



## Search-Maps

Visualising and Exploiting the Global Structure of Computational Search Spaces

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<http://gecco-2018.sigevo.org/>

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GECCO '18 Companion, July 15–19, 2018, Kyoto, Japan  
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ACM ISBN 978-1-4503-5764-7/18/07.  
<https://doi.org/10.1145/3205651.3207884>



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❖ **Nadarajen Veerapen** is a Research Fellow at the University of Stirling in Scotland. He holds a PhD in Computer Science from the University of Angers, France. His research interests include local search, hybrid methods, search-based software engineering and visualisation. He has served as Student Affairs Chair for GECCO 2017 and GECCO 2018 and has co-organised the workshop on Landscape-Aware Heuristic Search at PPSN 2016 and GECCO 2017 and GECCO 2018.



## Outline

### ❖ Motivation and Background

- Fitness landscapes
- The notion of funnels
- Complex networks

### ❖ Local Optima Networks

- Definition of Nodes & Edges
- Visualisation & Metrics

### ❖ Case Studies

- Combinatorial optimisation
  - **Binary:** NK landscapes, number partitioning (NPP)
  - **Permutation:** TSP
- Genetic Improvement

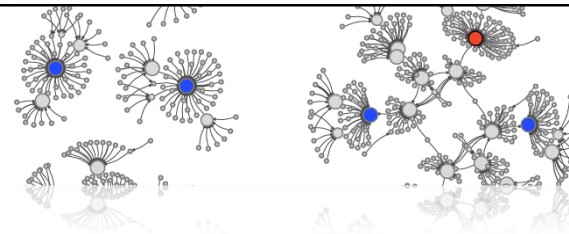
### ❖ Closing

#### Practical Sessions

- Sampling
- Visualisation
- Metrics

#### Download Materials

[www.cs.stir.ac.uk/~goc/gecco2018tutorial](http://www.cs.stir.ac.uk/~goc/gecco2018tutorial)



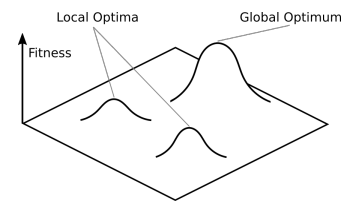
- Overall goal
- Fitness landscapes
- The notion of funnels
- Complex networks

## MOTIVATION AND BACKGROUND

## Overall goal

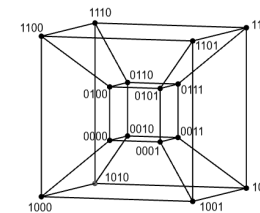
- ❖ To develop and establish a set of **sampling** methodologies, **visualisation** techniques and **metrics** to thoroughly characterise the **global structure** of computational search spaces.
- ❖ To lay the foundations for a new perspective to understand problem structure and improve heuristic search algorithms:  
*Search Space Cartography.*

## Fitness landscapes



$$(S, N, f)$$

$S$  Search space  
 $N$  Neighbourhood structure  
 $f$  Fitness function



## Features of landscapes

### Multimodality, ruggedness, deceptiveness & neutrality

- No. of local optima
- Avg. size of local basins
- Avg. size of global basin
- Fitness-distance correlation
- Auto-correlation length
- Neutral degree
- ...

## The big-valley structure in combinatorial optimisation

- ❖ Several studies in the 90s. **TSP** (Boese et al, 1994), **NK landscapes** (Kauffman, 1993), **graph bipartitioning** (Merz & Freisleben, 1998) **flowshop scheduling** (Reeves, 1999)
- ❖ Distribution of local optima is not uniform. Clustered in a **big-valley** (*globally convex*) structure
- ❖ Many local optima, but easy to escape. Gradient at the coarse level leads to the global optimum.

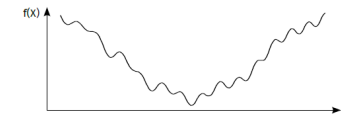
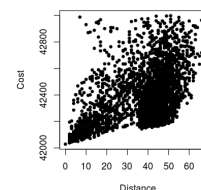


Fig. 1: Depiction of the 'big-valley' structure.

**TSP: big-valley.** Local optima confined to a small region

## What is a Funnel?

“A key concept that has arisen within the protein folding community is that of a *funnel* consisting of a set of downhill pathways that converge on a single low-energy minimum.”

