuristics, Max-cut problem, memory.

1 Introduction

We introduce a novel metaheuristic optimization algorithm which uses a list of already-visited areas (the Visited-Local-Region – VLR) to improve the efficiency of exploration. VLR extends a general local search approach, guiding the system to avoid regions which are unlikely to have favorable solutions or near which we have already searched. The VLR technique is inspired by the biological mechanisms of microRNA, small non-coding RNA molecules which regulates gene expression [1]. The search step size is controlled by a "threshold of uncertainty" (TU), which resembles the temperature in Simulated Annealing (SA). Unlike SA's temperature, TU does not decrease monotonically, but depends on the VLR – we describe it in detail later. We apply VLR to the Maximum Cut (Max-cut) problem with good results.

The remainder of this paper is organized as follows. In Section 2, we introduce previous work on the Max-cut problem. Section 3 describes the VLR heuristic and its application. Section 4 presents the experiment settings for Max-cut, with the computational results being presented in Section 5. We draw conclusions and point out future directions in the last section.

2 Background

2.1 Search Methods

We propose a stochastic local search algorithm and evaluate it on the Max-cut problem, though it is general in form, and may be useful for other problems. Most

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stochastic search methods do not employ an explicit long-term memory, either foregoing memory altogether (stochastic hillclimbing and its variants) or relying on an implicit memory either in the population (genetic and swarm algorithms) or in a probability structure (estimation of distribution and ant algorithms). Only a few algorithms, of which tabu search [2] is the most prominent, explicitly remember details of the search space. The algorithm we propose here resembles tabu search in retaining an explicit memory of areas in the search space that it has previously visited. However tabu search uses the memory to determine its neighborhood structure; this algorithm differs in many details, most obviously in using the memory to affect the acceptance criterion rather than the neighborhood structure. It will be described in more detail in the next section.

2.2 The Max-Cut Problem

Let V be a set of n vertices, E a set of edges(i, j) with $i, j \in V$, and W a set of weights w_{ij} on the edges $(i, j) \in E$. For a graph G = (V, E), the Max-cut problem seeks a $cut(S, S^c)$ that maximizes the sum of the weights on the edges between S and its complement S^c :

$$maxcut(G) = \max_{S \subseteq V} (\sum_{u \in S, v \in S^c} w_{uv})$$

In spite of its simple conceptualization, this problem is remarkable for its practical applications such as the design of VLSI circuits [3,4], and the determination of ground states of spin-glass models in statistical physics [5]. Since Karp [6] proved it NP-Complete, various algorithms have been used to achieve better solutions with limited computing resources.

Most recent studies focused on heuristic techniques. Burer et al. [7] proposed a rank-2 relaxation heuristic, CirCut, that achieves better solutions than any previous, and can handle bigger problems in shorter computational time. Festa et al. [8] combined a greedy randomized adaptive search procedure (GRASP), variable neighborhood search (VNS), and path relinking (PR) to form a hybrid randomized method. Their VNSPR produces high quality solutions, but with high computational effort (time). These methods can be further hybridized with others, such as CirCut or the Goemans and Willamson algorithm.

The subsequent Scatter Search (SS) heuristic of Martí et al. [9] obtained better performance than CirCut. Kochenberger et al. [10] applied a new Tabu Search algorithm, Diversification-Driven Tabu Search (DDTS) [11], demonstrating its solution quality and computational efficiency on Max-cut problems up to 10,000 vertices. Song and Li's [12] HMA combines a memetic algorithm (MA) with semidefinite programming for initialization, as in [13] GW+Random. It creates a better initial population leading to a higher score for Max-cut than the SS in [9] over many different problems.

We compared our approach with state-of-the-art heuristics, namely SS, HMA, DDTS. Our new method out-performs these older heuristics in most cases.

3 Method

Visited-Local-Region (VLR) is a general-purpose metaheuristic optimizing a target function f(x) over solution vectors x. In the Max-cut problem, x is a binary vector representing which elements belong to set S, and f(x) denotes the value sum for the cut (S, S^c) .

We begin with a simpler version, Visited-Local-Hill (VLH), with two main characteristics. It memorizes already-visited local hills, represented by their local optima. Each hill has an attribute, the escape count (EC), proportional to the number of visits (with user-set constant of proportionality d). It controls the extent of exploration by a "threshold of uncertainty" τ , differing from SA's temperature in two ways:

- 1. Its value is reversed (low values mean more exploration).
- 2. It can both increase and decrease during search.

We give the explanation in three parts: exploration control in the Uncertain-Climbing method, the VLH heuristic, and the VLR extension of VLH. To simplify the explanation, we limit it to Boolean domains.

