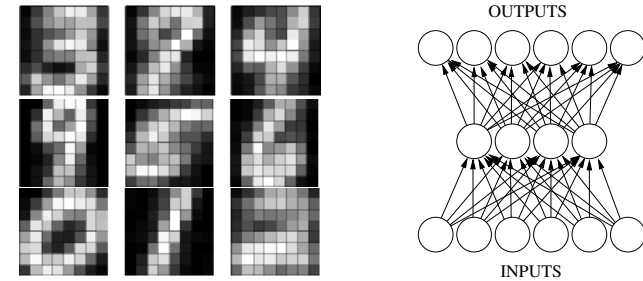


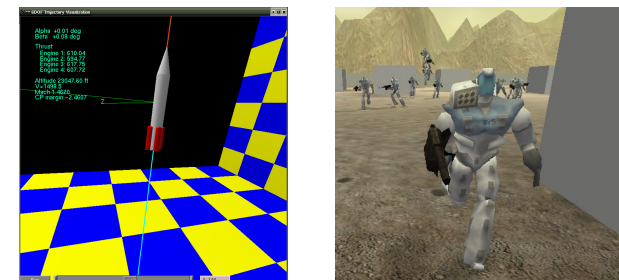
Why Use Neural Networks?



- ▶ Neural nets powerful in many statistical domains
 - ▶ E.g. control, pattern recognition, prediction, decision making
 - ▶ Where no good theory of the domain exists
- ▶ Good supervised training algorithms exist
 - ▶ Learn a nonlinear function that matches the examples
 - ▶ Utilize big datasets

2/71

I. Sequential Decision Tasks



- ▶ A sequence of decisions creates a sequence of states
 - ▶ States are only partially known
 - ▶ Optimal outputs are not known
 - ▶ We can only tell how well we are doing
- ▶ Exist in many important real-world domains
 - ▶ Robot/vehicle/traffic control
 - ▶ Computer/manufacturing/process optimization
 - ▶ Game playing; Artificial Life; Biological Behavior

1/71

Evolution of Neural Networks

Risto Miikkulainen

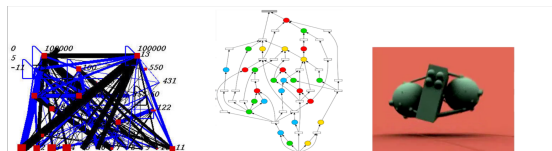
The University of Texas at Austin
and Cognizant Technology Solutions

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ACM ISBN 978-1-4503-6748-6/19/07.
<https://doi.org/10.1145/3319619.3323380>



Cognizant

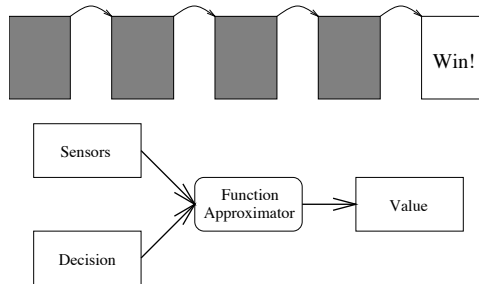
Why Evolve Neural Networks?



- I Original role (since 1990s): Sequential Decision Tasks
 - ▶ Both the structure and the weights evolved (no training)
 - ▶ Power from recurrency: POMDP tasks; behavior
- II A new role (since 2016): Optimization of Deep Learning Nets
 - ▶ Components, topology, hyperparameters evolved; weights trained
 - ▶ Power from complexity
- III A possible future role: Emergence of intelligence
 - ▶ Body/brain co-evolution; Competitive co-evolution
 - ▶ Evolution of memory, language, learning

Cognizant

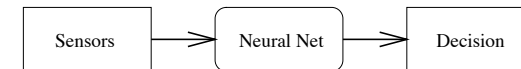
Standard Reinforcement Learning



- ▶ AHC, Q-learning, Temporal Differences
 - ▶ Generate targets through prediction errors
 - ▶ Learn when successive predictions differ
- ▶ Predictions represented as a value function
 - ▶ Values of alternatives at each state
- ▶ Difficult with large/continuous state and action spaces
- ▶ Difficult with hidden states

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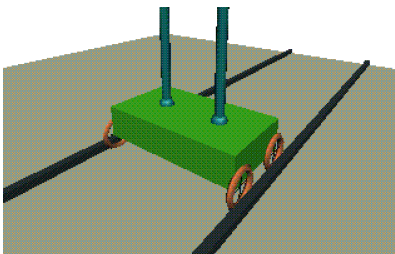
Neuroevolution (NE) Reinforcement Learning



- ▶ NE = constructing neural networks with evolutionary algorithms
- ▶ Direct nonlinear mapping from sensors to actions
- ▶ Large/continuous states and actions easy
 - ▶ Generalization in neural networks
- ▶ Hidden states (in POMDP) disambiguated through memory
 - ▶ Recurrency in neural networks⁷⁹
 - ▶ Deep Reinforcement Learning^{54,63}

7/71

How Well Does It Work?

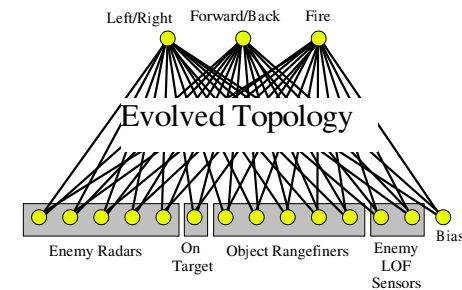


Poles	Method	Evals	Succ.
One	VAPS	(500,000)	0%
	SARSA	13,562	59%
	Q-MLP	11,331	
	NE	127	
Two	NE	3,416	

- ▶ Difficult RL benchmark: POMDP Pole Balancing
- ▶ NE 2-3 orders of magnitude faster than standard RL²¹
- ▶ NE can solve harder problems

8/71

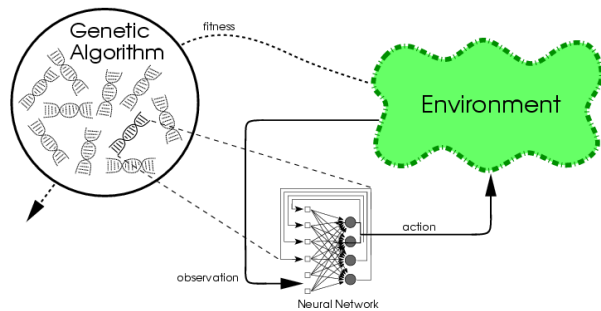
Neuroevolution for POMDP



- ▶ Input variables describe the state observed through sensors
- ▶ Output variables describe actions
- ▶ Network between input and output:
 - ▶ Recurrent connections implement memory
 - ▶ Memory helps with POMDP