Doye, J. P. K., Miller, M. A., & Wales, D. J. . The double-funnel energy landscape of the 38-atom Lennard-Jones cluster. *Journal of Chemical Physics*, 1999

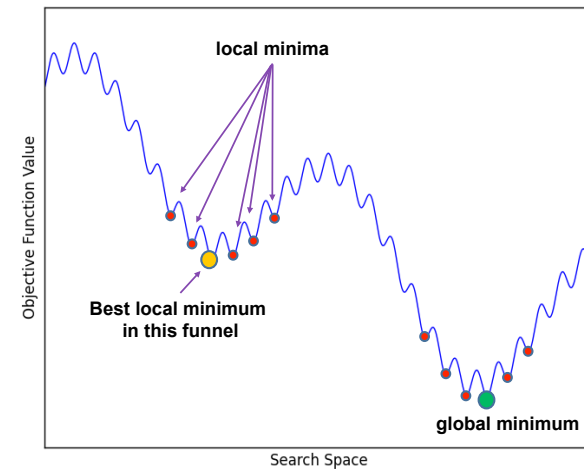
### Funnels in continuous optimisation

- Multilevel global structure (Locatelli, 2005)
- *Dispersion* metric (Lunacek & Whitley, 2006, 2008)
- Feature-based detection of (single) funnel structure (Kerschke et al., 2015)

### Funnels in combinatorial optimisation

- Related to the big-valley (central-massif) hypothesis (previous slide)
- The big-valley re-visited (Hains, Whitley & Howe, 2011)
- Characterisation of funnels with Local Optima Networks (our contribution)

## What is a Funnel?



## Complex networks are everywhere!

“Behind each complex system, there is an intricate network that encodes the interactions between the system’s components.”

Albert-László Barabási, Network Science

## Features of networks

### Distance

- Number of links that make up the path between two points
- “Geodesic” = shortest path

### Topology (Degree distribution)

- Gives an idea of the spread in the number of links the nodes have
- $p(k)$  is the probability that a randomly selected node has  $k$  links

### Cohesion

- Local: clustering coefficient or transitivity
- Global: components, community structure

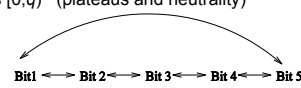
## NK landscapes (Kauffman, 93), NKq (Newman, 98)

- ❖ Binary strings of length  $N$
- ❖ Fitness function  $f: B^N \rightarrow R^+$
- ❖  $K$  ( $0 \leq K < N$ ) determines how many other bits in the string influence a given bit  $x_i$
- ❖ Interacting bits can be **Adjacent** or **Random**
- ❖ Fitness contribution of each bit is:
  - **Standard NK model**: random real numbers  $[0, 1]$
  - **Quantized NKq model**: integer numbers  $[0, q]$  (plateaus and neutrality)

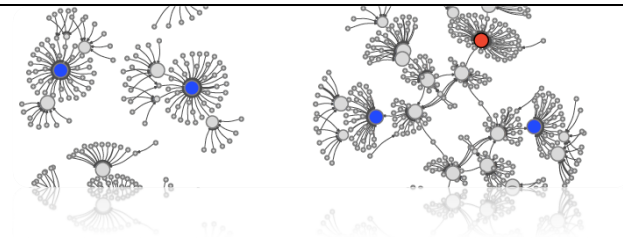
$$f(x) = \sum_{i=1}^N f_i(x|_{mask_i})$$

Sum of sub-functions.

$mask_i$ : selects the  $K+1$  bits that will be accessed by sub-function  $f_i$



$N=5$ ,  $K=2$ , Adjacent interaction



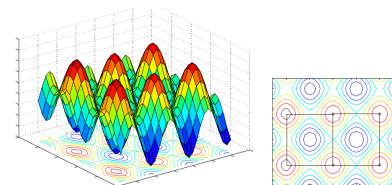
- Overview
- Definition of Nodes
- Definition of Edges: basin, escape, monotonic, crossover
- Visualisation & Metrics

## LOCAL OPTIMA NETWORKS

## Overview

- ❖ Bring the tools of **complex networks** analysis to study the structure of combinatorial fitness landscapes
- ❖ **Goal**. Understand problem difficulty, design effective heuristic search algorithms
- ❖ **Methodology**. Extract a network that represents the landscape
  - **Nodes**. Local optima
  - **Edges**. Notion of adjacency/transition among local optima
- ❖ Conduct a network analysis
- ❖ Relate network features to search difficulty
- ❖ Exploit knowledge to design better algorithms

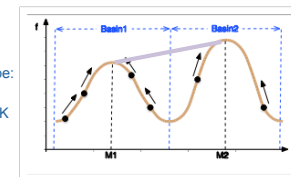
## Local Optima Networks (LONs)



2D function landscape (left), and a contour plot of the local optima partition of space into basins of attraction (right). A simple regular network of six local maxima can be observed.

- **Nodes**. Local optima according to a hill-climbing heuristic
- **Edges**. Adjacency of basins. Transitions among optima.