3.1 Exploration

UncertainClimbing (Algorithm 1) iteratively seeks a local optimum x. To permit crossing between hills, we allow it to climb down (the probability varying over time), unlike the random exploration that traditional stochastic hill climbing undertakes when it is stuck in a local optimum. Let x' be a bit-flip neighbor of x. We define h(x) and $p(x \to x')$ as:

$$h(x) = max(f(x) - \tau, 0)$$

$$p(x \to x') = \begin{cases} h(x')/(h(x) + h(x')) &, \text{ if } (h(x) + h(x')) > 0\\ 0 &, \text{ otherwise} \end{cases}$$

Algorithm 1. Pseudocode of UncertainClimbing

01: procedure UncertainClimbing (x, τ) 02:repeat for i = 1 to N do // N is length of x 03:let x' be a neighbor of x obtained by flipping the i'th bit of x04:pick a random real r between 0 and 1 05: $h(x) = max(f(x) - \tau, 0), \ h(x') = max(f(x') - \tau, 0)$ 06:07: if h(x) + h(x') > 0 and r < h(x')/(h(x) + h(x')) then 08: replace x with x'09:end if 10: end for 11: increase τ 12:**until** (x is a local optimum) 13: return (x, τ) 14: end procedure

Algorithm 2. Pseudocode of VLHmain

01:	procedure VLHmain()
02:	initialize x with a random solution and τ with $f(x)$.
03:	repeat
04:	$(x, \tau) = $ UncertainClimbing (x, τ)
05:	if $x \notin VLH$.keys then enroll x in VLH end if
06:	VLH[x].ec = VLH[x].ec + d
07:	$\tau = \tau - VLH[x].ec$
08:	until (end condition)
09:	return the best found solution
10:	end procedure

 $p(x \to x')$ is the probability of accepting x' as the next solution: solutions better than x have higher rates, but worse solutions have some chance of acceptance. The propensity for exploration is managed by τ . For $f(x') \leq \tau$, $p(x \to x') = 0$, so x' is rejected; for $f(x') > \tau$, $p(x \to x') > 0$ and x' may be accepted. Until the process finds the local optimum, τ increases, decreasing the acceptance rate and making the process more eager, so that it eventually reaches the local optimum.

3.2 Visited-Local-Hill (VLH)

RNA silencing works like this: when a messenger RNA contains a genetically vulnerable feature – coding say for diabetes or cancer – microRNAs evolve to silence them by inactivating the matching sequence. VLH acts in a similar way, changing τ to move the search away from previously matched features.

VLH lists previously visited local optima, implemented as a TRIE structure. This gives match determination time dependent on the search space dimension, but not the list size. When we find a new local optimum not in the VLH list, we add it and initialize the escape count (EC) to a constant d. EC is used to decrement τ , determining the acceptance rate for exploration, and thus the distance to the next target. If EC is small relative to the basin of attraction of the local optimum, the process may revisit the same peak, in which case, we increase EC until it is large enough to exit the basin.

Overall, τ works as follows. When the process is seeking a local optimum, τ increases; when it reaches one, τ suffers a large reduction (by EC) and escapes the basin of attraction. Thus the system can act as both a local optimization method and a global one, with τ and EC adapting the algorithm to the scale of the fitness landscape. Algorithm 2 provides the full details.

3.3 Visited-Local-Region(VLR)

VLH is simple and performs competitively. However a small extension can improve it. Searching and escaping from each hill can limit the search scope. We broaden it by defining a local region embracing a few minor hills, represented by the fittest solution, y, detected in the region. During this phase, τ increases – eventually, it will exceed the maximum available f(x) in the region, so search stalls; τ suffers a reduction by EC and x can move again. VLR is described in detail in Algorithms 3 and 4.

Algorithm 3. Pseudocode of VLRmain

01: procedure VLRmain() 02initialize x with a random solution and τ with f(x). 03repeat $(x, y, \tau) =$ UncertainClimbing $2(x, \tau)$ 04:if $y \notin VLR$.keys then enroll y in VLR end if 05:VLR[y].ec = VLR[y].ec + d06: $07 \cdot$ $\tau = \tau - VLR[y].ec$ until (end condition) 08. 09:return the best found solution 10: end procedure