9/71

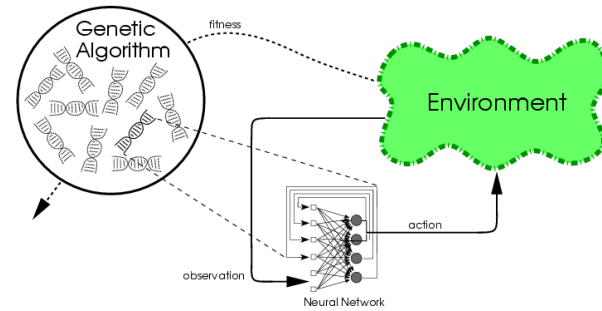
Basic Neuroevolution (1)



- ▶ Evolving connection weights in a population of networks ^{47,62,89,90}
- ▶ Chromosomes are strings of connection weights (bits or real)
 - ▶ E.g. 10010110101100101111001
 - ▶ Usually fully connected, fixed topology
 - ▶ Initially random

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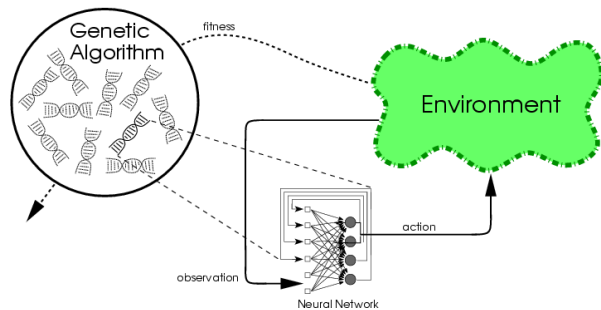
Basic Neuroevolution (2)



- ▶ Parallel search for a solution network
 - ▶ Each NN evaluated in the task
 - ▶ Good NN reproduce through crossover, mutation
 - ▶ Bad thrown away
- ▶ Natural mapping between genotype and phenotype
 - ▶ GA and NN are a good match!

11/71

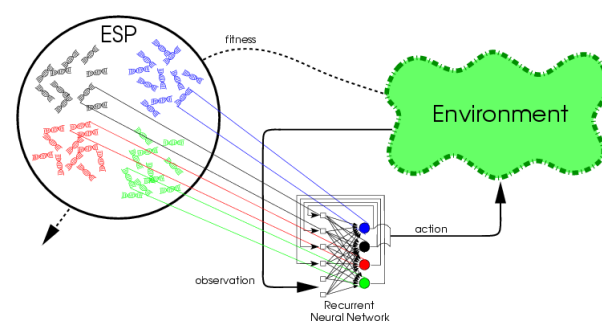
Problems with Basic Neuroevolution



- ▶ Evolution converges the population (as usual with EAs)
 - ▶ Diversity is lost; progress stagnates
- ▶ Competing conventions
 - ▶ Different, incompatible encodings for the same solution
- ▶ Too many parameters to be optimized simultaneously
 - ▶ Thousands of weight values at once

12/71

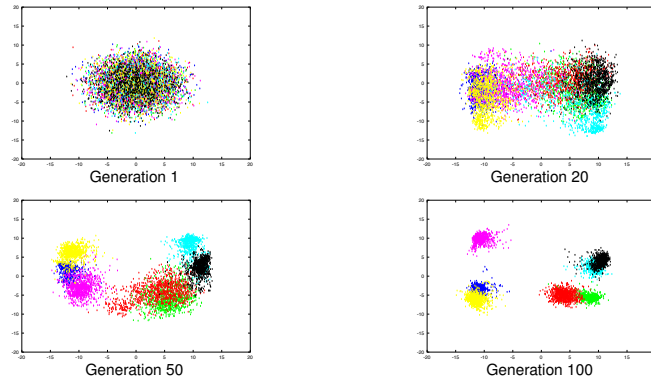
Advanced NE 1: Evolving Partial Networks



- ▶ Evolving individual neurons to cooperate in networks ^{1,48,53}
- ▶ E.g. Enforced Sub-Populations (ESP ¹⁸)
 - ▶ Each (hidden) neuron in a separate subpopulation
 - ▶ Fully connected; weights of each neuron evolved
 - ▶ Populations learn compatible subtasks
- ▶ Can be applied at the level of weights, and modules

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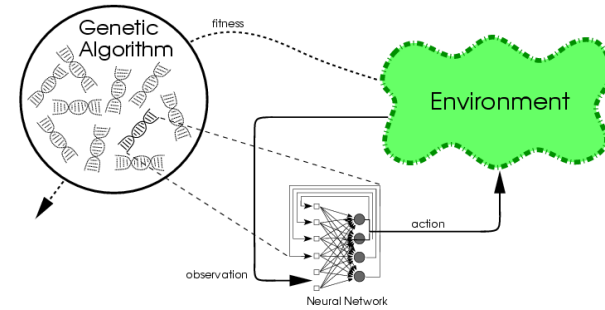
Evolving Neurons with ESP



- ▶ Evolution encourages diversity automatically
 - ▶ Good networks require different kinds of neurons
- ▶ Evolution discourages competing conventions
 - ▶ Neurons optimized for compatible roles
- ▶ Large search space divided into subtasks
 - ▶ Optimize compatible neurons

14/71

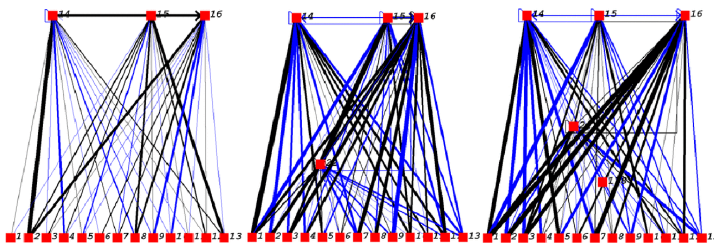
Advanced NE 2: Evolutionary Strategies



- ▶ Evolving complete networks with ES (CMA-ES²⁶)
- ▶ Small populations, no crossover
- ▶ Instead, intelligent mutations
 - ▶ Adapt covariance matrix of mutation distribution
 - ▶ Take into account correlations between weights
- ▶ Smaller space, less convergence, fewer conventions

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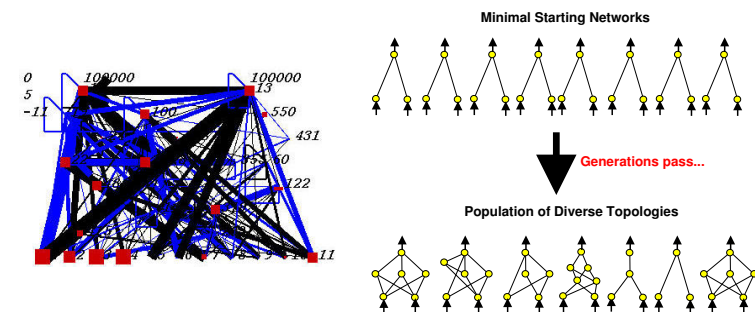
Advanced NE 3: Evolving Network Structure



- ▶ Optimizing connection weights and network topology^{2,14,16,92}
- ▶ E.g. Neuroevolution of Augmenting Topologies (NEAT^{70,73})
- ▶ Based on *Complexification*
- ▶ Of networks:
 - ▶ Mutations to add nodes and connections
- ▶ Of behavior:
 - ▶ Elaborates on earlier behaviors

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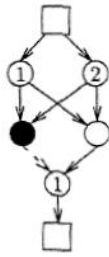
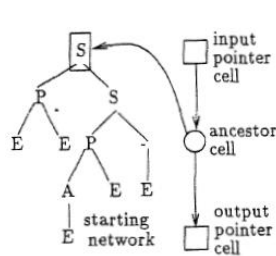
Why Complexification?



