

Evolutionary Reinforcement Learning: General Models and Adaptation

Danilo Vasconcellos Vargas Kyushu University Japan

vargas@inf.kyushu-u.ac.jp http://itslab.csce.kyushu-u.ac.jp/~vargas/ index.php

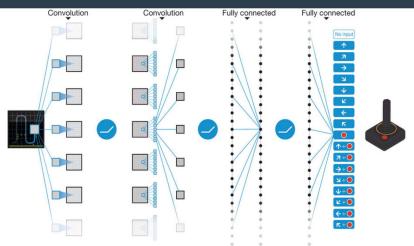
GECCO '18 Companion, July 15-19, 2018, Kyoto,

© 2018 Copyright is held by the owner/author(s)

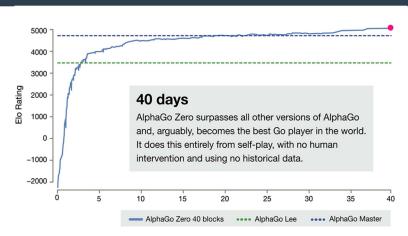
Why Reinforcement Learning?

Alpha Go Zero

Reinforcement Learning – Atari Games



Mnih, V., Kavukcuoglu, K., Silver, D., Rusu, A. A., Veness, J., Bellemare, M. G., ... & Petersen, S. (2015). Human-level control through deep reinforcement learning. Nature, 518(7540), 529-533.



Silver, D., Schrittwieser, J., Simonyan, K., Antonoglou, I., Huang, A., Guez, A., ... & Chen, Y. (2017). Mastering the game of go without human knowledge. Nature, 550(7676), 354.

3

1

Global Search (Diversity + Population of Solutions)

Why Evolutionary Reinforcement **Learning?**

© Randy Olson

5

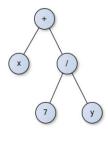
Flexible Design

Looking deeper...

Value Encoding (Array of Real, Binary or Mixed Types)

1.7 6.3 2.5 5.5

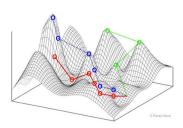
Tree Encoding



no obstacle in front

move forward while obstacle in front

Global Search



Flexible Design

Value Encoding (Array of Real, Binary or Mixed Types)

1.7 6.3 2.5 5.5

0 1 1 0 0 6.3 1 5.5

Tree Encoding



x + 7/y

x + 7/y

Global Search (Diversity + Population of Solutions)

Classical Methods 1: Fitness Sharing

$$f_i' = \frac{f_i}{m_i}$$

- New fitness (f') is equal its fitness (f) divided by the number of individuals with similar fitness in the population (m)
 - Requirement: A <u>measure of similarity</u> needs to be defined together with a <u>threshold</u> to define which individuals are close to each one (m)
 - Problem: If a niche grows, all its members have their fitness decreased which can impact evolution negatively

Sareni, B., & Krahenbuhl, L. (1998). Fitness sharing and niching methods revisited. IEEE transactions on Evolutionary Computation, 2(3), 97-106.

10

Classical Methods 2: Crowding Methods

New individuals in the population competes with S

Requirement: Needs a <u>measure of similarity</u> between individuals.

(and possibly substitute) similar individuals.

Classical Methods 3: Clearing

- Similar to fitness sharing however it preserves the fitness of the best members in each subpopulation (dominant individuals).
 - Problem: niche radius (how close individuals should be to be from the same niche) is hard to estimate.

Another Problem: Curse of Dimensionality

Spectrum-Diversity (General Distance based on DNA's histogram)

• Distance measures have little use for high dimensional chromosomes.



Spectrum-Diversity

Spectrum-Diversity (Summary)

- Similarities to crowding: An individual compete with another individual.
- Difference from crowding: Instead of competing with the most similar individual it <u>competes with the best</u> individual of its niche.
- Similarities to clearing: The best individuals in each niche are preserved.
- Differences to clearing: Niches are automatically defined by using a novelty measure which <u>automatically adapts</u> <u>its niche radius</u> to the population diversity.

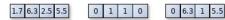
 In other words, it is clearing without the need of defining a niche radius and with a pre-made distance measure for high dimensional chromosome.

Vargas, D. V., & Murata, J. (2017). Spectrum-diverse neuroevolution with unified neural models. IEEE transactions on neural networks and learning systems, 28(8), 1759-1773.