Algorithm 4. Pseudocode of UncertainClimbing2

```
01: procedure UncertainClimbing2(x, \tau)
02 \cdot
     y = x
03:
     repeat
       for i = 1 to N do // N is length of x
04:
          let x' be a neighbor of x obtained by flipping the i'th bit of x
05:
          pick a random real r between 0 and 1
06
          \hat{h}(x) = max(f(x) - \tau, 0), \ h(x') = max(f(x') - \tau, 0)
07:
08:
          if h(x) + h(x') > 0 and r < h(x')/(h(x) + h(x')) then
            replace x with x'
09 \cdot
            if f(x) > f(y) then y = x end if
10:
          end if
11:
12:
        end for
13.
       increase \tau
     until (x has been stuck) // i.e. x is a local optimum and \tau > f(x)
14:
15:
     return (x, y, \tau)
16: end procedure
```

4 Experiments

We compared VLR with three leading metaheuristics from section 2: SS [9], HMA [12], and DDTS [10]. SS is the best known algorithm for Max-cut, outperforming well-known methods such as VNSPR [8] and CirCut [7]. HMA has better performance than SS in most cases, but does not dominate. DDTS has even better performance on the same instances, and has been tested on larger problems (3000 to 10000), beyond the range on which SS and HMA were tested. All had been tested on the Gset test set of Helmberg and Rendl [14] of 54 problems, varying from 800 to 3000 vertices, so we used Gset for comparison.

The HMA tests used 30 min. on an Intel Core i5-750 2.67GHz. To calibrate with our Intel Core i7-870 2.93GHz system, we used SPEC2006 benchmarks, giving us a time budget of 27 min. We were able to compare also with SS because [12] provided timings for the same problems under both HMA and SS. [10] does not provide timing details for DDTS, so it is difficult to determine the fairness of the comparison, but we nevertheless provide the comparative attainments of the algorithms.

Ideally, such comparisons should be tested for statistical significance. This was not possible, because the per-run data for previous systems were not available, and the number of runs were too small for statistical stability. To support such comparisons in future, all our tests used 50 runs, and the raw data are available at http://hdl.handle.net/10371/91260. Suitable parameter settings were determined by some more detailed exploration of VLR's parameter space, which we detail below.

To test the importance of the region search in VLR, we compared VLH and VLR performance on G16, G21, G32, G37, and G52 from Gset. We tested the impact of list size by comparing two extreme cases, VLR lists of sizes 1 and 8,000, on G37. Finally, we tested the sensitivity of VLR's performance to the value of the user-set parameter d, trying an exponentially increasing series of sizes $-\sqrt{|V|}/4, \sqrt{|V|}/2, \sqrt{|V|}, 2\sqrt{|V|}, 4\sqrt{|V|}$ - on G16, G21, G37, and G52.

Detailed parameter settings for the experiments are shown in Table 1.

Parameter	Value	Parameter	value
d	$\sqrt{ V }$	the amount of τ increment	1
V	Problem dependant	Number of Runs	50
list size	I GByte		

Table 1. Experimental Parameter Settings

5 **Result and Discussion**

 $(\text{size of 1 list item}) \times |V|$

Table 2 shows the results of comparisons between VLR and earlier methods. We show the best known record to date, together with the available data from [12] and [10]. For SS and HMA, we show their best and average performance from 10 runs. Curiously for a stochastic algorithm, the results for DDTS in [10] appear to be from single runs, so that is all we can present. For VLR, we show the best, average and median values from 50 runs, and the success rate.¹

Bold values indicate best-known performance on a problem. The values enclosed in square brackets are new best-known records. Comparing VLR with SS, VLR has superior performance on 37 problems and worse on one. Comparing with HMA, VLR wins on 30 problems and loses on one. Thus VLR almost dominates SS and HMA; although we cannot statistically test the comparison, it seems that VLR is overall a better performer. The comparison with DDTS is difficult because of the single runs for DDTS, but VLR wins on 27 problems and DDTS on 3. Overall VLR performed well, finding 20 new best-known solutions, while failing to find a known-best solution on only 3 problems. Overall, VLR's performance on Max-cut is clearly of a high order.

Table 3 compares the performance of VLH and VLR. The result of one sample t-test whether all pairwise difference differ from 0 suggests that the region structure brings performance gains on average, though differences are fairly small.

¹ For skewed data such as typically arises in optimization, the median is generally more informative than the mean.