- P. K. Doye. *The network topology of a potential energy landscape: a static scale-free network*. *Physical Review Letter*, 2002.
- G. Ochoa, M. Tomassini, S. Verel, and C. Darabos. *A study of NK landscapes' basins and local optima networks*. *GECCO 2008*



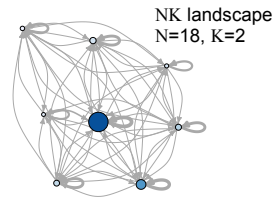
## LON original model

- ❖ Space  $S$ , Neighborhood  $N(s)$ , fitness  $f(s)$
- ❖ **LON Model**. Directed graph  $LON = (L, E)$
- ❖  $h(s)$  stochastic operator that associates each solution  $s$  to its local optimum (Alg. 1)
- ❖ The **basin of attraction** of a local optimum  $l_i \in L$  is the set  $B_i = \{s \in S \mid h(s) = l_i\}$
- ❖ **Nodes ( $L$ )**. A local optima is a solution  $l$  such that  $\forall s \in N(s), f(s) \leq f(l)$
- ❖ **Basin Edges ( $E$ )**. Two local optima are connected if their basins of attraction intersect. At least on solution within a basin has a neighbour within the other basin.

**Algorithm 1: Best-improvement local search**

```

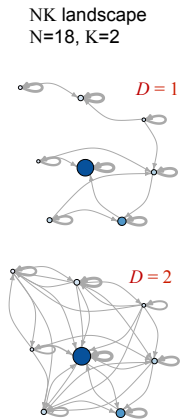
Choose initial solution  $s \in S$ 
repeat
  choose  $s' \in N(s)$ ,  $f(s') = \max_{s' \in N(s)} f(s')$ 
  if  $f(s) \leq f(s')$  then
     $s \leftarrow s'$ 
  end if
until  $s$  is a Local optimum
    
```



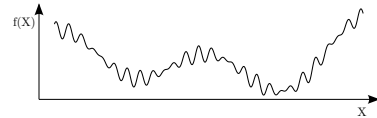
$w_{ij}$  proportion of transitions from solutions  $s \in B_i$  to solutions  $s' \in B_j$

## Escape edges

- ❖ Account for the chances of escaping a local optimum after a controlled mutation (e.g. 1 or 2 bit-flips in binary space) followed by hill-climbing
- ❖ Given a distance function  $d$  and integer value  $D$ , there is an edge  $e_{ij}$  between  $l_i$  and  $l_j$  if a solution  $s$  exists such that  $d(s, l_i) \leq D$  and  $h(s) = l_j$
- ❖  $w_{ij}$  cardinality of  $\{s \in S \mid d(s, l_i) \leq D \text{ and } h(s) = l_j\}$
- ❖ **Sampled networks**. There is an edge  $e_{ij}$  between  $l_i$  and  $l_j$  if  $l_j$  can be obtained after applying a **perturbation** to  $l_i$  followed by hill-climbing. Weights are estimated by the sampling process.



## Characterisation of funnels



- ❖ Funnels can be loosely defined as groups of local optima, which are close in configuration space within a group, but well-separated between groups.
- ❖ A funnel conforms a coarse-grained gradient towards a low cost optimum.
- ❖ How to characterise funnels more rigorously using LONs?
  - **Connected components**. Funnels are sub-graphs, connected components within LONs. (EvoCOP, 2016)
  - **Communities**. Funnels are *communities* within LONs. (GECCO, 2016, 2017)
  - **Monotonic sequences**. Concept from energy landscapes. Conceptually sound characterisation, incorporating both grouping and coarse-grained gradient. (EvoCOP 2017, 2018; JoH 2017)

## Characterisation of funnels with LONs

- ❖ **Monotonic edges**. Keep only non-deteriorating edges  $l_1 \rightarrow l_2$ , if  $f(l_2) \leq f(l_1)$
- ❖ **Monotonic sequence**. Path of connected local optima  $l_1 \rightarrow l_2 \rightarrow l_3 \dots \rightarrow l_s$ ,  $f(l_i) \leq f(l_{i-1})$
- ❖ **Sink**. Natural end of the sequence, when there is no adjacent improving local optima
- ❖ **Funnel**. Aggregation of all monotonic sequences ending at the same point (**sink**). Basin of attraction level of local optima

```

i ← 0
for s ∈ S do
  fbasin[i] ← breadthFirstSearch(LON, s)
  fbsize[i] ← length(fbasin[i])
  i ← i + 1
end
    
```

$S$  set of sinks



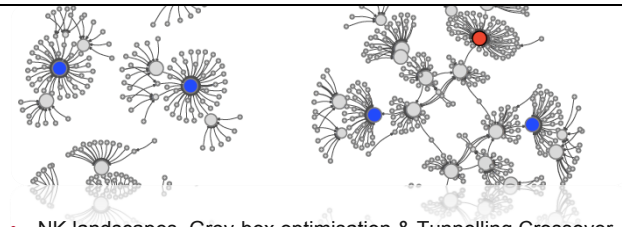
## Complex network tools

### Visualisation

- ❖ Force directed layout
  - Position nodes in 2D
  - Edges of similar length
  - Minimise crossings
  - Exhibit symmetries
- ❖ Example algorithms
  - Fruchterman & Reingold
  - Kamada & Kawai
- ❖ Software packages
  - R igraph
  - Gephi