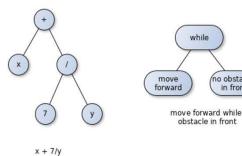
Flexible Design

Example: Hoare logic-based Genetic Programming

Value Encoding (Array of Real, Binary or Mixed Types)



Tree Encoding



 $\{P\}skip\{P\}$ Skip statement: $\{P[t/x]\}x := t\{P\}$ Assignment: $\frac{\{P \wedge e\}S_1\{Q\}, \{P \wedge \neg e\}S_2\{Q\}}{\{P\} \ \text{if} \ e \ \text{then} \ S_1 \ \text{else} \ S_2\{Q\}}$ If-statement: $\frac{\{P \wedge e\}S\{P\}}{\{P\} \text{ while } e \text{ do } S\{P \wedge \neg e\}}$ Repetition: ${P}S_1{R}, {R}S_2{Q}$ Composition: $\{P\}S_1; S_2\{Q\}$ $P \to P_1, \{P_1\}S\{Q_1\}, Q_1 \to Q$ Rewriting:

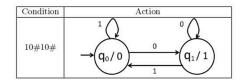
He, P., Kang, L., Johnson, C. G., & Ying, S. (2011). Hoare logic-based genetic programming. Science China Information Sciences, 54(3), 623-

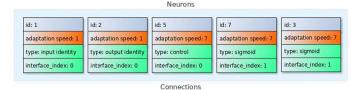
no obstacle

in front

Example: Conditional Rule + Cyclic Graphs

Example: Unified Neural Model





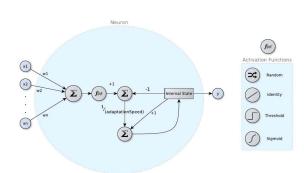
from neuron id: 1 from neuron id: 1 from neuron id: 3 from neuron id: 2 to neuron id: 1 to neuron id: 3 to neuron id: 2 to neuron id: 7 weight: 1 weight: -1 weight: 1 weight :-1 neuro modulation: neuro modulation: neuro modulation

from neuron id: 7 from neuron id: 5 to neuron id: 2 to neuron id: 7 weight: 1 weight: 1 neuro modulation neuro modulation

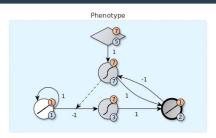
Vargas, D.V., & Murata, J. (2017). Spectrum-diverse neuroevolution with unified neural models. IEEE transactions on neural networks and learning systems, 28(8), 1759-

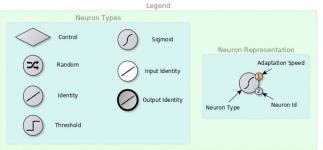
Example: Unified Neural Model

Example: Unified Neural Model



$$a = f(\sum_{i=1}^{n}(w_i x_i))$$
 $Ins_t = Ins_{t-1} + rac{1}{adaptationSpeed}(a - Ins_{t-1})$ $y = Ins_t$





How to make an Evolutionary Reinforcement Learning Method?

Approaches...

23

Basic Idea: Divide & Conquer

Learning Classifier Systems





Kevin Hunte

25

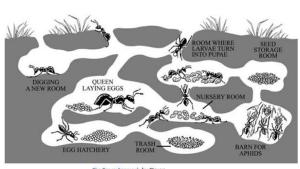
Basic Idea: Divide & Conquer

Breaking the Problem





Kevin Hunte r @youtube



The Team Approach by Steven

Unity Strength Dividing the problem

Specialization or Heterogeneous Agents (Polymorphism in Ants)

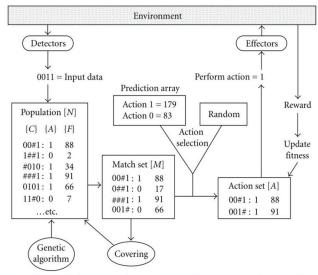


Caste morphology, used under the GNU Free Documentation License version 1.3

"Correlated to specific tasks within the colony. These include small workers that undertake garden management and brood care, medium workers that forage leaves, large workers that can serve as soldiers, and winged sexuals that lose their wings after mating."