		1	1					1			TH D
TD	# of	Best	\mathbf{SS}	\mathbf{SS}	HMA	HMA	DDma	VLR	VLR	VLR	VLR
ID	Verts	Known	Best	Avg.	Best	Avg.	DD15	Best	Avg.	Median	Succ.
27		' D		-	0	_			-		Rate
Nu	mber of	Runs	11004	11004.0	0	11004	11004	11004	11000.0	11004	477
GI	800	11624	11624	11624.0	11624	11624	11624	11624	11622.9	11624	47
G2	800	11620	11620	11620.0	11620	11620	11620	11620	11620.0	11620	50
G3	800	11622	11622	11619.5	11622	11622	11620	11622	11622.0	11622	50
G4	800	11646	11646	11638.5	11646	11646	11646	11646	11646.0	11646	50
G5 GC	800	11631	11631	0174.1	11631	11631	11631	11631	0170.0	11631	50
G6	800	2178	2178	21/4.1	2178	2178	2178	2178	2178.0	2178	50
G7 G2	800	2006	1996	1988.9	2006	2006	2006	2006	2006.0	2006	50
G8	800	2005	1996	1994.7	2005	2005	2005	2005	2005.0	2005	50
G9	800	2054	2054	2051.2	2054	2053	2054	2054	2054.0	2054	50
GIU	800	2000	2000	1999.1	2000	1999.1	2000	2000	1999.5	2000	44
GII	800	564	564	563.8	558	558	564	564	564.0	564	50
G12	800	556	554	550.6	556	552	556	556	556.0	556	50
G13	800	580	578	575.8	578	578	580	[582]	582.0	582	50
G14	800	3063	3063	3060.8	3060	3058.1	3061	3063	3061.3	3061	1
G15	800	3050	3040	3036.8	3049	3048.8	3050	3050	3049.1	3049	7
G16	800	3052	3044	3043.7	3050	3048.8	3052	3052	3051.0	3051	10
G17	800	3046	3040	3038.4	3045	3043.6	3046	[3047]	3045.5	3046	1
G18	800	991	991	985.8	989	986.9	991	[992]	991.3	991	19
G19	800	906	905	898.9	906	904.1	904	906	905.2	906	31
G20	800	941	941	941.0	941	941	941	941	941.0	941	50
G21	800	931	931	931.0	931	930.9	931	931	930.8	931	47
G22	2000	13359	13349	13314.8	13358	13349.8	13359	13359	13345.8	13358	9
G23	2000	13342	13323	13312.6	13337	13329.3	13342	[13344]	13335.8	13337	9
G24	2000	13337	13318	13307.8	13330	13321.8	13337	13337	13322.9	13324	6
G25	2000	13332	13320	13313.8	13330	13322	13332	[13335]	13325.4	13328	4
G26	2000	13328	13308	13299.7	13323	13310	13328	13326	13315.8	13316	8
G27	2000	3336	3332	3312.0	3334	3325.8	3336	[3341]	3326.8	3330	4
G28	2000	3295	3275	3264.9	3294	3286.9	3295	[3298]	3291.0	3294	11
G29	2000	3404	3385	3376.0	3404	3386.5	3391	[3405]	3385.8	3386	4
G30	2000	3407	3395	3384.5	3407	3402.8	3403	[3412]	3402.5	3403	15
G31	2000	3305	3275	3265.8	3305	3296.3	3288	[3310]	3299.6	3301	2
G32	2000	1406	1400	1393.2	1396	1392.2	1406	[1410]	1404.4	1404	1
G33	2000	1378	1364	1359.4	1372	1368.4	1378	1378	1374.5	1374	3
G34	2000	1378	1368	1361.6	1378	1375	1378	[1382]	1380.1	1380	15
G35	2000	7680	7654	7648.5	7680	7673	7678	[7683]	7678.9	7679	2
G36	2000	7670	7667	7654.5	7670	7665.7	7670	[7675]	7671.0	7671	1
G37	2000	7682	7667	7660.3	7682	7674.6	7682	[7687]	7685.4	7686	9
G38	2000	7683	7668	7659.8	7678	7669.9	7683	[7687]	7684.2	7685	3
G39	2000	2406	2395	2388.0	2406	2396.9	2397	[2408]	2399.6	2399	9
G40	2000	2393	2380	2375.9	2393	2389.2	2390	[2399]	2390.7	2394	1
G41	2000	$2\overline{405}$	2391	2385.7	2405	2401.7	2400	$2\overline{405}$	2398.0	2405	26
G42	2000	2478	2462	2458.1	2478	2469.1	2469	[2480]	2471.0	2472	2
G43	1000	6660	6660	6656.2	6660	6658.7	6660	6660	6659.1	6660	48
G44	1000	6650	6650	6648.8	6650	6649.7	6639	6650	66467.0	6650	30
G45	1000	6654	6646	6643.0	6654	6650.1	6652	6654	6648.7	6650	16
G46	1000	6649	6647	6640.4	6649	6645.8	6649	6649	6645.4	6648	18
G47	1000	6665	6655	6652.9	6656	6655.2	6665	6657	6651.3	6650	9
G48	3000	6000	-	-	6000	6000	6000	6000	6000.0	6000	50
G49	3000	6000	-	-	6000	6000	6000	6000	6000.0	6000	50
G50	3000	5880	-	-	5880	5880	5880	5876	5859.6	5874	15
G51	1000	3847	3843	3839.4	3847	3843.9	3847	3847	3846.0	3846	9
G52	1000	3849	3841	3836.1	3848	3844.8	3849	[3851]	3849.1	3849	3
G53	1000	3849	3845	3844.3	3849	3844.9	3848	3849	3846.7	3846	2
G54	1000	3851	3849	3846.0	3845	3842.5	3851	3851	3850.1	3850	4