- ▶ Challenge with NE: Search space is very large
- ▶ Complexification keeps the search tractable
 - ▶ Start simple, add more sophistication
- ▶ Incremental construction of intelligent agents

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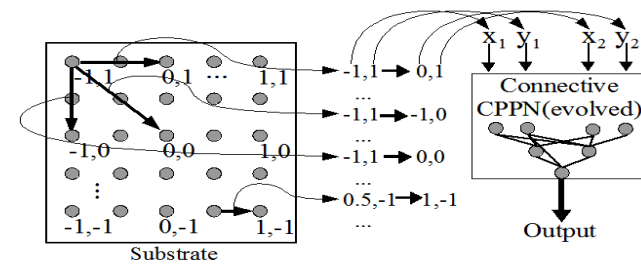
Advanced NE 4: Indirect Encodings (1)



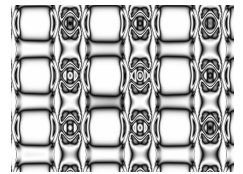
- ▶ Instructions for constructing the network evolved
 - ▶ Instead of specifying each unit and connection^{2,14,46,68,92}
- ▶ E.g. Cellular Encoding (CE²³)
- ▶ Grammar tree describes construction
 - ▶ Sequential and parallel cell division
 - ▶ Changing thresholds, weights
 - ▶ A “developmental” process that results in a network

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Indirect Encodings (2)

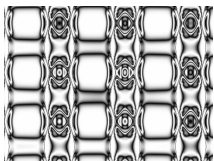
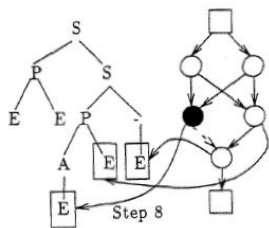


- ▶ Encode the networks as spatial patterns
- ▶ E.g. Hypercube-based NEAT (HyperNEAT⁸)
- ▶ Evolve a neural network (CPPN) to generate spatial patterns
 - ▶ 2D CPPN: (x, y) input $\rightarrow$ grayscale output
 - ▶ 4D CPPN: (x_1, y_1, x_2, y_2) input $\rightarrow w$ output
 - ▶ Connectivity and weights can be evolved indirectly
 - ▶ Works with very large networks (millions of connections)



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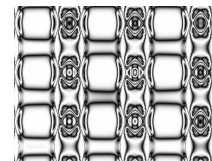
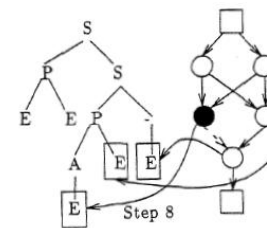
Properties of Indirect Encodings (1)



- ▶ Smaller search space
- ▶ Avoids competing conventions
- ▶ Describes classes of networks efficiently
- ▶ Modularity, reuse of structures
 - ▶ Recurrency symbol in CE: XOR $\rightarrow$ parity
 - ▶ Repetition with variation in CPPNs
 - ▶ Useful for evolving morphology

20/71

Properties of Indirect Encodings (2)



- ▶ Not fully explored (yet)
 - ▶ See e.g. CS track at GECCO
- ▶ Promising current work
 - ▶ More general L-systems; developmental codings; embryogeny⁷⁴
 - ▶ Scaling up spatial coding^{9,17}
 - ▶ Genetic Regulatory Networks⁵⁸
 - ▶ Evolution of symmetries⁸²

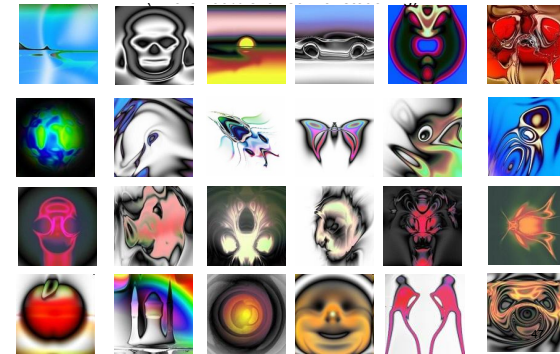
21/71

Further NE Techniques

- ▶ Incremental and multiobjective evolution^{20,65,81,90}
- ▶ Utilizing population culture^{4,42,78}
- ▶ Utilizing evaluation history³⁹
- ▶ Evolving NN ensembles and modules^{27,38,52,59,86}
- ▶ Evolving transfer functions and learning rules^{6,60,75}
- ▶ Bilevel optimization of NE³⁷
- ▶ Evolving LSTMs for strategic behavior³⁴
- ▶ Combining learning and evolution^{5,15,42,51,71,78,87}
- ▶ Evolving for novelty

22/71

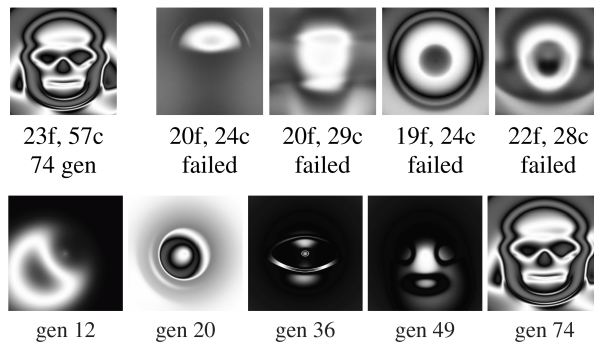
Evolving for Novelty



- ▶ Motivated by humans as fitness functions
- ▶ E.g. picbreeder.com, endlessforms.com⁶⁶
 - ▶ CPPNs evolved; Human users select parents
- ▶ No specific goal
 - ▶ Interesting solutions preferred
 - ▶ Similar to biological evolution?