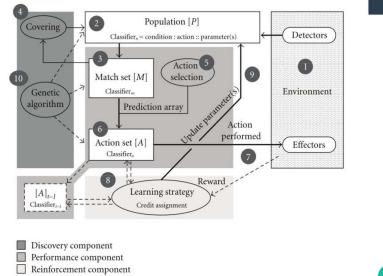
Suen, G., Teiling, C., Li, L., Holt, C., Abouheif, E., Bornberg-Bauer, E., ... & Denas, O. (2011). The genome sequence of the leaf-cutter ant Atta cephalotes reveals insights into its obligate symbiotic lifestyle. PLoS Genetics, 7(2), e1002007.

Learning Classifier Systems (Main Idea)



Urbanowicz, R. J., & Moore, J. H. (2009). Learning classifier systems: a complete introduction, review, and roadmap. Journal of Artificial Evolution and Applications 2009, 1.

Learning Classifier Systems (Main Idea)

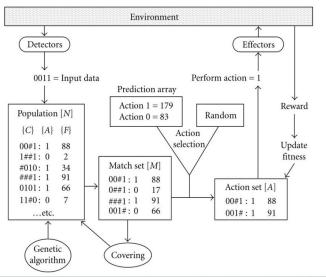


How to get continuous states and actions? (same problem as standard Q-learning) 30

32

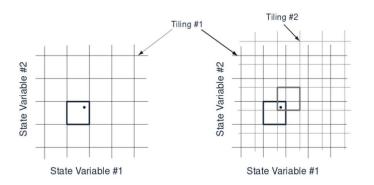
owicz, R. J., & Moore, J. H. (2009). Learning classifier systems: a complete introduction, review, and roadmap. Journal of Artificial Evolution and Applications,

Learning Classifier Systems (Main Idea)



Urbanowicz, R. J., & Moore, J. H. (2009). Learning classifier systems: a complete introduction, review, and roadmap. Journal of Artificial Evolution and Application 2009, L.

Tile Coding (1996) - higher precision with binary coding



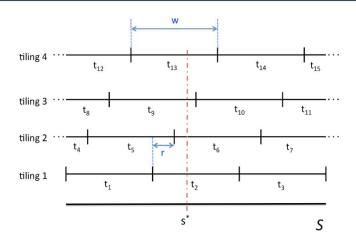
Sherstov, A. A., & Stone, P. (2005, July). Function approximation via tile coding: Automating parameter choice. In International Symposium on Abstraction, Reformulation, and Approximation (pp. 194-205). Springer, Berlin, Heidelberg.

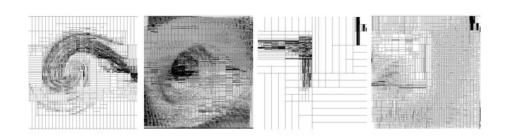
Sutton, R. S. (1996). Generalization in reinforcement learning: Successful examples using sparse coarse coding. In Advances in neural information processing systems (pp. 1038-1046)

34

Increase resolution by increasing tiles

Adaptive Tile-coding (2007)

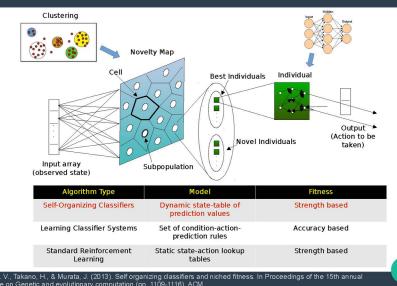




Problems

Self-Organizing Classifiers (2013)

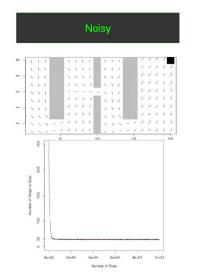
- Learning becoming increasingly slow with the number of tilings (states are visited inequally)
- Trade-off between granulity and value prediction quality. In other words, many tilings approximate better the real function but is harder to learn.

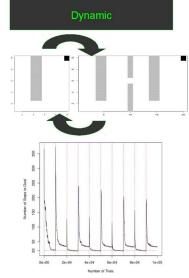


37

Results: Maze

One of the few methods that solve noisy mazes and the only one capable of solving dynamic mazes.





Vargas, D. V., Takano, H., & Murata, J. (2013). Self organizing classifiers: first steps in structured evolutionary machine learning. Evolutionary Intelligence, 6(2), 57-72.

SOM's issues

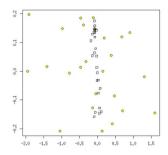
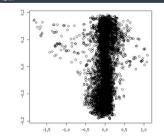


Fig. 2 Final superposed SOM's (squares) and Nmap's (circles) weight array positions after 10⁴ episodes in the pole-balancing problem.