### Metrics

- ❖ Network metrics
  - Number of nodes
  - Number of edges (density)
  - Number of global optima
  - Weight of self-loops
  - Avg. fitness of local optima
  - Number of connected components
  - Avg. path length to a global optimum
  - Centrality (PageRank) of global optima
  - Clustering coefficient
- ❖ Funnel metrics
  - Number of funnels (sinks)
  - Normalised size of global funnel(s)
  - Normalised incoming strength (weighted degree) of global sink(s)

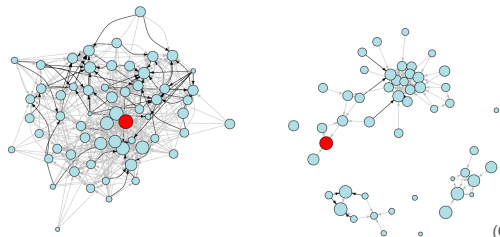


- NK landscapes, Grey-box optimisation & Tunnelling Crossover
- Number partitioning phase transition & multiple funnels
- TSP and multiple funnels
- Exploiting knowledge of the global structure
- Genetic improvement landscapes

## CASE STUDIES

## Crossover network model (XLON)

- ❖ Partition Crossover (PX), deterministic and greedy
- ❖ NKq landscapes  $q=100$ ;  $K=\{2, 3\}$  and  $N=\{20, 25, 30\}$
- ❖ Fast extraction of all local optima using Grey-box optimisation (k-bounded additive functions).



Example XLON:

- $N = 20$ ,  $K = 2$
- $2^{20} = 1,048,576$
- **Adjacent:** 60 nodes, 1 component
- **Random:** 50 nodes, 7 components

(Ochoa, Chicano, Tinos, Whitley. GECCO 2015)

## XLON

### Definition

Graph  $(V, E_{PX})$  where nodes are local optima and edges link parents to offspring via partition crossover

### Construction

**Output:**  $V$  (set of local optima)

```

1:  $V \leftarrow \emptyset$ 
2: for  $x \in \mathbb{B}^n$  do
3:   if  $S_i(x) \leq 0$  for all  $1 \leq i \leq n$  then
4:      $V \leftarrow V \cup \{x\}$ 
5:   end if
6: end for
    
```

**Input:**  $V$   
**Output:**  $XLON = G(V, E_{PX})$

```

1: for  $\{x, y\} \subseteq V$  do {All pairs of local optima}
2:    $w \leftarrow \text{PartitionCrossover}(x, y)$ 
3:    $z \leftarrow \text{HillClimber}(w)$ 
4:   if  $z \neq x$  and  $z \neq y$  then
5:      $E_{PX} \leftarrow E_{PX} \cup \{(x, z), (y, z)\}$ 
6:   end if
7: end for
    
```

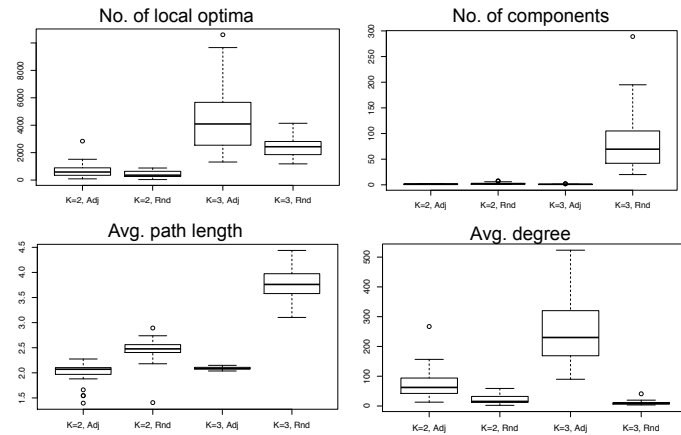
### 1. Local optima identification

- **Score**  $S_i(x)$  is the change in fitness from  $x$  to solution flipping bit  $i$
- $x$  is a local optimum if all  $S_i(x)$  are lower than or equal to zero
- Efficient incremental calculation of **Score**. Overall complexity  $O(2^N)$

### 2. Network construction

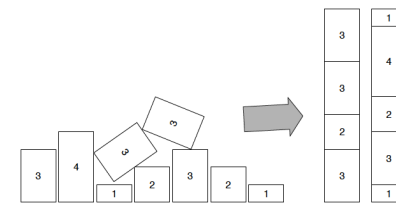
- All  $x, y$  pairs  $nv*(nv-1)/2$
- PX and fast deterministic HC
- If  $z$  different to parents, two edges  $(x, z)$  and  $(y, z)$  are added to the network