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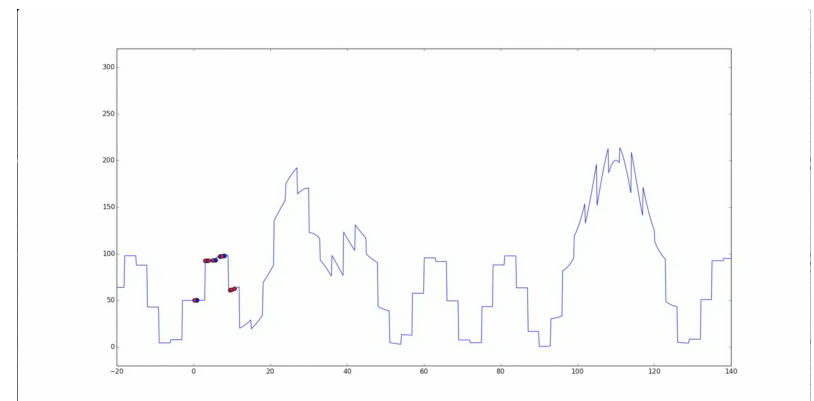
Novelty Search



- ▶ Evolutionary algorithms maximize a performance objective
 - ▶ But sometimes hard to achieve it step-by-step
- ▶ Novelty search rewards candidates that are simply different^{31,72}
 - ▶ Stepping stones for constructing complexity

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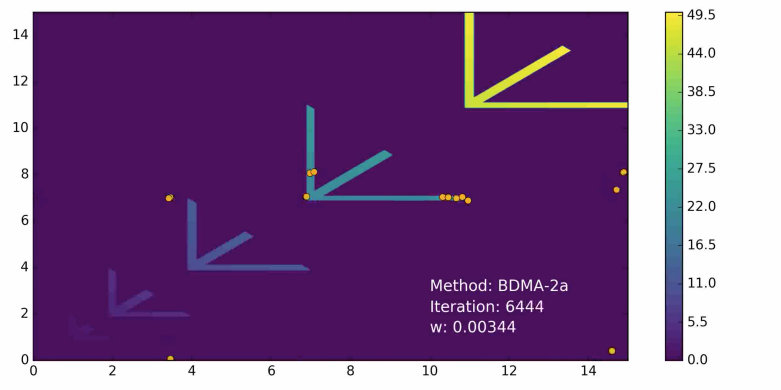
Novelty Search Demo (1)



- ▶ 1D function to optimize; Fitness-based search would converge
- ▶ Novelty search finds stepping stones
- ▶ DEMO

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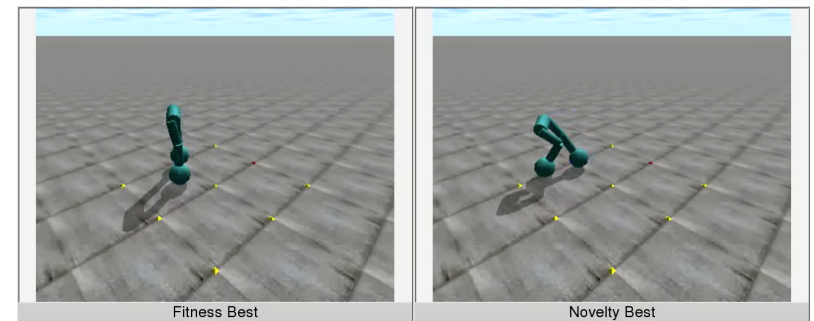
Novelty Search Demo (2)



- ▶ Illustration of stepping stones^{43,44}
 - ▶ Nonzero fitness on “feet” only; stepwise increase
 - ▶ Top and right “toes” are stepping stones to next “foot”
 - ▶ Difficult for fitness based search; novelty can do it
- ▶ DEMO

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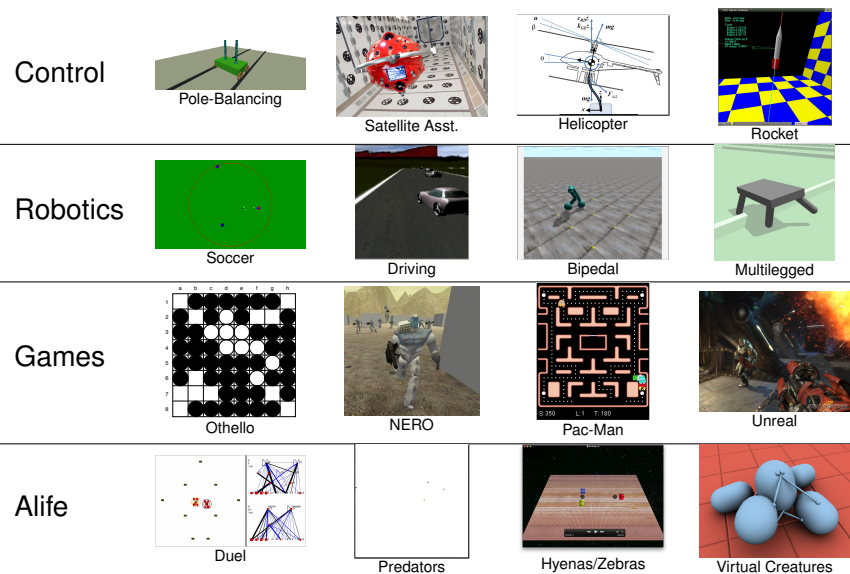
Novelty Search Demo (3)



- ▶ Fitness-based evolution is rigid
 - ▶ Requires gradual progress
- ▶ Novelty-based evolution is more innovative, natural^{31,72}
 - ▶ Allows building on stepping stones
 - ▶ As a secondary objective—or even the only one!
- ▶ DEMO

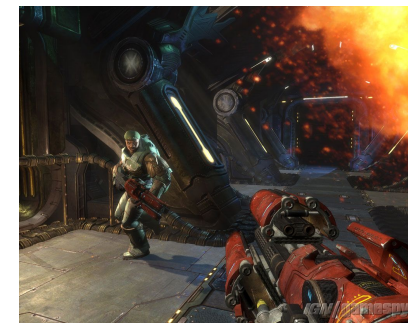
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Neuroevolution Applications



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Games: Evolving Humanlike Behavior



- ▶ Botprize competition, 2007-2012
 - ▶ Turing Test for game bots (\$10,000 prize)
- ▶ Three players in Unreal Tournament 2004:
 - ▶ Human confederate: tries to win
 - ▶ Software bot: pretends to be human
 - ▶ Human judge: tries to tell them apart!

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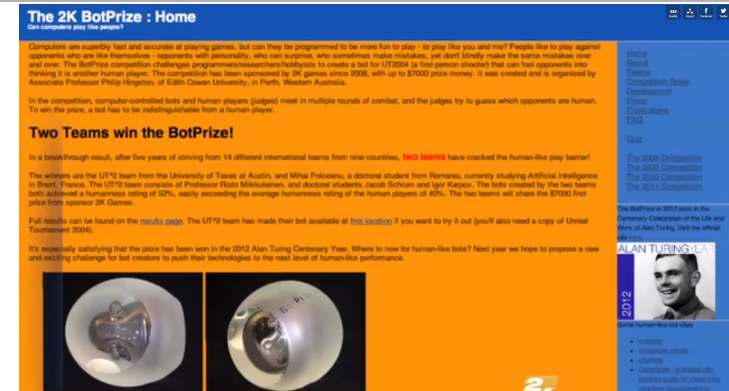
Evolving an Unreal Bot



- ▶ Evolve effective fighting behavior
 - ▶ Human-like with resource limitations (speed, accuracy...)
- ▶ Also scripts & learning from humans (unstuck, wandering...)
- ▶ 2007-2011: bots 25-30% vs. humans 35-80% human
- ▶ 6/2012 best bot better than 50% of the humans
- ▶ 9/2012...?