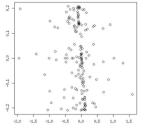
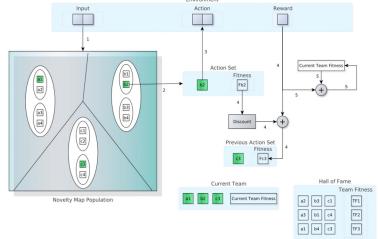


Fig. 1 Accumulated SOM's (above) and Nmap's (below) weight array positions during 10⁴ episodes in the pole-balancing problem.

Novelty-Organizing Team of Classifiers (2015)

Novelty-Organizing Team of Classifiers (2015)

- First approach that joins the <u>value-function</u> and <u>policy search paradigms</u> into one framework.
- Introduces <u>Novelty Map</u>: keep top novel individuals. Avoid problems with high frequency states present in SOM.



rgas, D. V., Takano, H., & Murata, J. (2015). Novelty-organizing team of classifiers in noisy and dynamic environments. In rolutionary Computation (CEC), 2016 IEEE Congress on (pp. 2937-2944). IEEE.

Results: Mountain Car

Noisy

Dynamic

Noisy

Dynamic

Vargas, D. V., Takano, H., & Murata, J. (2015). Novelty-organizing team of classifiers in noisy and dynamic environments. In Evolutionary Computation (CEC), 2015 IEEE Congress on (pp. 2937-2944). IEEE.

Vargas, D. V., Takano, H., & Murata, J. (2015). Novelty-organizing team of classifiers in noisy and dynamic environments. In Evolutionary Computation (CEC), 2015 IEEE Congress on (pp. 2937-2944). IEEE.

Neuroevolution

Neuroevolution

Fixed Topology (1989)

GNARL (1994)

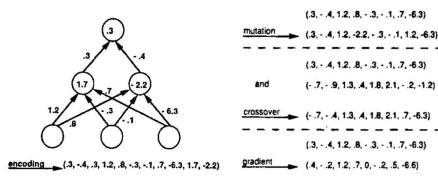


Figure 1: Encoding a Network on a Chromosome

Figure 2: Operation of the Operators

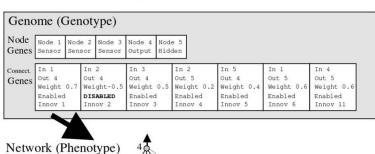
- One of the first neuroevolution methods that evolves both the topology and the weights of the network.
- Departs from GA and gets close to evolutionary programming or GP.
- · Removes crossover.
- · Allow for recurrency to occur.

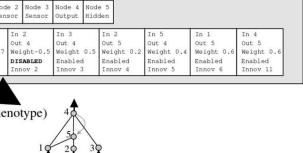
Montana, D. J., & Davis, L. (1989, August). Training Feedforward Neural Networks Using Genetic Algorithms. In IJCAI (Vol. 89, pp. 762-

45

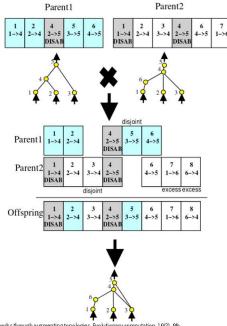
NEAT (2002)

- Start from a network without hidden nodes.
- Mutation can only increase nodes





Crossover



Stanley, K. O., & Miikkulainen, R. (2002). Evolving neural networks through augmenting topologies. Evolution

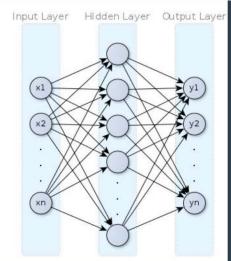
Crossover: to be or not to be?

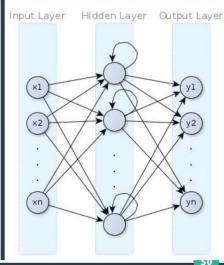
- Crossover is a complex problem-dependent procedure which is often similar to a higher mutation rate.
- Removing crossover making them only slightly slower in the problem tested.

