

Promoting Diversity in Evolutionary Algorithms: an Updated Bibliography

Giovanni Squillero
Politecnico di Torino
Corso Duca degli Abruzzi 24, 10129, Torino, Italy
giovanni.squillero@polito.it

Alberto Tonda
UMR GMPA, INRA, Université Paris-Saclay
1 av. Brétignières, 78850, Thiverval-Grignon,
France
alberto.tonda@grignon.inra.fr

1. CLASSIFICATION

This short paper contains an extended list of references to diversity preservation methodologies, classified following the taxonomy presented in a previous publication. The list has been updated according to the contributions sent to the workshop *Measuring and Promoting Diversity in Evolutionary Computation*, held during the conference GECCO 2016. As we are presenting just a small update of the original survey, in Table 1 we report the classification limited to the first two axes, for simplicity. For more information, see [26].

The proposed classification of diversity preservation methods takes into account three axes: level the method acts at (lineage, genotype, phenotype); point of selection (parents, survival); dependency on the current population context (context-dependent, context-independent). Considering Table 1, column **ME** describes the level at which a methodology evaluates population diversity ($\mathcal{L}$ for lineage, $\mathcal{G}$ for genome, $\mathcal{P}$ for phenotype); and column **Selection** indicates the point of the evolutionary process where diversity preservation is introduced, namely P for parent selection, S for survival selection.

2. REFERENCES

- [1] M. Affenzeller. A new approach to evolutionary computation: segregative genetic algorithms (SEGA). In *Connectionist Models of Neurons, Learning Processes, and Artificial Intelligence*, pages 594–601. Springer, 2001.
- [2] R. Allenson. Genetic algorithms with gender for multi-function optimisation. Technical Report EPCC-SS92-01, Edinburgh Parallel Computing Centre, Edinburgh, Scotland, 1992.
- [3] D. Beasley, D. R. Bull, and R. R. Martin. A sequential niche technique for multimodal function optimization. *Evolutionary computation*, 1(2):101–125, 1993.

Authors are listed in alphabetic order

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. Copyrights for components of this work owned by others than the author(s) must be honored. Abstracting with credit is permitted. To copy otherwise, or republish, to post on servers or to redistribute to lists, requires prior specific permission and/or a fee. Request permissions from permissions@acm.org.

GECCO'16 Companion, July 20 - 24, 2016, Denver, CO, USA

© 2016 Copyright held by the owner/author(s). Publication rights licensed to ACM. ISBN 978-1-4503-4323-7/16/07...\$15.00

DOI: <http://dx.doi.org/10.1145/2908961.2931651>

Methodology	ME	Selection
Adaptive Species Discovery [13]	$\mathcal{G}$	P+S
Aging [5]	$\mathcal{L}$	P+S
Allopatric selection [29]	$\mathcal{L}$	S
Cellular EAs [22]	$\mathcal{L}$	P+S
Clearing [20]	$\mathcal{G}$	P+S
Crowded-comparison operator [10]	$\mathcal{P}$	P
Delta/pseudo entropy [27]	$\mathcal{G}$	P
Deterministic crowding [19]	$\mathcal{L}$	S
Diversifiers [18]	$\mathcal{G}$	P+S
Dynamic Fitness Sharing [12]	$\mathcal{G}$	P+S
Dynamic Niche Identification [11]	$\mathcal{G}$	P+S
Extinction [14]	$\mathcal{P}$	S
FOCUS [6]	$\mathcal{G}$	S
Fitness sharing [8]	$\mathcal{G}$	P+S
Gender [2]	$\mathcal{L}$	P
Genetic diversity evaluation [28]	$\mathcal{G}$	S
Hierarchical fair competition [17]	$\mathcal{P}$	P+S
Island models [30]	$\mathcal{L}$	P+S
MULTI [25]	$\mathcal{G}$	S
MULTI DYNAMIC [24]	$\mathcal{G}$	S
Random immigrants [15]	$\mathcal{P}$	P+S
Reference points partitioning [9]	$\mathcal{G}$	S
Restricted tournament [16]	$\mathcal{G}$	S
Segregation [1]	$\mathcal{L}$	P+S
Sequential niching [3]	$\mathcal{G}$	S
Standard Crowding [7]	$\mathcal{G}$	S
Strength pareto [31]	$\mathcal{P}$	P
Tarpeian method [21]	$\mathcal{G}$	P+S
Two-level Diversity Selection [4]	$\mathcal{G}$	P
VEGA [23]	$\mathcal{P}$	P

Table 1: Essential bibliography and proposed classification of methods for diversity promotion, additions since [26] are highlighted in bold.