 Table 2. Comparative Results on Gset Instances

	VLH Best	VLH Avg.	VLR Best	VLR Avg.	t	p value	mean
G16	3052	3050.9	3052	3051.06	8.593	$<\!\!2.2e-16$	0.16
G21	931	930.76	931	930.94	11.6283	$<\!\!2.2e-16$	0.18
G32	1408	1404.88	1410	1404.84	-0.6851	0.4934	-0.04
G37	7688	7683.78	7687	7684.7	12.8641	$<\!\!2.2e-16$	0.92
G52	3850	3848.74	3851	3849.08	16.462	$<\!\!2.2e-16$	0.34

 Table 3. VLH-VLR Performance Comparison

Table 4. Performance Comparison for VLR Parameter d

	$\sqrt{ V }/4$	$\sqrt{ V }/2$	$\sqrt{ V }$	$2\sqrt{ V }$	$4\sqrt{ V }$	t	p-value	mean
G16	3049.1	3051.58	3051.06	3048.6	3045.22	175.1456	<2.2e-16	6.36
G21	921.46	929.26	930.94	931	930.32	64.8215	<2.2e-16	9.54
G32	1392.12	1397.6	1404.84	1400.64	1393.16	131.3383	$<\!\!2.2e-16$	12.72
G37	7677.9	7682.62	7684.7	7678.88	7665.5	218.2509	<2.2e-16	19.2
G52	3847.04	3850	3849.08	3844.88	3840.76	236.2583	<2.2e-16	9.24

Table 4 shows the sensitivity analysis for user-set parameter d. We can see that the performance is fairly sensitive to d from the result of one sample t-test for all pairwise difference the best and the worst. Since even small differences in objective values are crucial for optimization performance, setting d correctly is clearly important – however for individual problems, the performance curve seems to be unimodal, with the best settings not varying much. The setting we used in the main experiments $(\sqrt{|V|})$ appears to have been a reasonable



Fig. 1. VLR List Size Comparison: Distribution of Deviation Current Best from Best Known Value every 10^7 Evaluations (Median and Quartiles over 50 Runs) on G37

choice, though a value between $\sqrt{|V|}/2$ and $\sqrt{|V|}$ may have been better. The unimodality of the search means that adaptive measures could be used to choose d, but we leave this for future work.

Figure 1 illustrates the effect of VLR list size. The best solutions found each 10 million evaluations from 50 runs on the G37 form the raw data. The vertical axis indicates the deviation from the best known solution. The dotted lines show the median, and the translucent areas indicate the interquartile ranges (rank statistics are more useful here because the distributions are highly skewed). Larger list sizes consistently lead to better performance (though there is substantial overlap). Larger VLR sizes come with a memory cost, but a VLR size of 8,000 is trivial today; because of the use of the TRIE structure, there is little additional time cost. Thus it seems sensible to use reasonably large VLR sizes, even though the performance gains are relatively small. We conclude that the larger list has better performance since the t-test results for all pairwise difference at the end of the runs are t = 11.9942, p - value < 2.2e - 16, and mean = 0.064, respectively.

6 Conclusion

The VLH mechanism, inspired by MicroRNA, and extended by the region structure in the VLR algorithm, has shown good performance on the Max-cut problem. VLR's design uses adaptive feedback to maintain the balance between exploration and exploitation through more effective use of information gathered from the search process. We think the performance of VLR derives from its targeted exploration, adapting to the fitness landscape rather than exploring randomly. It thus adopts good features from two important heuristics, namely simulated annealing and Tabu search, combining them in ways that yield useful increments in performance.

In the future we plan to test VLR's performance on a wider range of optimization problems. We also plan to explore the parameter space and algorithm details more deeply – finding ways to determine the optimum list size, and testing whether there are more effective ways to change the EC parameter than the current linear increase and decrease. There is also potential to explore more informed and efficient search operators. Preliminary work in these directions has yielded improved results, but detailed results are not yet available.

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