Method	Evaluations	Failure Rate
No-Growth NEAT (Fixed-Topologies)	30,239	80%
Non-speciated NEAT	25,600	25%
Initial Random NEAT	23,033	5%
Nonmating NEAT	5,557	0
Full NEAT	3,600	0

Stanley, K. O., & Milkkulainen, R. (2002). Evolving neural networks through augmenting topologies. Evolutionary computation, 10(2), 99-

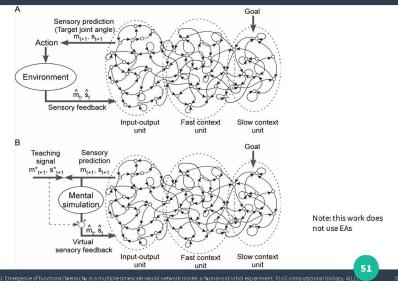
Problems depend on the representation, but the representation was limited to:

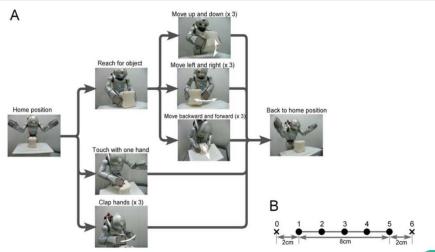




Higher level behavior need slower neurons (2008)

Higher level behavior need slower neurons (2008)

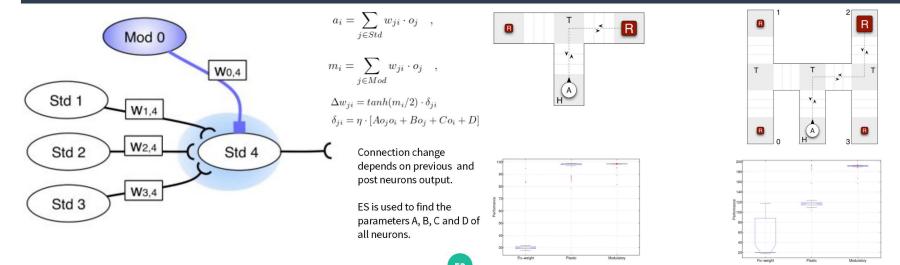




E2

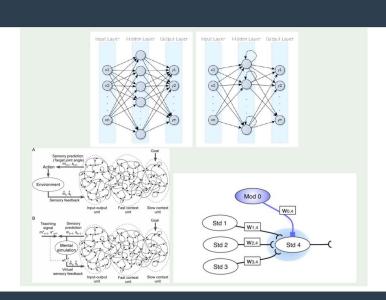
Neuromodulation (2008) adaptation: learning and memory

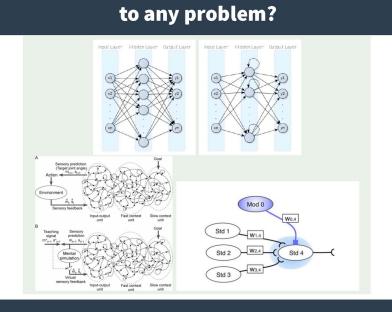
Plastic = all weights change Modularity = weights' change depend on activation



Different Models – Different Applications

How to create a system that can generalize



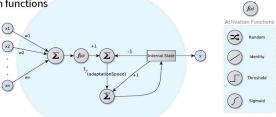


Spectrum-diverse Neuroevolution Algorithm SUNA (2017) - Unified Neural Model

Neuror

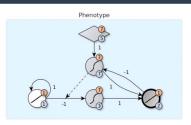
SUNA (2017) - Unified Neural Model

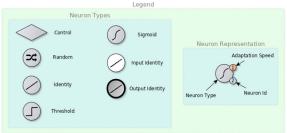
- neurons with different speed
- memory (internal state)
- different activation functions



$$a = f(\sum_{i=1}^{n}(w_{i}x_{i}))$$
 $Ins_{t} = Ins_{t-1} + rac{1}{adaptationSpeed}(a - Ins_{t-1})$ $y = Ins_{t}$

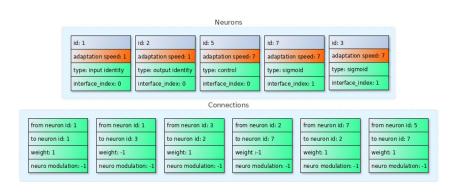
- neuromodulation of connections
- neuromodulation of neurons
- loops allowed
- unbounded input