## Results $N = 30, q=100, 30$ replicas



## Number Partitioning (NPP)

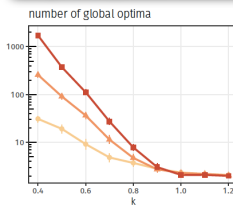
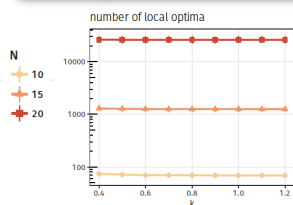
- Given a set of  $n$  positive integers  $A = \{a_1, a_2, \dots, a_n\}$ , drawn at random from the set  $\{1, 2, \dots, M\}$ , find a disjoint partition  $(S_1, S_2)$  of  $A$  such that the discrepancy  $D$  between their sums is minimised
- A partition is perfect if  $D = 0$ , where  $D = |\sum_{S_1} a_i - \sum_{S_2} a_i|$
- Easy-hard phase transition,  $k = \log_2(M)/n$



## NPP fitness landscape

What features of the fitness landscape are responsible for the widely different behaviours?

Most fitness landscape metrics are insensitive/oblivious to the easy/hard phase transition!

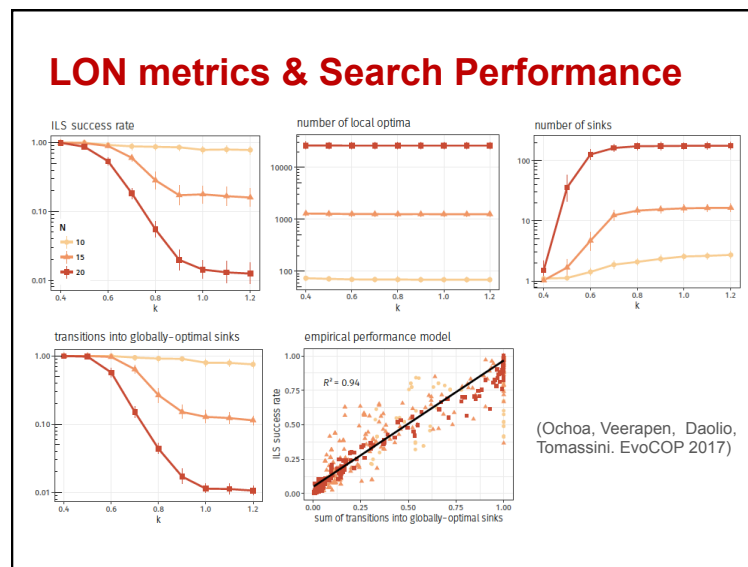
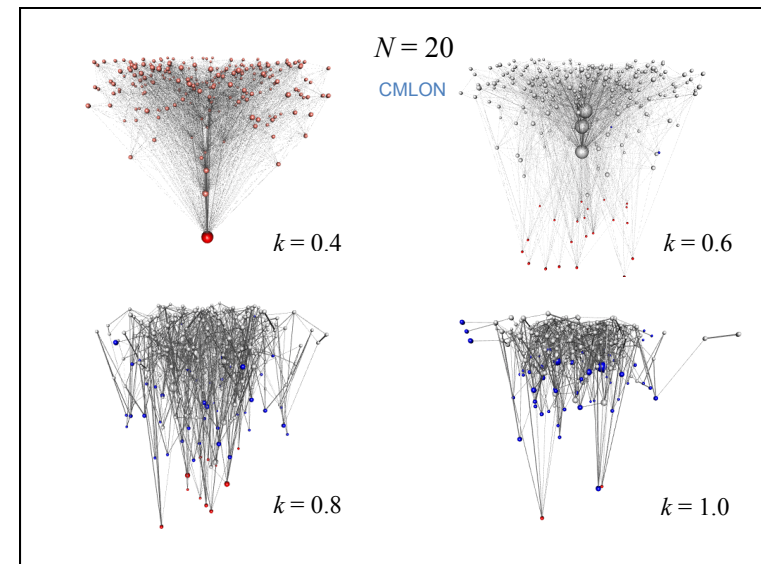
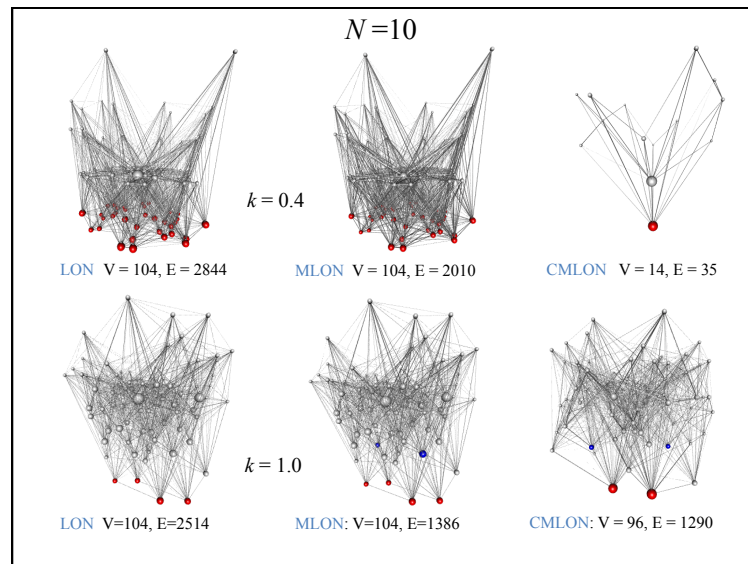