30/71

Success!!!



- ▶ In 2012, two teams reach the 50% mark!
- ▶ Fascinating challenges remain:
 - ▶ Judges can still differentiate in seconds
 - ▶ Judges lay cognitive, high-level traps
 - ▶ Team competition: collaboration as well
- ▶ DEMO

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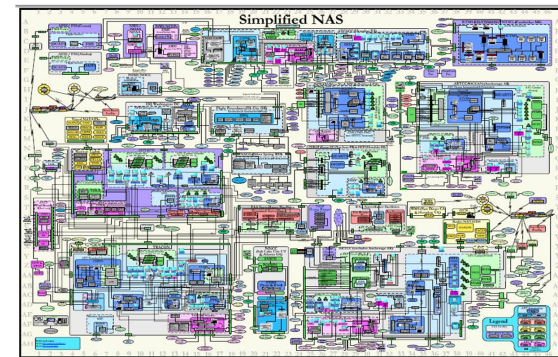
II. Optimization of DL Architectures



- ▶ Big Data and Big Compute available since 2000s
 - ▶ Machine learning systems have scaled up
- ▶ E.g. Deep Learning ideas existed since the 1990s
 - ▶ With million times more data & compute, they now work!
- ▶ A new problem: How to configure such systems?

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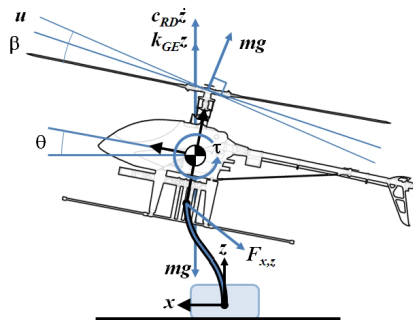
Configuring Complex Systems



- ▶ A new general approach to engineering
 - ▶ Humans design just the framework
 - ▶ Machines optimize the details
- ▶ Programming by optimization²⁴

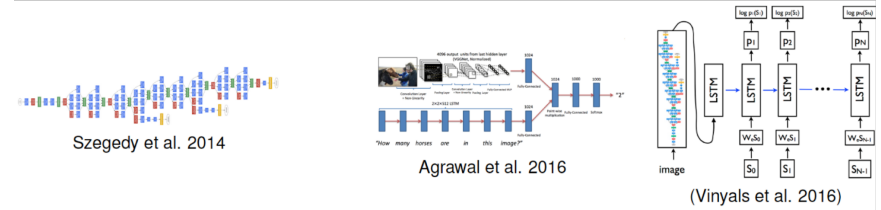
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E.g. Optimizing NE in Helicopter Hovering



- ▶ A challenging benchmark
 - ▶ RL, NE solutions exist
- ▶ Eight parameters optimized by hand²⁹
 - ▶ Hard for a human designer to do more
- ▶ With EA, increased to 15
 - ▶ → Significantly better performance³⁷

Motivation for Neural Architecture Search



Different Deep Learning tasks require different architectures

- Architecture matters
- Too complex to optimize by hand

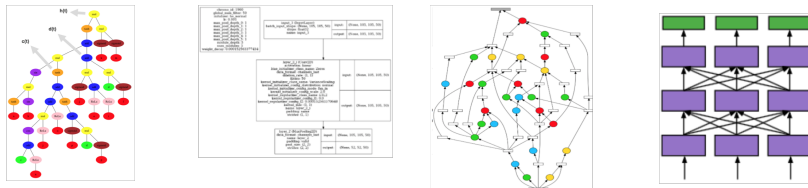
Employ neural architecture search (NAS)
Offer as a service in cloud computing?

1

Cognizant 1

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Motivation for Evolutionary NAS

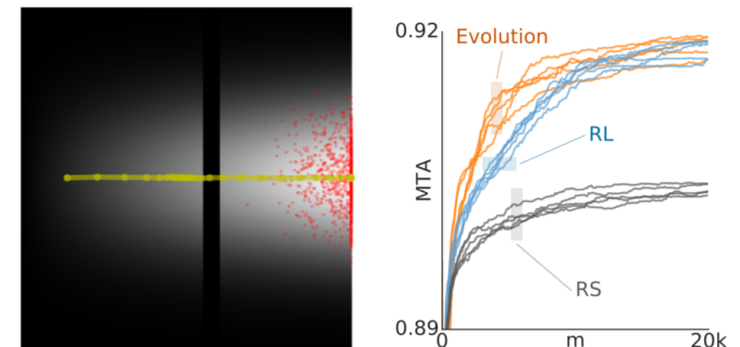


Evolutionary Neural Architecture Search is a natural fit:

- Population-based search covers the space
- Crossover between structures discovers principles
- Novelty search maximizes exploration

Building on Neuroevolution work since the 1990s
Hyperparameters; nodes; modules; topologies; multiple tasks

State of the Art Results in 2018



Lehman et al. 2017

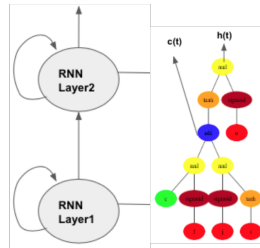
Real et al. 2018

- ▶ Understanding ES and GAs in RL (Uber)^{30,76,93}
 - ▶ ES provides more exploration than gradients
 - ▶ GA provides more exploration than ES
- ▶ Image processing (Google Brain)
 - ▶ CIFAR-10, CIFAR-100, and ImageNet⁵⁶
- ▶ Language modeling and multitasking (Sentient)

2

Cognizant 2

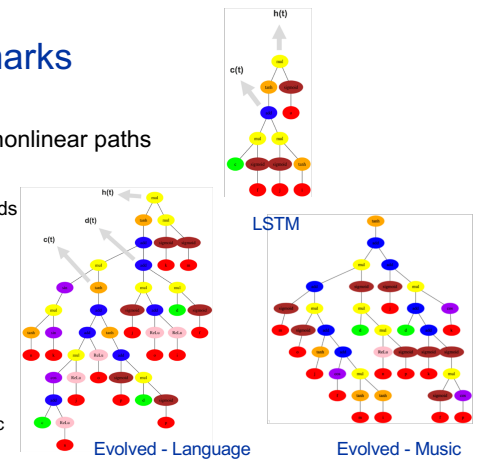
Node-level Evolution: Sequences



- Evolving gated memory units (i.e. LSTMs) for a fixed architecture
 - LSTM structure essentially the same for 25 years
- Tree representation of the nodes
 - Optimized through genetic programming