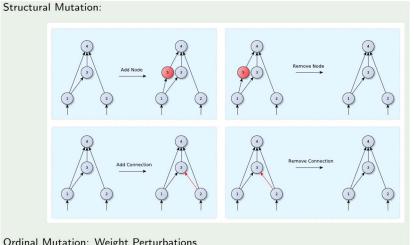




SUNA (2017): Unified Neural Model

SUNA (2017): Evolution

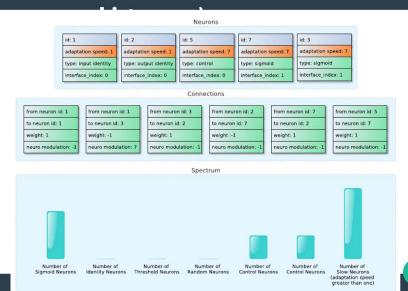




Ordinal Mutation: Weight Perturbations

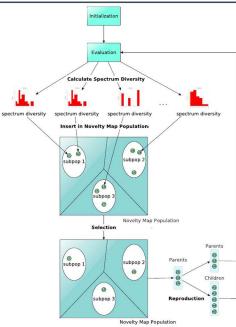
How to evolve such a complex model? **Spectrum-Diversity**

(General Distance based on DNA's



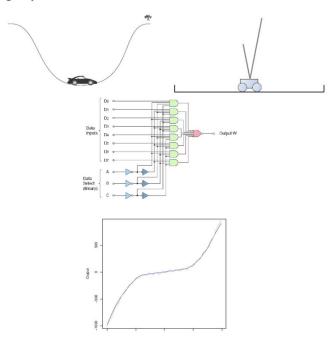


61



Vargas, D. V., & Murata, J. (2017). Spectrum-diverse neuroevolution with unified neural models. IEEE transactions on neural networks and learning systems, 28(8), 1759

Problems Tackled - The Algorithm Can Learn 5 Completely Different Control Problems Without Changing Any Parameters



SUNA – Tackling 5 completely different problems without any preprocessing

Notice that the range of input and output vary from problem to problem. This needed to be treated for NEAT but no preprocessing was done for SUNA.

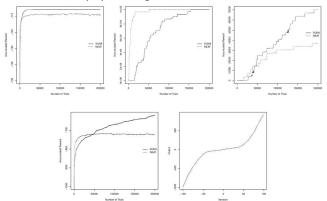


Figure: Results on Mountain Car, Double Pole, Non-Markov Double Pole, Multiplexer and Function

vargas, D. V., & murata, J. (2017). spectrum-diverse neuroevolution with unlined neural models. IEEE transactions on neural networks and learning systems, 20(6), 1759-1773.

Vision Based Reinforcement Learning

SUNA: Are all the neuron types important?

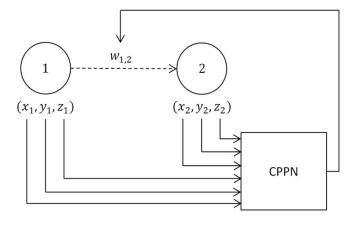
Table: Percentage of improvement (positive) or worsening (negative) of the ablation results in relation to the original one. The problems are Double Pole (DP), Function Approximation (FA), Mountain Car (MA), Multiplexer (MU) and Non Markov Double Pole (NMDP).

Test Type	DP	FA	MC	MU	NMDP
No control neuron	0%	5.87%	0%	-10.55%	15.76%
No linear neuron	0%	-22.38%	0%	-1.76%	11.18%
No neuromodulation	0%	6.92%	0%	-66.17%	7.88%
No random neuron	-6.28%	7.37%	0%	8.26%	-56.54%
No real weights	-3.29%	9.43%	0%	6.16%	-99.85%
No sigmoid neuron	0%	-3.73%	0%	-3.35%	1.97%
No slow neuron	-6.46%	-32.69%	0%	12.61%	-35.94%
No threshold neuron	-3.13%	6.43%	0%	1.56%	11.77%

- Threshold neuron is similar to sigmoid neuron outside of a the [0,1] range. Therefore, removing one makes no difference.
- Control neuron was not usefult, although having an interesting representation power. Are the problems still too easy? This remains as an open question.