- Stadler, P., Hordijk, W., & Fontanari, J. (2003). Phase transition and landscape statistics of the number partitioning problem. *Physical Review E*
- K. Alyahya, J. Rowe (2014). Phase Transition and Landscape Properties of the Number Partitioning Problem. *EvoCOP*.

## Methodology

- Full enumeration and extraction of LONs
- $N = \{10, 15, 20\}$ ,  $k$  in  $[0.4, 1.2]$  step 0.1
- 30 instances for each  $N$  and  $k$
- LON**. 1-flip local search, 2-flip perturbation ( $D = 2$ )
- MLON**. Monotonic LON, worsening edges pruned
- CMLON**. compressed MLON, LON plateaus contracted in a single node
- Empirical search performance: ILS success rate





## Travelling Salesman Problem (TSP)

- ❖ A prominent combinatorial optimisation problem
- ❖ Given  $n$  cities and the pairwise distance between them: what is the shortest possible route that visits each city and returns to the origin city?
- ❖ After over 50 years of intense study maintains its theoretical and practical relevance
- ❖ Successful exact solver: **Concorde** (Applegate et al., 2006)
- ❖ Successful heuristic solvers
  - **Chained-LK**. Iterated local search using Lin-Kernighan heuristic and *double-bridge* perturbation (Martin, Otto, Felten, 1992)
  - **LKH**. Improved implementation of Lin-Kernighan heuristic (Helsgaun, 2000, 2009)
  - **EAX**. Evolutionary algorithm with edge exchange crossover (Nagata and Kobayashi, 2013)



## LON definition and sampling

**Data:**  $I$ , TSP instance

**Result:**  $L$ , set of local optima,  
 $E$ , set of escape edges

$L \leftarrow \{\}; E \leftarrow \{\}$

for  $i \leftarrow 1$  to 1000 do

$s_{start} \leftarrow \text{initialSolution}()$

$s_{start} \leftarrow \text{LK}(s_{start})$

$L \leftarrow L \cup \{s_{start}\}$

    while  $j < 10000$  do

$s_{end} \leftarrow \text{applyKick}(s_{start})$

$s_{end} \leftarrow \text{LK}(s_{end})$

$j \leftarrow j + 1$

        if  $\text{Objective}(s_{end}) \leq \text{Objective}(s_{start})$  then

$L \leftarrow L \cup \{s_{end}\}$

$E \leftarrow E \cup \{(s_{start}, s_{end})\}$

$s_{start} \leftarrow s_{end}$

$j \leftarrow 0$

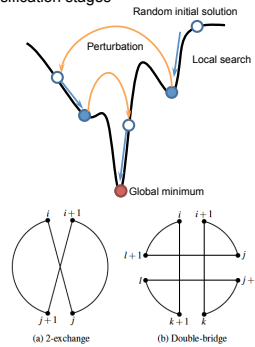
    end

end

### Chained Lin-Kernighan

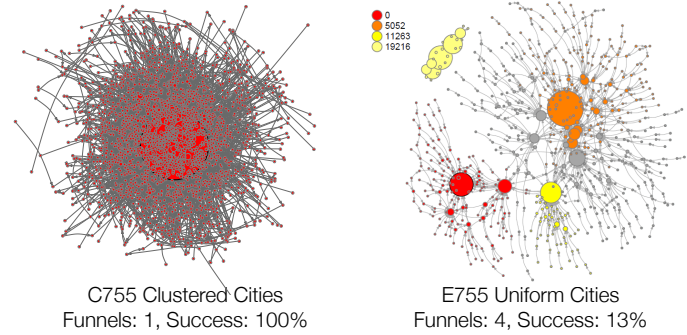
(Martin, Otto, Felten, 1992)

- Form of Iterated Local Search
- Diversification & Intensification stages



- **Nodes.** Lin-Kernighan
- **Edges.** Double-bridge

## TSP Synthetic Instances



### DIMACS random instances

(Ochoa & Veerapen, JoH 2017)