Node Evolution Benchmarks

- Discovered multiple memory cells, nonlinear paths
 - Complexity matters!
 - Broader search than other NAS methods
- Language Modeling benchmark
 - Improve perplexity by 15%
 - State of the art in 1/2018
- Music Generation benchmark
 - A different solution necessary
 - Demo: ai.cognizant.com/evoai/lstm-music

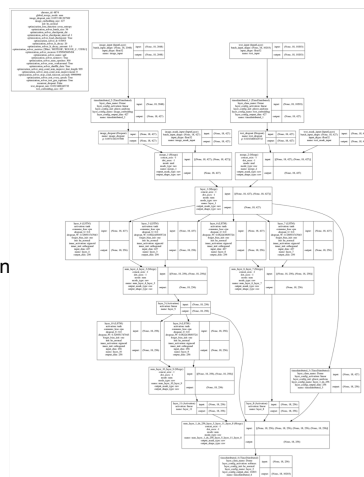
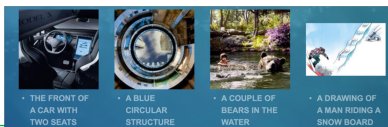


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Improve Human Design

- E.g. image captioning:
 - Start with a state-of-the-art design: Show&Tell
 - Search in the space of similar elements
 - 5% improvement
 - A prototype service on the web
- Best-performing AI defies human notions of symmetry and patterns of organization
- AI designing AI: could we automate it?

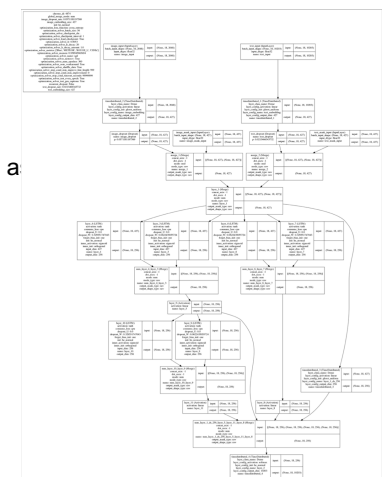


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2019: Evolutionary AutoML

Current AutoML: Hyperparameter optimization
Evolutionary AutoML: Architectures and modules as

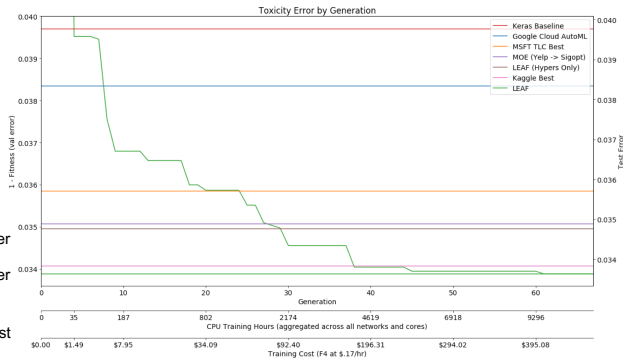
1. Improve over naïve baseline
20% or more with little effort
2. Improve state of the art
With more expertise & compute
3. Extend small datasets
Multitasking with related datasets
4. Minimize network resources
Train and run networks faster



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1 and 2: Improve Performance

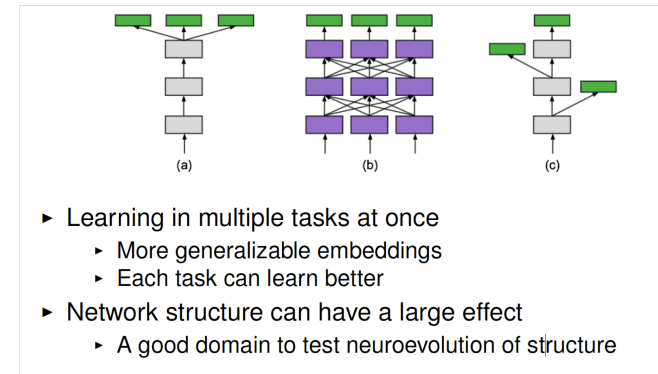
- Domain: Wikipedia Toxic Comment Identification
 - Why: Toxicity is bad for business
 - Data: 160K labeled comments
 - Challenge: highly diverse vocabulary, style, and length
- Layer Types: Conv1D, LSTM, GRU
- LEAF Results:
 - With minimal compute: Improves over naïve Keras baseline
 - With more compute: Improves over other AutoML methods
 - With more compute: Improves over SOTA hand-designed model.
 - LEAF Hyperparameter Search on final architecture gives a final boost



5

Cognizant 5

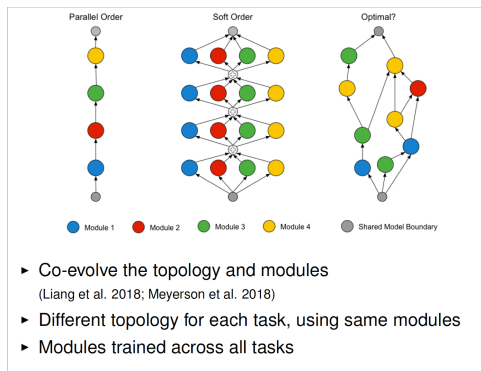
Network-level Evolution: Multitasking



6

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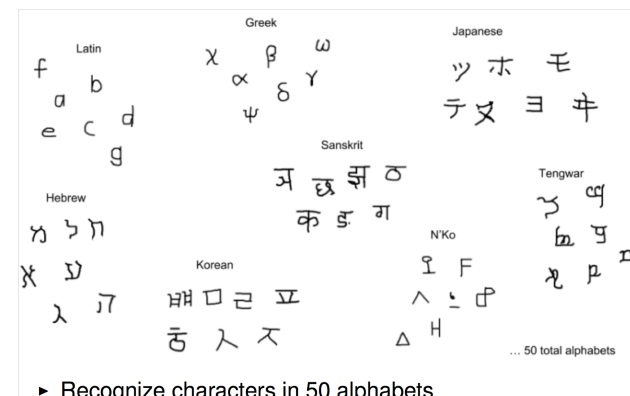
Network = Topology and Modules



7

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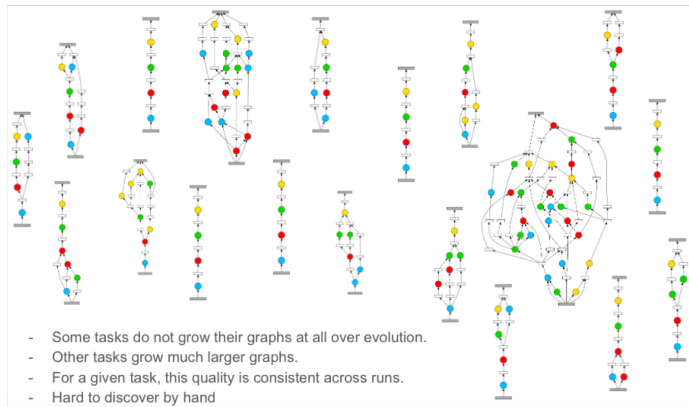
3. Extend Small Datasets