- [4] M. Brameier and W. Banzhaf. *Explicit control of diversity and effective variation distance in linear genetic programming*. Springer, 2002.
- [5] M. Castrogiovanni, G. Nicosia, and R. Rascunà. Experimental analysis of the aging operator for static and dynamic optimisation problems. In *Knowledge-Based Intelligent Information and Engineering Systems*, pages 804–811. Springer, 2007.
- [6] E. De Jong, R. Watson, and J. Pollack. Reducing bloat and promoting diversity using multi-objective methods. In *Proceedings of the Genetic and Evolutionary Computation Conference (GECCO)*. L. Spector et al. Eds., July 2001.
- [7] K. A. De Jong. *Analysis of the behavior of a class of genetic adaptive systems*. PhD thesis, University of Michigan, Ann Arbor, 1975.
- [8] K. Deb and D. E. Goldberg. An investigation of niche and species formation in genetic function optimization. In *Proceedings of the 3rd International Conference on Genetic Algorithms*, pages 42–50. Morgan Kaufmann Publishers Inc., 1989.
- [9] K. Deb and H. Jain. An evolutionary many-objective optimization algorithm using reference-point based non-dominated sorting approach, Part I: Solving problems with box constraints. *IEEE Transactions on Evolutionary Computation*, 2013. Early Access.
- [10] K. Deb, A. Pratap, S. Agarwal, and T. Meyarivan. A fast and elitist multiobjective genetic algorithm: NSGA-II. *Evolutionary Computation, IEEE Transactions on*, 6(2):182–197, 2002.
- [11] A. Della Cioppa, C. De Stefano, and A. Marcelli. On the role of population size and niche radius in fitness sharing. *Evolutionary Computation, IEEE Transactions on*, 8(6):580–592, 2004.
- [12] A. Della Cioppa, C. De Stefano, and A. Marcelli. Where are the niches? dynamic fitness sharing. *Evolutionary Computation, IEEE Transactions on*, 11(4):453–465, 2007.
- [13] A. Della Cioppa, A. Marcelli, and P. Napoli. Speciation in evolutionary algorithms: adaptive species discovery. In *Proceedings of the 13th annual conference on Genetic and evolutionary computation*, pages 1053–1060. ACM, 2011.
- [14] G. Greenwood, G. B. Fogel, and M. Ciobanu. Emphasizing extinction in evolutionary programming. In *Evolutionary Computation, 1999. CEC 99. Proceedings of the 1999 Congress on*, volume 1. IEEE, 1999.
- [15] J. J. Grefenstette. Genetic algorithms for changing environments. In *Parallel Problem Solving from Nature (PPSN)*, volume 2, pages 137–144, 1992.
- [16] G. R. Harik. Finding multimodal solutions using restricted tournament selection. In *Proceedings of the Sixth International Conference on Genetic Algorithms*, pages 24–31. San Francisco, CA, 1995.
- [17] J. Hu, E. Goodman, K. Seo, Z. Fan, and R. Rosenberg. The hierarchical fair competition (HFC) framework for sustainable evolutionary algorithms. *Evolutionary computation*, 13(2):241–77, Jan. 2005.
- [18] R. Keller and W. Banzhaf. Explicit maintenance of genetic diversity on genospaces. Technical report, University of Dortmund, 1995.
- [19] S. W. Mahfoud. *Niching methods for genetic algorithms*. PhD thesis, University of Illinois, Urbana-Champaign, 1995.
- [20] A. Pérowski. A clearing procedure as a niching method for genetic algorithms. In *Evolutionary Computation, 1996., Proceedings of IEEE International Conference on*, pages 798–803. IEEE, 1996.
- [21] R. Poli. A simple but theoretically-motivated method to control bloat in genetic programming. In *Genetic Programming*, pages 204–217. Springer, 2003.
- [22] G. G. Robertson. Parallel implementation of genetic algorithms in a classifier system. In *Proceedings of the Second International Conference on Genetic Algorithms on Genetic algorithms and their application*, pages 140–147. L. Erlbaum Associates Inc., 1987.
- [23] J. D. Schaffer. Multiple objective optimization with vector evaluated genetic algorithms. In *Proceedings of the 1st international Conference on Genetic Algorithms*, pages 93–100. L. Erlbaum Associates Inc., 1985.
- [24] C. Segura, C. A. C. Coello, E. Segredo, and A. H. Aguirre. A novel diversity-based replacement strategy for evolutionary algorithms. *IEEE Transactions on Cybernetics*, PP(99):1–14, 2015.
- [25] C. Segura, C. A. C. Coello, E. Segredo, G. Miranda, and C. León. Improving the diversity preservation of multi-objective approaches used for single-objective optimization. In *Evolutionary Computation (CEC), 2013 IEEE Congress on*, pages 3198–3205. IEEE, 2013.
- [26] G. Squillero and A. Tonda. Divergence of character and premature convergence: A survey of methodologies for promoting diversity in evolutionary optimization. *Information Sciences*, 329:782–799, 2016.
- [27] G. Squillero and A. P. Tonda. A novel methodology for diversity preservation in evolutionary algorithms. In *Proceedings of the Genetic and Evolutionary Computation Conference (GECCO)*, pages 2223–2226. ACM, 2008.
- [28] A. Toffolo and E. Benini. Genetic diversity as an objective in multi-objective evolutionary algorithms. *Evolutionary Computation*, 11(2):151–167, 2003.
- [29] A. Tonda, E. Lutton, and G. Squillero. A benchmark for cooperative coevolution. *Memetic Computing*, 4(4):263–277, 2012.
- [30] D. Whitley, S. Rana, and R. B. Heckendorn. The island model genetic algorithm: On separability, population size and convergence. *Journal of Computing and Information Technology*, 7:33–48, 1999.
- [31] E. Zitzler and L. Thiele. Multiobjective evolutionary algorithms: A comparative case study and the strength pareto approach. *Evolutionary Computation, IEEE Transactions on*, 3(4):257–271, 1999.