## TSP Synthetic Instances



### DIMACS random instances

Same layout, 3D projection where  $z$  coordinate is fitness

## TSPLIB City Instance att532



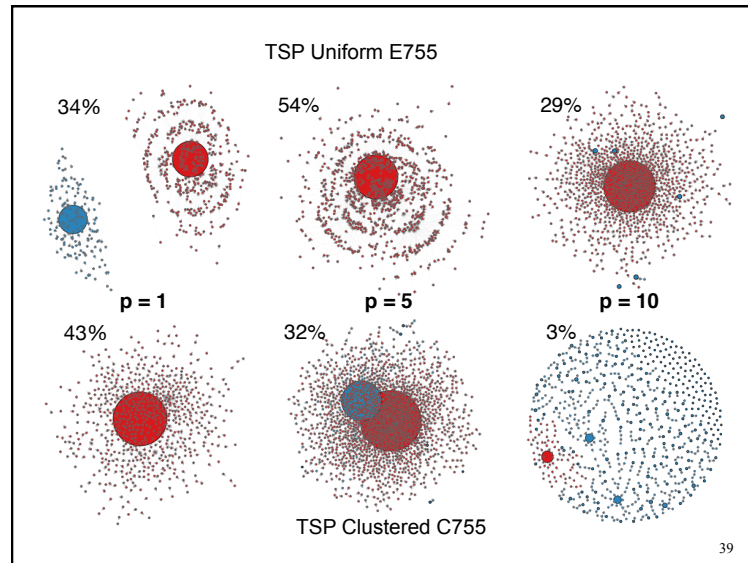
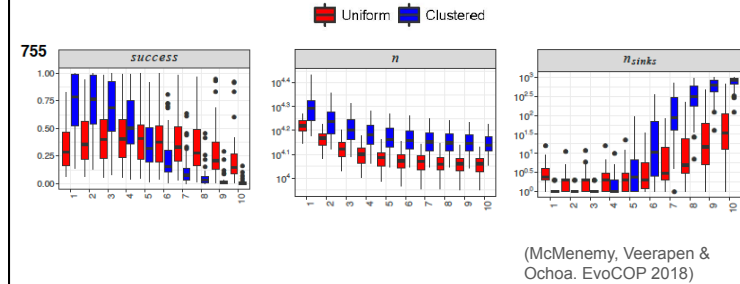
2D layout and 3D projection where  $z$  coordinate is fitness

## Exploiting knowledge of the global structure

- ❖ Instances of several combinatorial optimisation problems have a multi-funnel structure
- ❖ Sub-optimal funnels act as traps to the search process
- ❖ Can we devise mechanisms for escaping sub-optimal funnels?
  - Restarts
  - Stronger perturbation in ILS implementations
  - Crossover

## Increasing perturbation strength

- Chained-LK, Perturbation: 1 to 10 double-bridge kicks
- TSP synthetic instances DIMACS: Uniform & Clustered
- Sizes 506, 755, 1010

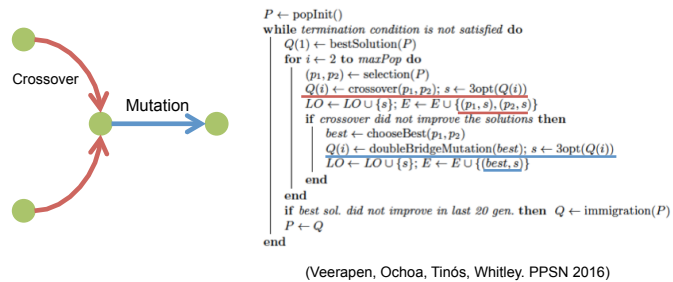


## Other types of edges

- ❖ The LON model is not restricted to basin transition edges or escape edges.
- ❖ The model can also accommodate more than one type of edge.
- ❖ One example are LONs for Hybrid Evolutionary Algorithms.

## LONs for Hybrid EAs

- ❖ Consider a Hybrid EA which incorporates a local search component to generate local optima.
- ❖ Two types of edges
  - Crossover (followed by local search)
  - Mutation (followed by local search)