6

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Evolution of Multitask Networks: Topology+Modules



7

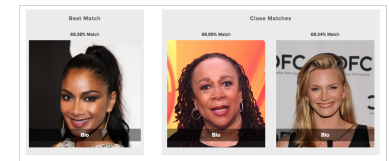
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Multitasking Benchmarks

State-of-the-art in two ML benchmarks:

- Omniglot multialphabet character recognition
 - Improved state-of-the-art 31%
 - Demo: ai.cognizant.com/evoai/omni-draw
- CelebA multiattribute face classification
 - Improved state-of-the-art 0.75%
 - Demo: ai.cognizant.com/evoai/celeb-match

Improves learning in each task
 • Even when little data available



8

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4. Minimize Network Resources

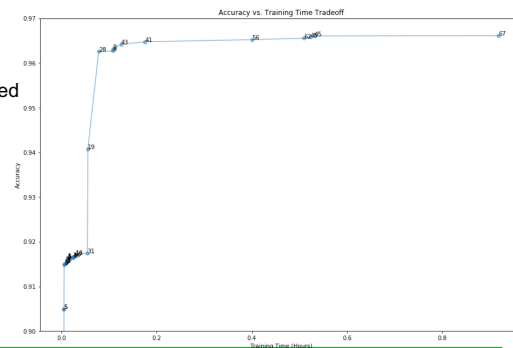
Evolution adds complexity only if needed

- Favors minimal solutions
- Over evolution a range of sizes explored
- Approximation of the Pareto front

Small networks found that perform well

- Minimization with little cost
- E.g. 0.38% drop with 1/12th of the size

Adding a size objective will explore more



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Cognizant

Multiobjective Minimization



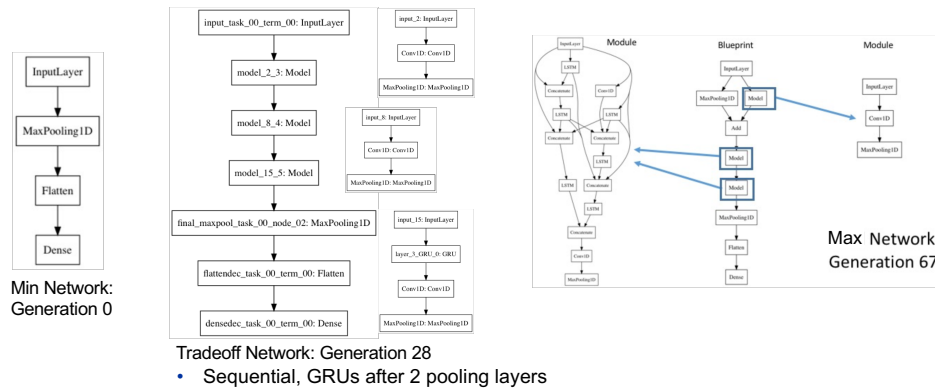
- Animation: Pareto front by generation for single-objective (green) vs. multi-objective (blue)
- Single-objective focuses on improving *largest networks*
- Multi-objective focuses on improving the *entire curve*
- Result: Multi-objective finds much smaller models for the majority of performance values.

10

Cognizant 10

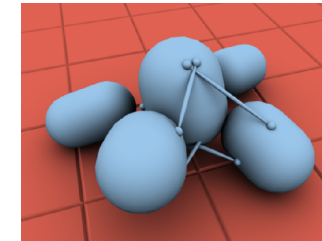
III. Emergence of Intelligence

Example Performance/Size Tradeoffs

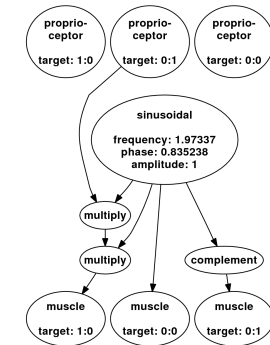


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Cognizant



Body

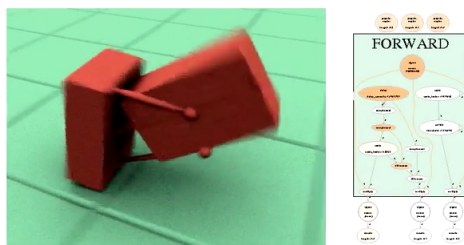


Brain

- Origins of intelligence: Embodied optimization
- Body-Brain Coevolution^{1,2,3}
 - Body: Blocks, muscles, joints, sensors
 - Brain: A neural network (with general nodes)
 - Evolved together in a physical simulation
- Encapsulation, Pandemonium, Syllabus

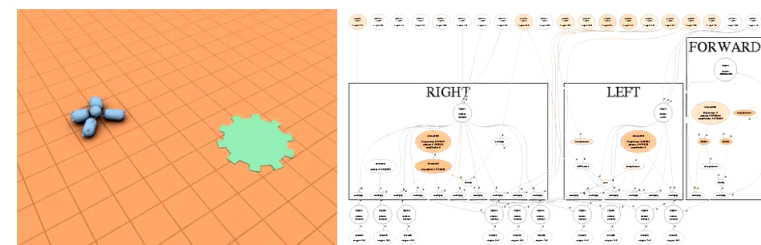
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Encapsulation



- Once evolved, a trigger node is added
- DEMO

Pandemonium

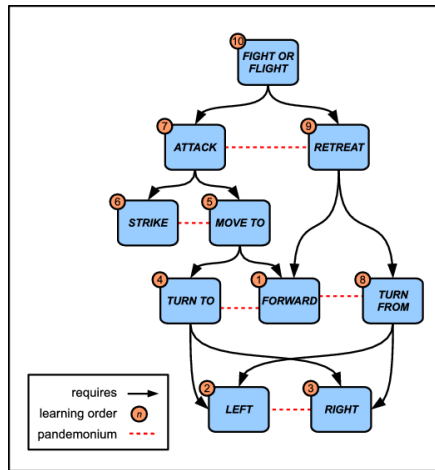


- Conflicting behaviors: Highest trigger wins
- DEMO

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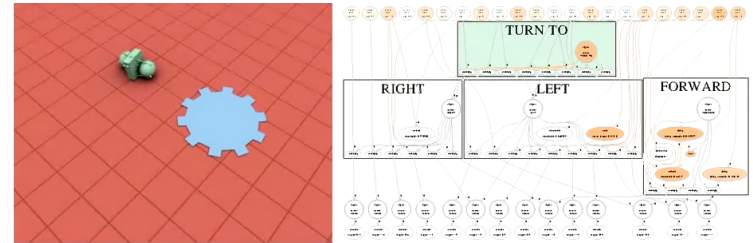
Syllabus



- ▶ Step-by-step construction of complex behavior
- ▶ Primitives and three levels of complexity
- ▶ Constructed by hand; body and brain evolved together