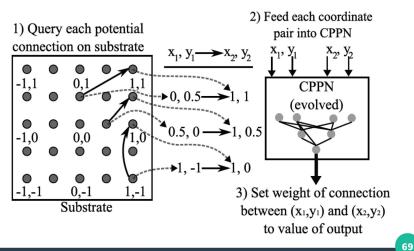
argas, D. V., & Murata, J. (2017). Spectrum-diverse neuroevolution with unified neural models. IEEE transactions on neural networks and learning systems, 28(8), 1759-

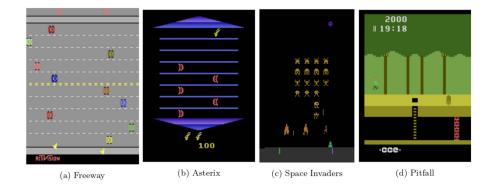
HyperNEAT (2009) – Indirect Encoding with NEAT



Wikipedia: EvilxFish

HyperNEAT – Atari Games (2014)



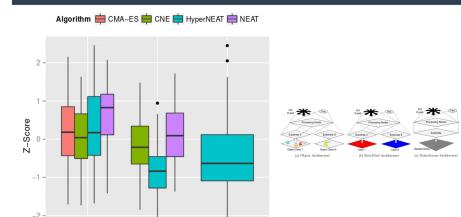


Hausknecht, M., Lehman, J., Mikkulainen, R., & Stone, P. (2014). A neuroevolution approach to general atari game playing. IEEE Transactions on Computational Intelligence and Al Games, 6(4), 355-366.

Stanley, K.O., D'Ambrosio, D.B., & Gauci, J. (2009). A hypercube-based encoding for evolving large-scale neural networks. Artificial life, 15(2), 185-

Visual RNN (2013)

HyperNEAT – Atari Games (2014)



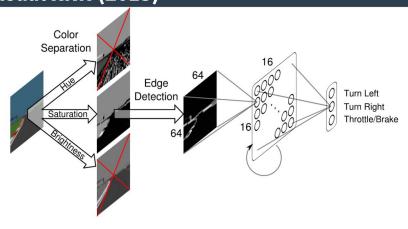


Figure 5: Visual TORCS network controller pipeline. At each time-step a raw 64×64 pixel image, taken from the driver's perspective, is split into three planes (hue, saturation and brightness). The saturation plane is then passed through Robert's edge detector [12] and then fed into the $16\times16=256$ recurrent neurons of the controller network, which then outputs the three driving commands.

Koutník, J., Cuccu, G., Schmidhuber, J., & Gomez, F. (2013, July). Evolving large-scale neural networks for vision-based reinforcement learning. In Proceedings of the 15th annual conference on Genetic and evolutionary computation (pp. 1061-1068). ACM.

Pixel

Object

Representation

Visual RNN

- Indirect encoding + image pre-processing + internal memory (RNNs)
- Huge Network "With this architecture, the networks have a total of 1,115,139 weights, organized into 5 weight matrices. The weights are encoded indirectly by 200 DCT coefficients..."

Unsupervised MPCNN + 33-weight RNN (2014)

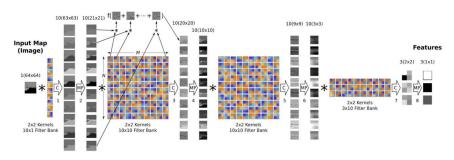


Figure 6: Max-Pooling Convolutional Neural Network (MPCNN) with 8 layers alternating between convolution (C) and downsampling (MP; using max-pooling). The first layer convolves the input 64×64 pixel image with a bank of 10×10 filters producing 10 maps of size 63×63 , that are down-sampled to 21×21 by MP layer 2. Layer 3 convolves each of these 10 maps with a filter, sums the results and passes them through the nonlinear function f, producing 10 maps of 20×20 pixels each, and so on until the input image is transformed to just 3 features that are passed to the RNN controller, see Figure 3.

Koutník, J., Schmidhuber, J., & Gomez, F. (2014, July). Evolving deep unsupervised convolutional networks for vision-based reinforcement learning. In Proceedings of the 2014 Annual Conference on Genetic and Evolutionary Computation (pp. 541-548). ACM.

Do networks need to be big?

74

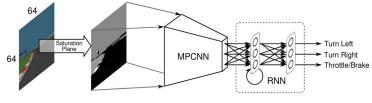


Figure 3: Visual TORCS network controller pipeline. At each time-step a raw 64×64 pixel image, taken from the driver's perspective, is split into three planes (hue, saturation and brightness). The saturation plane is fed into the max-pooling convolutional network (MPCNN), that generates features for the recurrent neural network (RNN) controller, that drives the car by controlling the steering, brakes, and accelerator.