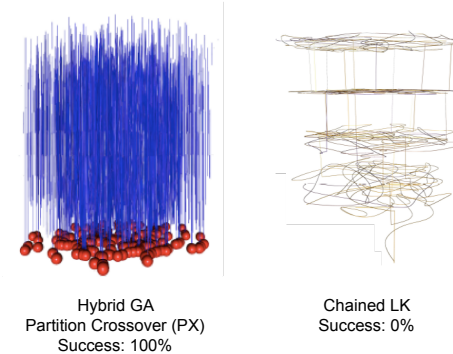


## Contrasting LONs from two solving methods

- ❖ Hybrid GA vs ILS

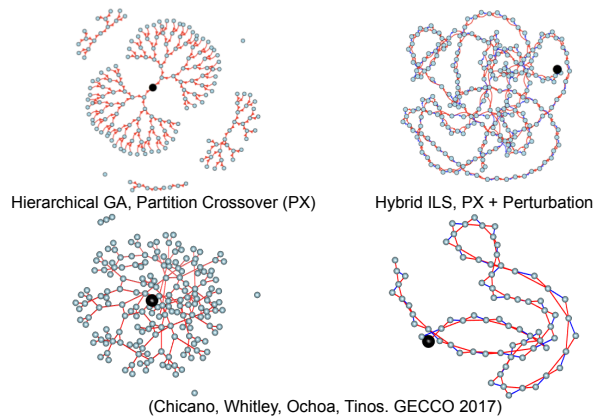
Asymmetric TSP  
Instance rbg323 LONs

Only edges and global optima are plotted.



## Contrasting LONs from two solving methods

- ❖ Grey-box hybrid EA, 1 million variables NK



## Genetic Improvement of Software

- ❖ **Genetic improvement (GI)** uses automated search to find improved versions of existing software
- ❖ GI is different from Genetic Programming since it modifies existing code
- ❖ It is not necessary to use Genetic Programming
- ❖ Other methods such as Genetic Algorithms may be used
- ❖ Local Search is used in this case study

## Program Search Test Bench

- ❖ Introduce random mutations to a bug free-program
- ❖ Try to recover a version passing all test cases (Competent programmer hypothesis, DeMillo et al., 1978)
- ❖ Mutations restricted to Comparison (<, <=, ==, !=, >=, >) and Boolean operators (&&, ||)
- ❖ Objective function: Minimise number of failed test cases

Input 1	Input 2	Input 3	Expected Output	Output	Failed
1	1	2	3	3	FALSE
1	2	1	3	4	TRUE
1	2	2	1	1	FALSE

## Program Search Test Bench

- ❖ Mutations of comparison operators (<, <=, ==, !=, >=, >)
- ❖ Mutations of Boolean operators (&&, ||)
- ❖ Representation: vector of integers

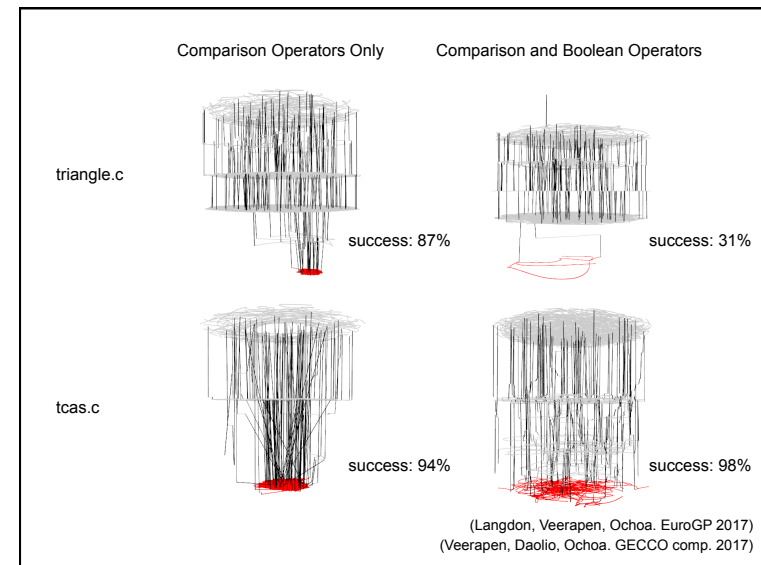
2 2 0 4 6 7

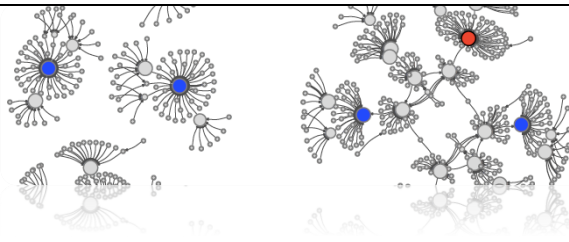
```
if ( side1 == side2 ) {
    triang = triang + 1 ;
}
if ( side1 == side3 ) {
    triang = triang + 2 ;
}
```

## Program Search Test Bench

- ❖ Program and search space characteristics

	triangle.c	tcas.c
Lines of code	40	135
Number of comparison operators	17	14
Number of Boolean operators	7	16
Number of input parameters	3	12
Number of output values	1	3
Number of test cases	14	1578
Size of search space with comparison operators only	$1.69 \times 10^{13}$	$7.84 \times 10^{10}$
Size of search space with comparison and Boolean operators	$2.17 \times 10^{15}$	$5.14 \times 10^{15}$





- More accessible (visual) approach to heuristic understanding
- Rigorous characterisation of funnels
- Global structure impacts search
- New code available to assist researchers

## CLOSING

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