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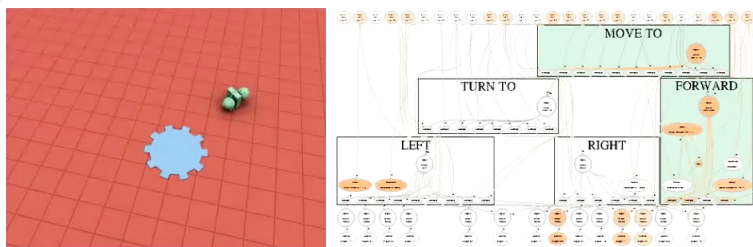
Turn to Light



- ▶ First level of complexity
- ▶ Selecting between alternative primitives

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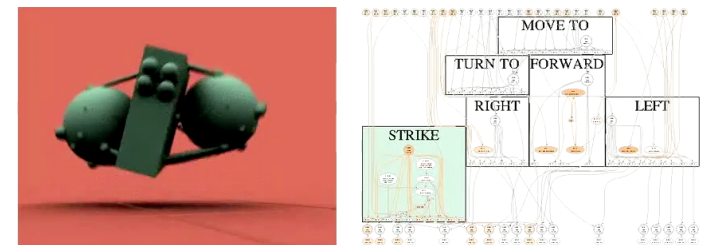
Move to light



- ▶ First level of complexity (Sims 1994)
- ▶ Selecting between alternative primitives

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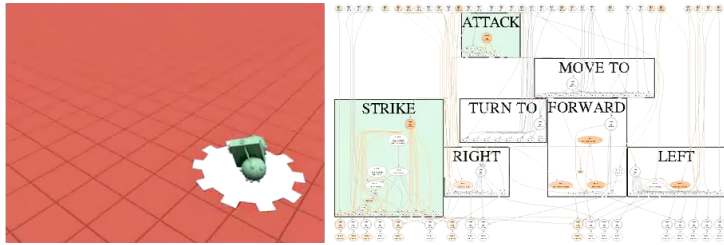
Strike



- ▶ Alternative behavior primitive

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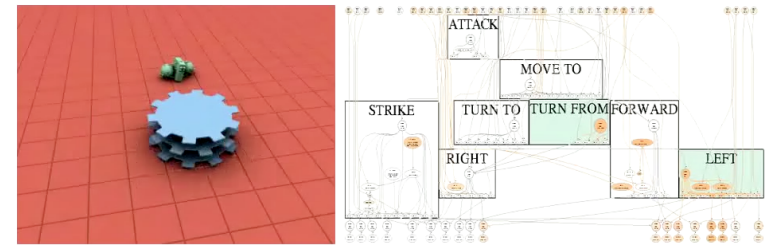
Attack



- Second level of complexity (beyond Sims and others)

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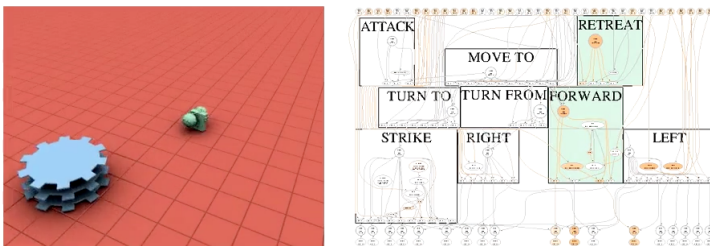
Turn from Light



- Alternative first-level behavior

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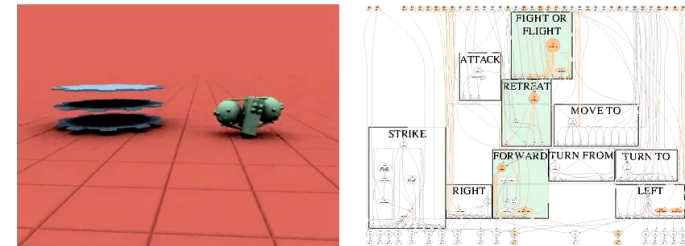
Retreat



- Alternative second-level behavior

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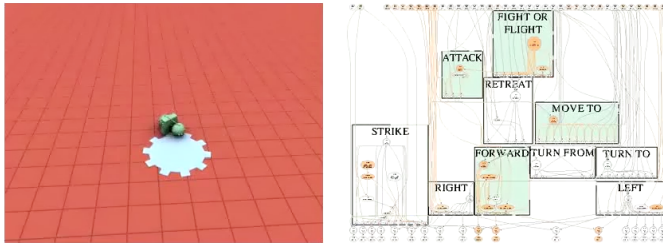
Fight or Flight



- Third level of complexity

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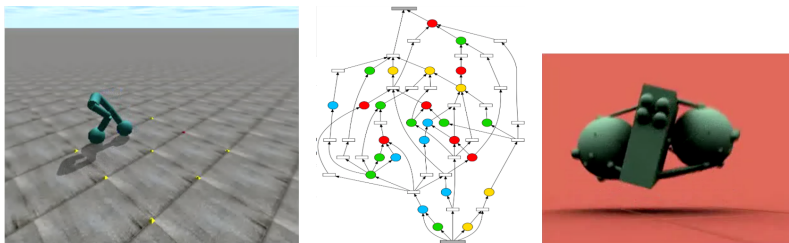
Insight: Body/Brain Coevolution



- ▶ Evolving body and brain together poses strong constraints
 - ▶ Behavior appears believable
 - ▶ Worked well also in BotPrize (Turing test for game bots)⁶⁴
- ▶ Possible to construct innovative, situated behavior

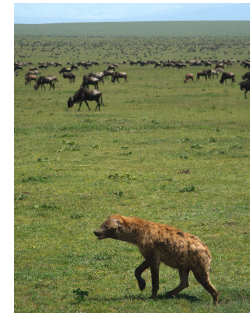
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Conclusion



- ▶ AI extending from prediction to creativity
 - ▶ i.e. from modeling to optimization
 - ▶ i.e. from Deep Learning to Evolution/RL
- ▶ Evolutionary optimization of neural networks can
 - ▶ Discover novel and strategic behavior
 - ▶ Discover useful complexity for Deep Learning
 - ▶ Gain insight into origins of intelligence

Constructing Intelligent Systems



- ▶ Believable, complex behavior in embedded environments
 - ▶ Open-ended “arms race”
- ▶ Similar to self-play e.g. in AlphaGo Zero
 - ▶ Complexity beyond human ability to design it
- ▶ If we can build open ended environments, should be able to build more complex solutions

Further Material

- ▶ www.cs.utexas.edu/users/risto/talks/enn-tutorial
 - ▶ Slides and references
 - ▶ Demos
 - ▶ A step-by-step neuroevolution exercise (evolving behavior in the NERO game)
- ▶ www.scholarpedia.org/article/Neuroevolution
 - ▶ A short summary of neuroevolution
- ▶ www.nature.com/articles/s42256-018-0006-z
 - ▶ Nature Machine Intelligence survey on Neuroevolution
- ▶ arxiv.org/abs/1902.09635
 - ▶ Proposal for NAS benchmark