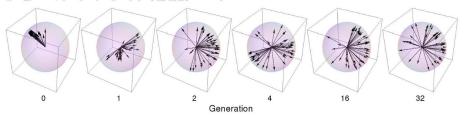
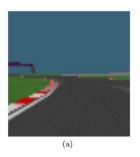


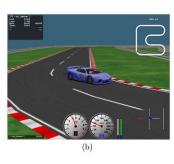
Figure 4: Evolving MPCNN features. Each plot shows the feature vectors for each of the 40 training images on the unit sphere. Initially (generation 0), the features are clustered together. After just a few generations spread out so that the MPCNN discriminates more clearly between the images.

Koutník, J., Schmidhuber, J., & Gomez, F. (2014, July). Evolving deep unsupervised convolutional networks for vision-based reinforcement learning. In Proceedings of the 2014 Annual Conference on Genetic and Evolutionary Computation (pp. 541-548). ACM.

Problem Tackled: TORCS Racing Simulation

MPCNN and Visual RNN's Results





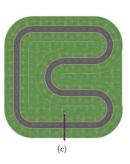


Figure 2: Visual TORCS environment. (a) The 1st-person perspective used as input to the RNN controllers (figure 3) to drive the car around the track. (b), a 3rd-person perspective of car. The controllers were evolved using a track (c) of length of 714.16 m and road width of 10 m, that consists of straight segments of length 50 and 100 m and curves with radius of 25 m. The car starts at the bottom (start line) and has to drive counter-clockwise. The track boundary has a width of 14 m.

controller	d [m]	v_{max} [km/h]		
olethros	570	147		
bt	613	141		
berniw	624	149		
tita	657	150		
inferno	682	150		
visual RNN[13]	625	144		
MPC-RNN	547	97		

Table 2: Maximum distance, d, in meters and maximum speed, v_{max} , in kilometers per hour achieved by hand-coded controllers that come with TORCS which enjoy access to the state variables (the five upper table entries), a million-weight RNN controller that drives using pre-processed 64×64 pixel images as input, evolved indirectly in the Fourier domain, and the MPC-RNN agent with just 33 weights in its RNN controller.

77

Reinforcement Learning - Standard Exploration

• € -greedy

- Action A is:

• A* with probability 1 - €

• Random Action with probability €

Exploration

Dyna-Q+ (1990)

Abandoning Objectives (2011)

lot an evolutionary approach

- Perhaps one of the first results where an agent is guided by novelty.
- State-action pairs have their interest increased if they are not frequently taken.





(a) Medium Map Novelty

(b) Hard Map Nove

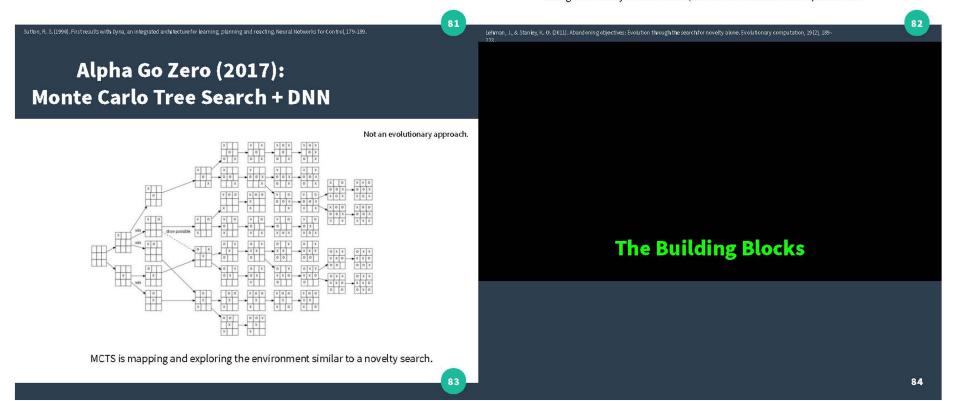




(c) Medium Map Fitness

(d) Hard Map Fitness

Using the novelty of a behavior (user defined feature vector) as fitness.



Building Blocks of ERL: Incomplete Summary

- Model (Representation of State, Action, World)
 - Model Generality: should be able to deal with any problem
 - Model Adaptability: should be able to adapt to problem dynamics (problems also change!).
 - Multi-Agent System: break the problem. Many but simple models.
- Search for Good Policies (Policy Search Approach)
 - Diversity Procedure: increase exploration and avoid deleterious competition.
 - Indirect Encoding: decrease search space.
- Evaluate State-Action Pairs (Value Function Approach)
- Explore Environment
 - Curiosity, Novelty detenction, Novelty search
 - Monte Carlo Tree Search